

KANSAS WATER POLLUTION CONTROL REVOLVING LOAN FUND

Annual Report
For Fiscal Year 2013
(7/1/12 to 6/30/13)

Kansas Department of Health and Environment
Robert Moser, Secretary

Division of Environment
John W. Mitchell, Director

Bureau of Water
Mike Tate, P.E., Director

Municipal Programs Section
Rodney R. Geisler, P.E., Chief



September 30, 2013

EXECUTIVE SUMMARY

The Kansas Water Pollution Control Revolving Fund (the KWPCRF) is a way for the State of Kansas through the Kansas Department of Health and Environment (KDHE) to help communities finance water pollution control projects through low interest loans to local government. The repayments on the loans are returned to the KWPCRF to “revolve” for use by other Kansas communities. The “seed” money for the KWPCRF is provided by EPA through an act of Congress. The States must provide a minimum 20% match to these Federal grants.

Through this partnership, the State of Kansas and local communities can work together to protect and improve the environment while also building a financially stable fund to help meet municipal water quality needs of future generations.

Beginning in FFY '93, KDHE in conjunction with the Kansas Development Finance Authority (KDFA) determined the KWPCRF could sell additional revenue bonds to meet the volume of funding requests from local governments, or “leverage” the KWPCRF to generate additional funding for construction projects. This effort was successful, and KDHE in conjunction with KDFA has continued to sell State match and “leveraging” bonds on many occasions to enhance and expand the financial capability of the KWPCRF.

In conjunction with the FFY 2000 revenue bond issue, the Master Bond Resolution was also revised to establish the Environmental Initiatives Fund within the KWPCRF, which can provide funding to non-municipal applicants including non-point source pollution control projects. In conjunction with the FFY 2010 revenue bond issue, a new Master Financing Indenture was developed to provide cross-collateralization of the Kansas Clean Water and Drinking Water SRFs, and allow joint bond issues.

The KWPCRF also provides technical assistance (on site operator training and energy assessments, planning review, design conferences, construction inspection oversight) in addition to funding construction project costs. In FY '13, 16 wastewater authorities entered into new loan agreements and there were 4 major and 8 minor increase amendments to increase the loan amount, with a total of \$22.851 million being invested for new projects related to public health protection, water quality improvement, and permit compliance. There were also 35 loan amendments in the fiscal year to decrease loan amounts and finalize loan agreement repayment schedules in the total amount of \$5.641M decrease to loan agreements. These projects included:

- **Bel Aire:** Rehabilitation of portions of the wastewater collection system and sewage pumping stations. Loan Amount: \$535,980
- **Ensign:** Rehabilitation of the existing wastewater treatment lagoon. Loan Amount: \$340,705
- **Gardner:** Construction of a new wastewater treatment facility, pumping station, and major interceptor to serve growth areas of southern Johnson County, in conjunction with the City of Edgerton. Loan Amount: \$6,945,000
- **Gardner (Major Amendment):** Construction of a new interceptor sewer and pumping station to transport sewage flow to the new Edgerton WWTP and to provide service to growth areas of the city. Amount: \$2,639,000

- **Glacial Hills RC&D Phase III:** The Glacial Hills Resource Conservation & Development Region, Inc., is a nonprofit organization that has received funding to implement a green infrastructure / non-point source pollution control project in Atchison, Brown and Jackson counties. This project will consist primarily of streambank stabilization and riparian restoration sites along the Delaware River above Perry Reservoir. Loan Amount: \$388,295 (Principal Forgiveness Estimate Amount: \$388,295) (Green Project Reserve design)
- **Glacial Hills RC&D - Holton:** The Glacial Hills Resource Conservation & Development Region, Inc., is a nonprofit organization that has received funding to implement a green infrastructure / non-point source pollution control project in Atchison, Brown and Jackson counties. This project will consist primarily of streambank stabilization and riparian restoration sites along the Wolf River. Loan Amount: \$153,948 (Principal Forgiveness Estimate Amount \$153,948) (Green Project Reserve design)
- **Hesston:** Rehabilitation and upgrade of the existing wastewater treatment facility to provide nutrient removal, including the effluent irrigation reuse facilities. Loan Amount: \$2,291,249 (Potential Green Project Reserve design.)
- **Iola:** Upgrade of an existing sewage pumping station. Loan Amount \$785,000
- **Kansas Water Office:** The KWO has received funding to implement a green infrastructure / non-point source pollution control project at multiple locations along the Cottonwood River. This project will consist primarily of streambank stabilization and riparian restoration practices including toe stabilization, bank reshaping, construction of weirs and re-vegetation. Loan Amount: \$1,310,652 (Principal Forgiveness Estimate Amount \$1,310,652). (Green Project Reserve design)
- **Kansas Water Office:** The KWO has received funding to implement a green infrastructure / non-point source pollution control project at multiple locations along the Smoky Hill River. This project will consist primarily of streambank stabilization and riparian restoration practices including toe stabilization, bank reshaping, construction of weirs and re-vegetation. Loan Amount: \$300,480 (Principal Forgiveness Estimate Amount \$300,480). (Green Project Reserve design)
- **Lincolnville:** Rehabilitation of portions of the wastewater collection system. Loan Amount: \$245,275
- **Lorraine:** Replacement of an existing sewage pumping station. Loan Amount \$100,000
- **Minneapolis:** Rehabilitation of the existing wastewater treatment lagoon. Loan Amount: \$526,144
- **Parsons:** Rehabilitation of portions of the wastewater collection system, Phase 1. Loan Amount: \$500,000
- **Pratt (Major Amendment):** Construction of upgrades and rehabilitation of the existing wastewater treatment facility. Amount: \$1,770,637(Green Project Reserve design)

- **Shawnee County (Major Amendment):** Construction of a new force main around Lake Sherwood to service several sewage pumping stations. Amount \$650,000
- **Silver Lake:** Replacement of two existing sewage pumping stations. Loan Amount \$697,400
- **Stockton (Major Amendment):** Construction of a new wastewater treatment lagoon with effluent irrigation to replace a dilapidated and failing mechanical wastewater treatment facility. Amount: \$780,000
- **University of Kansas:** Construction of a green infrastructure improvement consisting of porous pavement and bio-retention at an existing parking lot. Loan Amount: \$450,000 (Principal Forgiveness Estimate Amount: \$450,000)
- **Woodston:** Rehabilitation of the existing wastewater treatment lagoon. Loan Amount: \$114,150.

In summary, within SFY 2013, the KWPCRF provided:

- A total of \$22,850,917 to new loans and amendments to increase the loan amounts.
- Of the above, a total of \$2,603,375 was determined to meet the EPA policy requirements to qualify as Green Project Reserve funding. (Glacial Hills RC&D – 2 projects, Kansas Water Office – 2 projects, University of Kansas). (The project at Hesston may have GPR components, which will be reviewed when the design documents are received).
- Of the above, a total estimated amount of \$2,603,375 loan funding will be provided as Principal Forgiveness.
- Not included in the above amount, a total of \$5,640,785 of decreases to loan amounts by amendments to existing loans were processed.

The revolving fund encourages participation by cities with populations 5,000 or less. Eight of the 16 projects funded were for or within cities of 5,000 or less population. Since the revolving fund's beginning, about 29.8% of the money loaned has been to small communities. Future KDHE efforts will work to maintain this percentage as small community needs increase due to more stringent sludge and wastewater treatment requirements.

To qualify for a state revolving loan, the borrower must:

- Show a public health, water quality, or permit compliance need.
- Show financial need.
- Dedicate a source of money to repay the loan.
- Show the project is ready to proceed.
- Repay the loan once the project is constructed, according to the agreed-upon schedule.
- Meet the required federal and state laws and regulations of the KWPCRF Program.

TABLE OF CONTENTS

	<u>TITLE</u>	<u>PAGE</u>
I.	INTRODUCTION	1
II.	FINANCIAL OVERVIEW	1
III.	GOALS AND ACCOMPLISHMENTS	2
	A. Short Term Goals and Accomplishments	2
	B. Long Term Goals and Accomplishments.....	4
IV.	DETAILS OF ACCOMPLISHMENTS	6
	A. Fund Financial Status.....	6
	B. Assistance Activity.....	7
	C. Provisions of the Operating Agreement/ Conditions of the Grant	9
V.	PROGRAM CHANGES	15
	A. Comparison with the Intended Use Plan	15
	B. Modifications of Goals and Objectives	15
VI.	PROPOSED IMPROVEMENTS.....	16
	A. Modification of the Program	16
	B. Long and Short Term Goals for Future IUP	16
 <u>EXHIBITS</u>		
	Exhibit 1 New Projects Receiving SRF Financial Assistance.....	18
	Exhibit 1A Summary of Actual and Projected Loan Amounts, SFY 2010, SFY 2011, SFY 2012 Project Amounts, Green Project Reserve Amounts, and Principal Forgiveness Amounts for all Loans Funded with FFY 2010 Funds as of 6/30/13	20
	Exhibit 1B Summary of Actual and Projected Loan Amounts, SFY 2011 and SFY 2012 Project Amounts, Green Project Reserve Amounts, and Principal Forgiveness Amounts for all Loans Funded with FFY 2011 Funded Loans as of 6/30/13	21
	Exhibit 1C Summary of Actual and Projected Loan Amounts, SFY 2011 and SFY 2012 Project Amounts, Green Project Reserve Amounts, and Principal Forgiveness Amounts for all Loans funded with FFY 2012 Funds as of June 30, 2013.....	22
	Exhibit 2 FY '13 Binding Commitments by Quarter and Type	23
	Exhibit 3 Source of SRF Funds by Quarter	24
	Exhibit 4 Select Binding Commitments with Respect to Payments.....	25
	Exhibit 5 Select Disbursements	37
	Exhibit 6 Assistance Amount by Needs Category	38
	Exhibit 7 Payment Schedule	39
	Exhibit 8 Project Loan Repayment and Interest Activity.....	40
	Exhibit 9 Actual Disbursements for FY '13.....	41
	Exhibit 10 Statement of Net Position.....	42
	Exhibit 11 Statement of Revenues, Expenses, and Changes in Fund Net Position	43
	Exhibit 12 Statements of Cash Flows.....	44
	(Notes to the Financial Statements)	45
	Exhibit 13 Aging of Accounts	62
	Exhibit 14 Supplemental Schedules.....	63
	Exhibit 15 Completed Projects	65

ATTACHMENTS

Attachment 1 SFY '12 and SFY '13 SRF Audit Findings and Responses

Attachment 2 Federal Financial Reports for the Clean Water SRF

Attachment 3 KDFA SFY '13 Independent Audit Report

Attachment 4 Environmental Benefits Matrix and CBR Reports Information

Attachment 5 Sources and Distribution of Loan Service Fees

Attachment 6 Federal Funding Accountability and Transparency Act (FFATA) Report

I. INTRODUCTION

This is the Kansas Annual Report for Fiscal Year (FY) 2013 (7/1/12-6/30/13) for the Kansas Water Pollution Control Revolving Fund (KWPCRF). This Report describes how the State of Kansas has met the goals and objectives of its Clean Water State Revolving Loan Fund (CWSRF) for the previous fiscal year as identified in the Intended Use Plan, the actual use of funds, and the financial position of the KWPCRF. The KWPCRF helps protect the health of Kansas by financing infrastructure improvements which provide treatment of sewage and wastewater.

II. FINANCIAL OVERVIEW

In FFY '93, KDHE, in conjunction with the Kansas Development Finance Authority (KDFA), was successful in "leveraging" the KWPCRF through sale of a 20 year revenue bond. This effort resulted in the sale of \$71.2 million KDFA revenue bonds in January 1993 to provide the required 20% State Match and also "leverage" additional monies. In FFY '95 a second revenue bond issue was sold, providing additional State Match through the issuance of \$6.915 million in state match revenue bonds. In FFY '97 a third revenue bond issue was sold for \$39.26 million, providing additional State Match and "leverage" monies. In FFY '98 a fourth revenue bond issue of \$80.5 million was sold, to refinance a portion of the 1993 bonds and to provide additional "leverage" loan dollars. In FFY '99, a short term revenue bond of \$470,000 was sold to provide additional state match for the FFY '99 Capitalization Grant. Also in FFY 2000, a short term revenue bond of \$2.5 million was sold to provide additional State Match for the 2000 Capitalization Grant. In FFY 2000, a fifth revenue bond issue of \$82.915 million was sold to refinance the \$2.5 million bonds and to provide additional State Match and "leverage" monies. At that time the Master Bond Resolution was also revised to establish the Environmental Initiatives Fund within the KWPCRF, which can then provide funding to non-municipal applicants, anticipated to fund non-point source pollution control projects. In FFY '01, a sixth revenue bond issue of \$141.045 million was sold, to refinance selected prior bonds, provide \$4.8 M additional State Match, and provide \$40 M additional "leverage" monies. In FY '03, a seventh revenue bond issue of \$101.575 million was sold, to refinance selected prior bonds and provide \$90 M additional "leverage" monies. In FY '04, an eighth revenue bond issue of \$50.14M was sold, to refinance selected prior bonds, provide \$5.0M additional State Match, and provide \$40.0M additional "leverage" monies. In FY '06, a ninth revenue bond issue of \$118.86M was sold to refinance selected prior bonds, provide \$2.0M additional State Match, and provide \$60.88M additional "leverage" monies. In FY '09, a tenth revenue bond issue of \$66.545M was sold to provide \$5.6M additional State Match, and provide \$60.0M additional "leverage" monies. In FY '11, the eleventh revenue bond issue of \$213,950,000 was sold under the new Master Financing Indenture in conjunction with the Kansas Public Water Supply Revolving Fund, to provide \$5.0M additional State Match, provide \$60.0M additional "Leverage" monies to the KWPCRF, and to refinance selected prior bonds for both SRF programs.

The KWPCRF received a federal grant in SFY '12 from the Title VI allotment of \$12,757,000, and this is the amount of federal funds made available in FY '12 by all SRF grants (see Exhibit 3). Also, recycled monies available in FY '13 were \$10,318,010 (reference Appendix C of the Draft Intended Use Plan for the Water Pollution Control Revolving Loan Program – Final State Fiscal Year 2013 – as of March 13, 2013). State Match Bond funds of \$5.0M were previously used in FY '11 loans. In years prior to FY '10, the actual State borrowing amount for FY '10 and prior year's loans was determined by multiplying the total Loan Amounts (from Exhibit 1, Part 1) minus the project costs funded with recycled monies, minus the project costs funded with Leveraged bond monies by 20/116. In this way the necessary State Match for both the Loan and the 4% Administration monies was provided as the binding commitments

were established. All State Match funds from these prior bond issues have been disbursed to projects. With the State Match borrowing in SFY 2011, a different approach was required. The \$5.0M of State Match that was borrowed was immediately spent on prior disbursements to existing loans, assuring the State Match bond proceeds were quickly utilized and also the State Match to the EPA funding was quickly spent.

The total interest earnings include accrued interest during construction, interest repayments on loans from communities, interest earned on State Match borrowing and Leveraged Bond borrowing account balances, and interest earned on the Debt Service Reserve Fund (see Exhibit 3). In FY '13, \$395,638 was drawn from the Federal ACH for administrative expenses. (See Exhibit 11).

Kansas has made progress in meeting the State's water quality financing needs.

- Fourteen wastewater authorities entered into 16 new loan agreements (also known as "binding commitments"), and there were 4 major and 8 minor amendments increasing the loan amounts of existing loans, in the total amount of \$22,850,917 increased loan amounts.
- There were 47 amendments to existing Loan Agreements to decrease loan amounts and/or provide final repayment schedules, in the total amount of \$5,640,785 decrease to loan amounts. This results in a net increase of \$17,210,132 in total loan amounts for the fiscal year.
- Disbursements from the KWPCRF to the various recipients totaled \$32,415,540 on an accrual basis (see Exhibit 8). In addition, \$367,501 from the Federal ACH and \$1,199,517 from service fees was used for actual program administrative expenses in FY '13 (see Exhibit 11).
- All binding commitments, i.e. KDHE signature of a loan agreement or loan amendment, provided financial assistance for construction of wastewater collection and/or treatment facilities. Binding commitments were to provide assistance to municipal wastewater facilities projects as described in Section 212 of the Federal Clean Water Act and also for non-point source pollution control projects as described in Section 319 of the Federal Clean Water Act.

These "Section 212" projects include secondary treatment and advanced treatment facilities and upgrades including sludge handling improvements, infiltration/inflow correction and control, new interceptors, and sewer rehabilitation. The "Section 319" projects include stormwater treatment to resolve adverse water quality impacts and these FY '13 obligations totaled \$2,603,375. A detailed breakdown of the binding commitments made is shown in Exhibit 2.

III. GOALS AND ACCOMPLISHMENTS

A. Short Term Goals and Accomplishments

The State of Kansas must annually prepare an Intended Use Plan, listing specific projects targeted for funding and describing short-term and long-term goals to be implemented in FY '13. The State has progressed toward successful completion of its short-term goals:

1. To provide financial assistance to water quality improvement projects for discharge to streams and water bodies within "high quality watersheds" consistent with the provisions of the Project Priority System.

The program has been successful in accomplishing this goal as the projects for Silver Lake, the Glacial Hills RC&D project in the Delaware River, and the University of Kansas project funded improvements in the Kansas/Lower Republican River Basin in support of the Governor's Water Quality Initiative.

2. To provide financial assistance for sewerage facilities to municipalities with population of 5,000 or less.

The fund is continuing to be popular with small communities as 7 of the 16 projects during the year were for municipalities with populations of 5,000 or less. Project costs of \$6.42 million of the total \$22.85 million (28.1%) in FY '13 were for these smaller projects. For the program to date, about 29.8% (324.7/1,088.9) of monies in loan agreements have been to small communities.

3. To assure compliance with Water Quality Standards and effluent limitations through encouraging construction of sewerage improvements in support of KDHE Permitting and Enforcement activities.

The projects funded within FY '12 and the KDHE and K DFA combined efforts in FFY '93, FFY '95, FFY '97, FFY '98, FFY '99, FFY '00, FFY '01, FY '03, FY '04, FY '06, FY '09, and FY '11 in leveraging the fund and providing the required state matching funds indicate progress in accomplishing this goal.

The sewer rehabilitation projects and/or subsequent I/I removal for Bel Aire, Iola, Lincolnville, Parsons, and Silver Lake will help operations compliance with the existing treatment facilities. Improvements to the existing or construction of new mechanical treatment facilities at Edgerton and Hesston will help achieve compliance with the Kansas Surface Water Quality Regulations and also provide progress toward the nutrient reduction goals of the Kansas Nutrient Reduction Plan. The projects to construct new or expand and rehabilitate the existing lagoons at Ensign, Minneapolis, and Woodston will improve compliance with the Kansas Surface Water Quality Standards.

4. To encourage municipalities to use the KWPCRF for solving problems related to public health protection, water quality improvement, sludge handling improvements, and wastewater treatment facilities compliance through the construction of sewerage projects.

The program has been successful in accomplishing this goal as 16 new projects and 12 amendments for over \$22.85 million signed loan agreements during the year. The projects at Gardner and Shawnee County provide, in part, interceptors or expanded pumping stations to expand sewerage services to new areas of the communities.

Eleven traditional wastewater treatment design projects were completed in FY 2013 to the point of initiation of operation of the newly constructed facilities and numerous projects are now making repayments on loans. (There were no "green innovative" non-point source pollution control projects completed during the SFY.) All required repayments were received in full and on schedule.

Also note, the number of projects and total project amounts that were completed in FY 2012 to the point of initiation of operation was not complete and accurate. A total of 9 projects in the amount of \$10,836,404 were reported, but the correct total is 10 projects in the amount of \$11,942,307, including the last 3 projects to have received ARRA funding. The additional project was Leoti. Of these 10, 4 were "green innovative" non-point source pollution control project also completed to the point of initiation of operation in FY 2012. This was discovered when updating the National Information Management System (NIMS) for 2013, and NIMS is now correct.

The projects for Ensign, Parsons, Woodston, Glasco (amendment), Solomon (amendment), and Stockton (amendment) were necessary to comply with schedules in permits and/or orders from KDHE or EPA.

The use of the Fund continues as a projects continue to approach KDHE for funding, and total project cost estimates continue to exceed available funding. Due to this, KDHE has completed several revenue bond sale efforts in the past to generate additional leverage funds, and if necessary will continue these efforts in the future as allowed by the financial capability of the Fund.

5. To assure compliance with domestic sewage sludge reuse criteria and disposal practices through construction of any necessary sludge handling improvements to comply with the 40 CFR Part 503 EPA regulations.

Various projects help accomplish this goal by upgrading the sludge handling aspects of existing mechanical treatment facilities when the overall project is completed. These projects include Gardner, Hesston, and Pratt (amendment). KDHE preliminary project activities through the year continue to address this problem, and we expect continued loan activity in the coming year.

6. To develop and implement a linked-deposit procedure to fund projects with non-traditional borrowers.

This goal is being reconsidered. The advent of the new Federal requirements to provide principal forgiveness and encourage funding to non-point source pollution control green project reserve projects has eliminated the option to pursue a linked-deposit procedure for the KWPCRF program.

B. Long Term Goals and Accomplishments

In its Intended Use Plan, the State of Kansas made the following long-term commitments:

1. To maintain a self-supporting revolving loan program through the Kansas Water Pollution Control Revolving Fund in order to improve and protect water quality and public health.

To date, this goal is being met. The long term cash flow and fund balance projections developed by program financial advisors indicate the debt coverage ratios for the revenue bonds meet or exceed requirements. Also, the excess repayments of principal, i.e. principal repayments above the amounts necessary to satisfy payments on the KDHE Leveraged Bonds, are substantial and will

continue to be deposited into the General Loan account. This has allowed the sale of Leveraged Bonds in the past, and KDHE will sell additional leveraging bonds in the future as necessary and when capable. This also allows "recycled" monies to be available for projects and placed in loan agreements.

The service fees generated by the loan repayments continue to be used to pay for KDFA and/or Division of Accounts and Reports (DAAR) of the Department of Administration services, are also helping to support KDHE administration of water pollution control programs, and provide funding for an operator training contractor from outside the Department. See also Item V.A. for a discussion of administrative costs and service fees and see also Attachment 5..

2. To establish and manage an effective and efficient State Revolving Fund Program, provided that its revolving nature is assured in perpetuity.

To date, this goal is being met. The Fund has been sufficiently popular with municipal projects that all available funds are quickly committed to loan agreements, and the Fund has "leveraged" additional funds for major projects on ten occasions. The Fund has been efficient with a low administrative cost to project cost ratio, and projects would move very quickly into and through construction. Long term cash flow and fund balance projections developed by program financial advisors indicate a sufficient debt coverage ratio and continued growth of the Fund. A new Master Financing Indenture was developed in FY '11. Independent audits of the Fund have now been conducted for the past sixteen years and an independent audit is also being conducted for SFY '13. An A-133 Audit of the KWPCRF was conducted for FFY '10 and FFY '11 due to the ARRA funding and any and all questions and/or concerns are now resolved.

The recent changes to the KWPCRF program as a result of additional Federal conditions on the Capitalization Grants starting with FFY '10 funding have substantially increased the administrative burden to KDHE. Hopefully the Fund will remain popular with municipalities, and KDHE will take whatever actions are necessary to assure KWPCRF debt is repaid and the program continues financially secure into perpetuity.

3. To provide the type and amount of assistance most advantageous to local communities consistent with assuring the long-term purchasing power and stability of the Fund.

The State continues to pursue these long term goals. KDHE and KDFA will continue to monitor the financial status of the Fund.

4. To continue to fund water quality improvement, sludge handling improvements, and public health protection projects on a priority basis as presented in the Project Priority List.

Projects are included on the Intended Use Plan (IUP) first on basis of priority from the List, and then based on readiness to proceed. Projects for communities with population of 5,000 or less are often included on the basis of priority need, to help in development of projects.

5. To support implementation of Water Quality improvements plans as presented within the Kansas Water Plan and TMDL plans written by KDHE and approved by EPA.

This goal will continue to the FFY 2014 effort. KDHE efforts have been successful, as reflected in the large number of water quality improvement projects funded in the past and targeted by the IUP for funding in the future. The KWPCRF also continues to target funding to projects in the Kansas Lower Republican River Basin and in the Lower Arkansas River Basin in support of the Governor's Water Quality Initiative, and is targeting funding to projects with discharges to High Quality Watersheds as described in the Project Priority System.

6. To provide funding to non-traditional borrowers for water quality improvement and public health protection projects, including non-point source pollution control projects, through the new options allowed by the Federal FFY '10 and subsequent appropriations as implemented by the Master Financing Indenture.

The fund is now providing financing to non-traditional borrowers for non-point source pollution control projects and in the past 5 years has provided \$8,071,832 to 16 loans. These projects will improve water quality in the local areas by alleviating surface runoff non-point sources of pollution.

IV. DETAILS OF ACCOMPLISHMENTS

A. Fund Financial Status

1. Binding Commitments

As shown in Exhibit 2, the Kansas Water Pollution Control Revolving Fund entered into binding agreements with 16 projects and 4 major and 8 minor amendments to existing Loan Agreements in order to provide financial assistance for Section 212 "traditional" wastewater collection and treatment projects and also for Section 319 "green innovative" non-point source pollution control projects. The total amount of binding commitments to loans in SFY 2013 is \$22,850,917. Also, by receiving the FFY '12 EPA Capitalization Grant offer KDHE has obtained additional funds for Administration. Many of the projects funded in this fiscal year have all of the "Cross-Cutters" requirements imposed, and in the future additional projects will be funded with all "Cross-Cutters" imposed to insure total funded projects exceed the total Capitalization Grants received for the program.

2. Sources of Funds

Exhibit 3 shows all sources of KWPCRF funds for FY '13. Federal funds of \$12,757,000 were received from the 2012 appropriation during SFY 2013.

3. Revenues and Expenses

The Statement of Revenues, Expenses, and Changes in Fund Net Position is Exhibit 11 of this report. Fund revenues consisted of ACH draws of capitalization grants restricted for administration, interest earned on investments and debt

service reserve funds, interest repayments on loans to communities, as well as capitalized interest during construction on certain loans. Fund expenses included KDHE administration expenditures, amortized bond issuance costs, arbitrage rebate, and interest paid on bonds.

4. Disbursements

On an accrual basis during the fiscal year a total of \$32,415,540 was disbursed, reference Exhibit 8, which is the total Project Payments, Interest Accrued, and Fees Accrued. This includes Federal monies (\$19,481,879) and State Match (\$2,665,845), and \$10,267,816 of Leveraged Bond monies and recycled monies to numerous projects. In addition, \$1,199,517 has been expended for the annual audit, payment to DAAR for accounting and reporting services, payment to KDFA for bond administration services, and KDHE administrative expenses (see Exhibit 11). These expenses are paid from revenue generated from a service fee charged to all loan recipients. The \$22,522,828 TOTAL Select Disbursements includes \$19,481,879 Federal monies for project payments, plus \$375,103 actual KDHE program administration costs, including the costs to administer the Needs Survey (see Exhibit 9).

5. Supplemental Information

Exhibit 14 entitled "Supplemental Schedules" contains three sections which provide detailed information regarding investments, accounts receivable, and accounts payable amounts included in the Statement of Net Position. Earnings on investments by the Pooled Money Investment Board within the State Treasurer's Office accrued at an annual rate of approximately 0.12% during the fiscal year. With creation of the Master Financing Indenture in December 2010, the KWPCRF also has cash held by the Trustee, United Missouri Bank.

6. Audit for FY '13

The State Single Audit for Fiscal Year '13 (i.e. 7/1/12 through 6/30/13) is being conducted by RubinBrown. The independent audit of the KWPCRF for the prior twelve months of SFY '12 which ended June 30, 2012, was also conducted by RubinBrown. The independent audit report of the KWPCRF for FY '13 will be included as Attachment 2 to this Annual Report when the Audit Report becomes available. The State Single Audit for Fiscal Year '13 may also include a review of the KWPCRF; the Audit Report for SFY '13 is not yet available. The State Single Audit Report for SFY '12 is available on the website <http://www.kslpa.org/docs/reports/R-13-007.pdf>.

B. Assistance Activity

Exhibits 1-3 and Exhibit 6 illustrate the assistance level financial activity of the Fund for FY '13.

Exhibit 1 shows new projects and increase amendments which the KWPCRF has funded. The chart is separated into four sections: Part 1 lists all Section 212 publicly owned treatment works receiving assistance; Part 2 lists all Non-Point Source Management Program loans; Part 3 (not shown) would present the National Estuary projects (of which there were none); and Part 4 shows the

binding commitment made to provide program administration costs. The projects funded in FY '13 count toward "Cross-Cutters" requirements, and in the future additional projects will be funded with all "Cross-Cutters" imposed to ensure total funded projects exceed the total Capitalization Grants received for the program.

For each project, Exhibit 1 shows the communities served and loan applicants, project number, assistance amount, binding commitment date, construction start date, initiation of operations date, the type of assistance being provided, and the interest rate and maturity of all loans.

Exhibit 2 shows a breakdown of binding commitments by quarter.

Exhibit 3 illustrates the sources of funds for FY '13 broken down by quarter.

Exhibit 6 shows the assistance amount provided to each Section 212 and Section 319 project by needs category.

The level of risk for outstanding loans is consistent for the communities receiving assistance, in that the KWPCRF typically requires a "General Obligation Pledge" and so the bond rating of a community (or even the lack of a rating) is of secondary importance. This demand for a "G.O. Pledge" coupled with KDHE review/approval of the Financial Capability submittal and certification of fund sources available for debt service (including loan repayments and existing debt) and operation, maintenance, and replacement expenses ensures adequate funds are provided for repayments to the Fund.

Several wastewater systems within the State are operated as a "utility", i.e., are fully supported by the rate payers. These systems historically operate with "Revenue Obligation" debt only. KDHE revised procedures within FFY '92 under the Master Bond Resolution to accommodate these systems. Any wastewater system which did not or could not present a "General Obligation Pledge" was required by the covenants of the KDFA Revenue Bond to secure the obligation of the Municipality to make loan repayments by an Insurance Policy issued in favor of KDHE. The Insurer which issues the Policy had to be rated not less than "AAA" by Moody's Investors Services, Inc. and "AAA" by Standard & Poor's Corporation. These Insurance Policies typically required a 10% loan reserve account be established and might require a rate covenant. Such loan reserve accounts continue to be held in trust by the Fund. KDHE has further revised procedures within FFY '11 under the Master Financing Indenture to continue to accommodate systems that historically operate with "Revenue Obligation" debt only, with similar requirements that reflect current financial market conditions but recognize a Policy of Bond Insurance is no longer available for the relatively low amounts of the loans provided by the KWPCRF.

Now with the advent of the ARRA program funding and the FFY 2010 and subsequent federal appropriations, certain projects which qualify for the "green project reserve" also received "principal forgiveness" for a portion of the KWPCRF loan. This combination of loan low interest and principal forgiveness has now allowed the KWPCRF to fund non-point source pollution control projects to not-for-profit applicants, without endangering the financial stability of the KWPCRF.

C. Provisions of the Operating Agreement/Conditions of the EPA Capitalization Grants

The State of Kansas agreed to numerous conditions in the Operating Agreement and the 21 previously received Capitalization Grant Agreements from EPA. The ARRA grant included many additional unique conditions. In prior years, KDHE had reported compliance with special conditions of old Capitalization Grants from which administrative funds were actually being spent. In FFY '98, EPA requested KDHE spend all Capitalization Grant funds from prior years in an "expeditious and timely" manner. Therefore the older Capitalization Grants are being completely drawn down, with the administrative funds amounts carried forward to newer Capitalization Grants in a process where KDHE "reserves the right" to draw funds for current administrative expenses from the current Capitalization Grant being utilized, up to the latest received Capitalization Grant. Therefore, KDHE will report compliance for administrative expense spending of federal funds with the Special Conditions of the Capitalization Grant currently being drawn. KDHE believes all conditions have been met, and several conditions are more fully described below. The grants will be utilized for project costs, and also for the administrative costs, on a "first in - first out" basis. KDHE has "reserved the right" for the budgeted administrative expenses to be spent from a future grant. The following conditions have been met as described in the operating agreement or the capitalization grant agreement:

- Agreement to Accept Payments
- State Laws and Procedures
- State Accounting and Auditing Procedures
- Recipient Accounting and Auditing Procedures
- Use of the Automated Clearing House (ACH)
- Repayments
- Annual Audit
- Annual Report
- Annual Review
- Anti-lobbying
- Rural Area Business Enterprise Development Plan
- Use of EPA Approved Indirect Cost Rate
- Prior EPA Approval of Administrative Contracts and Equipment Purchases
- Development of Priority System, List, and IUP with Public Participation
- Suspension and Debarment Certifications
- Use of Administrative Monies in Compliance with 40 CFR 31.36
- Use of Recycled Paper
- Implement the EPA - approved Quality Assurance Plan
- Hotel and Motel Fire Safety Act of 1990
- Financial Status Reports

The following conditions are being met as described more fully below.

1. Provide 20% State Match.

The total provided for State Match since the inception of the Program is \$58,306,691. KDHE completed the sale of State Match bonds in January 2013 for \$2,655,845 with \$1,482,045 used to fully match the 2012 Cap Grant, and \$1,173,800 to partially match the 2013 Cap Grant.

Additional State Match bonds will be sold in the future as needed for the additional State Match funding for the FFY '13 and future Capitalization Grants. The FFY '13 Capitalization Grant requires State Match funding of \$2,410,200. Presently, \$1,173,800 of the prior \$2,665,845 borrowing has been applied to the State Match for the FFY '13 Capitalization Grant, and an additional \$1,236,400 State Match funding will be provided from the future sale of State Match bonds currently scheduled to occur in the calendar quarter October to December 2013. This borrowing may also provide state match for the future FFY 2014 Capitalization Grant.

2. Binding Commitments Within One Year

The State of Kansas will enter into binding commitments to provide assistance from the KWPCRF in amounts greater than or equal to 120 percent of each quarterly grant payment (per schedule in the grant offer or subsequent amendments) within one year after each scheduled quarterly ACH increase. See Exhibit 4 for the comparison of select binding commitments to grant payments.

3. Expeditious and Timely Expenditure

The State of Kansas will disburse all cash draws and other available KWPCRF funds in a timely and expeditious manner. Kansas will monitor the projects to ensure timely initiation of operations in accordance with the established schedules.

4. Eligible Activities of the KWPCRF

All projects and project costs funded by the KWPCRF were eligible for funding by the KWPCRF. The eligible activities of the KWPCRF have not changed during the year, however the ARRA grant and the FFY 2010, FFY 2011 and FFY 2012 Cap Grants require principal forgiveness be provided to loans funded with federal funds and requires a portion of the grant funds be provided to "green project reserve" qualifying projects.

5. Compliance with "Cross-Cutters" Requirements

The KWPCRF loan application packets reflect all "cross cutters" requirements. This information is closely reviewed before signing a Loan Agreement, approving an engineering contract, or approval of construction bids. Also, KDHE provides oversight inspection and reviews on-going construction administration of all projects. The KWPCRF will also impose the additional requirements of the ARRA projects that will receive these funds.

Any prior year's funded projects which met all "Cross-Cutters" that exceeded the federal share of the capitalization grants were banked toward the next capitalization grant's "Cross-Cutters" requirement. As additional Capitalization Grants are received additional projects are being funded requiring compliance with "Cross-Cutters" requirements, and so specific projects will be identified in future Intended Use Plans. The Procurement Policy is updated as necessary to ensure all necessary Federal Cross-Cutters are addressed.

6. MBE/WBE (DBE) Requirement

The FFY 2012 Program Requirements and prior EPA Capitalization Grants include various "fair share" objective goals for the KWPCRF to provide MBE/WBE (DBE) participation. There are four separate categories of "fair share" objectives including supplies, equipment, services, and construction for the entire agency. The KWPCRF Procurement Policy reflects the (MBE/WBE) Disadvantaged Business Enterprise utilization approach acceptable to EPA Region VII through the FFY '12 Capitalization Grant for the "construction" category. The supplies, equipment, and services categories may be utilized with the 4% administration portion of the grant, and are not reported here. MBE/WBE (DBE) participation for supplies, equipment, and services are reported separately to EPA by KDHE. The "fair share" objective goals for "construction" within the FFY 2011 and prior EPA Capitalization Grants are MBE - 4.1% and WBE - 6.9%.

The older loan agreement individual loan recipients submit a completed EPA Form 5700-52A within 15 days after each quarter in which sub-agreements were awarded for Loan Agreement costs. These submittals are compiled by KDHE and a Quarterly MBE/WBE Consolidation Report is submitted to EPA Region VII within 30 days after each quarter in which sub-agreements were awarded for Loan Agreement costs. The newer loan agreements reflect the new DBE regulations. The DBE regulation changes created new forms to be utilized for reporting, and impose a semi-annual reporting frequency on loan recipients. However, KDHE continues to report to EPA Region 7 on a quarterly basis.

For SFY '13 for Loan Agreement ("construction") costs, a total of \$27,911 was reported for sub-agreements with MBE/WBE firms. This includes \$25,674 MBE subcontracts and \$2,237 WBE subcontracts. For the program to date 4.77% (14.71 / 308.3) of the total Capitalization Grants amount have been agreements awarded to MBE firms, and 5.92% (18.25/308.3) have been agreements and sub-agreements awarded to WBE firms.

7. Other Federal Authorities

The State of Kansas has complied with all applicable federal authorities and special conditions of the EPA Capitalization Grants.

Recipients of KWPCRF assistance have complied with the program requirements presented within the loan agreements between the recipient and the State of Kansas. The recent loan recipients are required to submit project audit reports for fiscal year 2012 by September 30, 2013, as specified in the Loan Agreements. Typically, project audits are completed by all loan recipients where required by the loan agreements, but not all are submitted by September 30. As in prior years, KDHE will continue to pursue submittal of all project audits from loan recipients as required by the covenants of the loan agreements. An audit tracking system is maintained by KDHE to log incoming audits. After the September 30 deadline reminder letters are sent out to those not received.

The Federal OMB Circular A-133 requires any loan recipient that receives in excess of \$500,000 in Federal funds within a fiscal year to conduct an A-133 audit, and KDHE can designate certain projects in the IUP as having received federal funds for A-133 compliance purposes. The loan recipient' fiscal year is typically January 1 to December 31, and in these cases the KWPCRF institutes a

procedure to review the IUP designations and/or project payment records in January. If a loan recipient has a different fiscal year period a similar notification process is followed. KDHE notifies every loan recipient that has received project payments from the Federal Capitalization Grants of the amount of Federal funds allocated or received in the prior calendar (or local fiscal) year. This allows the recipient auditor to determine if an A-133 type audit is required.

8. State Environmental Review Process (SERP)

During FY '13, the State of Kansas conducted environmental reviews on the 16 Section 212 and Section 319 projects in accordance with the SERP. It was determined that no Environmental Impact Statements (EIS) were necessary; instead, an Environmental Assessment (EA) was prepared and a Finding of No Significant Impact (FNSI) was issued for each project.

9. Cash Draw

Exhibit 5 shows the total drawn from the Federal ACH for projects and Administration expenses. Excluding the ARRA funds, Leveraged Bond monies and Recycled Loan monies, this represents an 88.16% Federal Share in FY '13. Overall the program now has an 82.24% Federal Share, excluding the ARRA funds, Leveraged Bond monies and Recycled Loan monies. The ARRA monies are tracked separately, as there was no state match requirement.

The KWPCRF uses the cash flow method of leveraging the CWSRF. The federal capitalization grants are **not** used as security on the bonds. For SFY2013 the KWPCRF continued to follow the EPA Memorandum "Clarification of Cash Draw Rules for Leveraged SRF Programs" (August 26, 2011). A portion of the state matching funds for the 2013 cap grant has been deposited to the KWPCRF and has been paid to loan recipients, and the remaining amount is planned to be deposited in the calendar quarter October to December 2013. The Payment Schedule provided to EPA reflects this plan. State match is provided before the payment of capitalization grant funds is received and all state match funds are disbursed before any federal funds are drawn for project payments, therefore the grant funds are drawn at a 100% proportionality ratio.

10. Third Quarter Disbursement Schedule/Commitment

With reference to EPA Headquarters Memo 00-10, beginning in FFY 2000, disbursement projections are no longer required by EPA.

11. Independent Audit per 40 CFR 35.3165

The Division of Accounts and Reports (DAAR) of the Kansas Department of Administration on behalf of KDHE and the KWPCRF has contracted for a financial audit per 40 CFR 35.3165 for FY '13. The State Single Audit for Fiscal Year '13 may also include a review of the KWPCRF; the Audit Report for SFY '13 is not yet available. A Financial Audit of the KWPCRF was conducted for FY '12, and that report is available. The Financial Audit for FY '13 is not yet available.

12. Priority List and Intended Use Plan

The SFY 2014 Priority List and Intended Use Plan were submitted to EPA for approval and acceptance.

13. Green Project Reserve

The EPA guidance document entitled “2010, Clean Water and Drinking Water State Revolving Fund 20% Green Project Reserve: Guidance for Determining Project Eligibility” dated April 21, 2010, and the EPA guidance document entitled “2011, Clean Water and Drinking Water State Revolving Fund 20% Green Project Reserve: Guidance for Determining Project Eligibility” dated May 20, 2011 and the EPA guidance document entitled “2012 Clean Water State Revolving Fund 10% Green Project Reserve: Guidance for Determining Eligibility” dated March 2, 2012 requires the Annual Report include “information regarding key project characteristics, milestones, and environmental/ public health protection results” to achieve “4) compliance with the Green Project Reserve requirement” of the EPA guidance and federal law. (The FFY 2013 EPA guidance is not referenced here as the FFY 2013 Capitalization Grant was not received in SFY 2013.) A summary of Green Project Reserve funding with FFY 2010 Capitalization Grants is provided in Exhibit 1A within this Annual Report. A summary of Green Project Reserve funding with FFY 2011 Capitalization Grants is provided in Exhibit 1B within this Annual Report. A summary of Green Project Reserve funding with FFY 2012 Capitalization Grants is provided in Exhibit 1C within this Annual Report.

The KWPCRF published the “Final SFY 2011 KWPCRF Intended Use Plan” dated September 16, 2010, which presents the plan and approach to assure the minimum 20% Green Project Reserve (GPR) funding goal is met. KDHE chose to continue the methods utilized for the ARRA funding to encourage GPR projects receive funding.

The FFY 2010 GPR set aside amount was \$3,678,200. KDHE had set aside 20% of the 2010 federal appropriation, that is, \$3,678,200, for non-point source (NPS) pollution control projects. As shown on Exhibit 1A the total estimated GPR amount of \$5,755,628 was funded.

The FFY 2011 GPR set aside amount was \$2,665,600. Also, KDHE has set aside 20% of the 2011 federal appropriation, that is, \$2,665,600, for non-point source (NPS) pollution control projects. As shown on Exhibit 1B the total estimated GPR amount of \$9,929,979 was funded.

The FFY 2012 GPR set aside amount was \$1,275,700. Also, KDHE has set aside 10% of the 2012 federal appropriation, that is, \$1,275,700, for non-point source (NPS) pollution control projects. As shown on Exhibit 1C the total estimated GPR amount of \$3,055,893 was funded.

The Watershed Management Section of the Bureau of Water administers the NPS projects funded by the KWPCRF.

KDHE will continue to develop the GPR aspects of all other “traditional” wastewater collection and treatment projects from the 2014 Intended Use Plan, and consider adding additional traditional wastewater projects as funding allows.

14. Principal Forgiveness

The April 21, 2010, EPA Guidance, the May 20, 2011, EPA Guidance, and the March 2, 2012 EPA Guidance also requires the Annual Report include “information regarding key project characteristics, milestones, and environmental/public health protection results” to achieve “5) compliance with the additional subsidization requirement” of the EPA guidance and Federal law. (The FFY 2013 EPA guidance is not referenced here as the FFY 2013 Capitalization Grant was not received in SFY 2013.) A summary of principal forgiveness for Loan Agreements funded with the 2010 Cap Grant is provided in Exhibit 1A within this Annual Report. A summary of principal forgiveness for Loan Agreements funded with the 2011 Cap Grant is provided in Exhibit 1B within this Annual Report. A summary of principal forgiveness for Loan Agreements funded with the 2012 Cap Grant is provided in Exhibit 1C within this Annual Report.

The KWPCRF published the “Final SFY 2011 KWPCRF Intended Use Plan” dated September 16, 2010, which presents the plan and approach to assure the minimum principal forgiveness amounts are met and the maximum allowable amounts are not exceeded. KDHE chose to continue the methods utilized for the ARRA funding to encourage GPR projects receive funding.

The FFY 2010 maximum principal forgiveness set aside amount was \$9,181,294 and as shown on Exhibit 1A the total estimated principal forgiveness amount of \$9,181,294 was funded.

The FFY 2011 principal forgiveness set aside amount was \$4,116,837 and as shown on Exhibit 1B the total estimated principal forgiveness amount of \$4,116,837 was funded.

The FFY 2012 principal forgiveness set aside amount was \$1,063,482 and as shown on Exhibit 1C the total estimated GPR amount of \$1,063,482 was funded. KDHE will continue to administer the principal forgiveness aspects of the Clean Water SRF program for wastewater collection and treatment projects from the 2014 Intended Use Plan, and consider adding additional projects as funding allows.

The GPR status of a project design and the amount of principal forgiveness is summarized in the Executive Summary of this Annual Report for all projects funded within the report year.

Programmatic Condition No. 6 of the FFY 2010 Capitalization Grant, Programmatic Condition 3 of the FFY 2011 Capitalization Grant, and Programmatic Condition 3 of the FFY 2012 Capitalization Grant include the following paragraph:

“Priority for additional subsidies should be given to communities that could not otherwise afford such projects or that are defined by the state as disadvantaged. To further ensure sustainability of projects receiving additional subsidies, these subsidies should be directed to: 1) repair, replacement, and upgrade of infrastructure in existing communities; 2) investigations, studies, or plans that improve the technical, financial and managerial capacity of the assistance recipient to operate, maintain, and replace finances infrastructure; and/or 3) preliminary planning, alternatives assessment and eligible capital projects that

reflect the full life cycle costs of infrastructure assets, conservation of natural resources, and alternative approaches to integrate natural or “green” systems into the built environment. The recipient agrees to provide in its Annual Report an explanation as to how they did or did not address this provision.”

As previously indicated, the KWPCRF met the intent of this grant condition by providing a minimum 15% principal forgiveness to all loans for engineering and construction costs to assure the minimum required Additional Subsidy requirement is met, and also provided an additional 25% principal forgiveness in certain loans for engineering and construction costs of the “green” design components of the project to encourage these types of design components and also help assure the minimum 20% of capitalization grant funding for Green Project Reserve stipulated goal is met. As the amounts of federal funding for principal forgiveness are reduced, the number of loans receiving principal forgiveness are reduced, and the total amounts provided are reduced.

15. Federal Funding Accountability and Transparency Act (FFATA)

The KWPCRF complies with the requirements of FFATA by selecting a number of projects to report into the federal database each year in a total dollar amount somewhat exceeding the amount of the Capitalization Grant for that federal fiscal year. See Attachment 6.

V. PROGRAM CHANGES

A. Comparison with the Intended Use Plan

The Annual Report reflects the following changes from the original Intended Use Plan.

- Of the projects listed on the Intended Use Plan 16 obtained loan agreements. The 16 projects which signed loan agreements were generally on schedule with the IUP. The numerous other projects listed on the IUP and the updates to the IUP continue to be developed. The projects which have been funded are listed in Exhibit 1. All other projects on the IUP are developing engineering studies and applications.
- Program administration disbursements were estimated in the IUP to total 4% of the projected disbursements for projects for the year. Actual administration disbursements totaled \$1,567,018 (\$367,501 ACH plus \$1,199,517 service fees) (see Exhibit 11). Considering the Federal/State, Leveraged Bond, and Recycled Monies disbursements, total disbursements in SFY 2013 including capitalized interest accrued and service fees accrued are \$32,415,540 (reference Exhibit 8), and administration disbursements are 4.83% of the total.

B. Modifications of Goals and Objectives

- The Final IUP listed six long term goals, and KDHE management of the KWPCRF reflected these goals. KDHE efforts are to manage the KWPCRF to provide a financing option attractive to local governments in constructing water pollution control projects of high priority to both the local and state governments, while protecting and maintaining the financial security of the revolving loan fund

in perpetuity. KDHE anticipates a review and possible modifications to the long term goals of the program in development of the upcoming Intended Use Plans.

- The Final IUP also listed six short term goals, and KDHE has made progress in achieving these goals in FY '13. The KWPCRF continues to fund projects on a priority basis from the approved Project Priority List, while also offering low interest loan financing to nearly any and all projects for municipalities with population of 5,000 or less.

VI. PROPOSED IMPROVEMENTS

A. Modification of the Program

At the time of this FY '13 Annual Report, i.e. June 30, 2013, KDHE is concentrating on developing many smaller (i.e., less than \$1.0M), several mid-size (i.e., \$1.0M to \$10.0M), and several larger (i.e., over \$10 M) projects. As before, the Priority System targets projects on a watershed basis, with the Governor's Water Quality Initiative directing resources to the Kansas/Lower Republican basin and also the Lower Arkansas basin.

The Tax Increase Prevention and Reconciliation Act of 2005 (TIPRA) has had a significant effect on the cash flow management and future borrowing projections and timing of bond sale of the KWPCRF, with the result the bond sale schedules were significantly delayed. Also, the prior turmoil in the national credit markets continues to impact the daily routine and management of the KWPCRF. Daily operations and decision making as well as operating procedures have adjusted to these ongoing challenges to the management of the Program. With the passage of the ARRA significant additional Federal funding was available, but with significant additional administrative provisions. The FFY 2010, FFY 2011, and FFY 2012 Federal funding also includes many of these new administrative and reporting provisions.

B. Long and Short Term Goals for Future IUP

KDHE will continue to pursue the long-term goals of the Program and consider revisions to the short-term goals of the Program. The large number and small size of projects and the scheduling of these projects continues to be challenging. The new Master Financing Indenture allows the KWPCRF management team to better react and adjust to financial market stresses and to better implement new Federal demands presented by Capitalization Grant conditions. The new and complex administrative requirements of the EPA funding are challenging. These issues and priorities will continue to be discussed and debated in the public hearing process of the Intended Use Plan.

The Kansas Surface Water Quality Standards continue to be updated with revisions adopted on an annual basis and so the required upgrades to wastewater treatment facilities are proceeding. Even with the potential of delays for certain projects, all available funds in the KWPCRF are being exhausted by the projects which are now voluntarily proceeding. KDHE will continue to encourage all necessary projects proceed with construction of upgrades. KDHE completed the sale of additional State Match and Leveraged Revenue Bonds in

January 2013, and will conduct an additional bond sale as necessary in the future for additional state match.

KDFA continues to provide services as required by the revenue bonds. Accounting and reporting services are provided by the Kansas Department of Administration. A three-party Inter-Agency Agreement is in place presenting the duties, responsibilities, and payments for services.

KDHE is continuing to develop and implement options to provide funding to Non Point Source (NPS) pollution control projects under the new Master Financing Indenture, following the current EPA funding opportunities which allow these types of projects to receive low interest loan funding with substantial principal forgiveness.

EXHIBIT 1 PART 1: SECTION 212 PUBLICLY OWNED TREATMENT WORKS

PROJECT NAME COMMUNITIES SERVED	PROJECT NUMBER	ASSIST AMOUNT	BINDING COMMIT DATE	CONSTRUCTION START DATE	INITIAL OPER DATE	* TYPE	INT RATE (a)	MATURE DATE
Loans								
BEL AIRE	1959 01	535,980	01/15/13			L	2.12	03/01/34
ENSGN	1764 01	340,705	08/31/12	07/01/13		L	2.30	03/01/34
GARDNER	1956 01	6,945,000	08/07/12	02/14/13		L	2.30	03/01/34
HESSTON	1960 01	2,291,249	03/05/13			L	2.16	09/01/34
IOLA	1932 01	785,000	02/25/13	04/29/13		L	2.11	09/01/33
LINCOLNVILLE	1929 01	245,275	08/24/13			L	2.30	03/01/34
LORRAINE	1958 01	100,000	11/29/12	05/15/13	05/20/13	L	2.16	09/01/33
MINNEAPOLIS	1951 01	526,144	09/28/12	07/09/13		L	2.29	03/01/34
PARSONS	1957 01	500,000	11/15/12			L	2.23	09/01/33
SILVER LAKE	1934 01	697,400	01/28/13	04/14/13		L	2.12	09/01/33
WOODSTON	1770 01	114,150	09/21/12			L	2.29	03/01/34
	Subtotal	13,080,903						
Amendments								
CHEROKEE COUNTY	1745 01	95,000.00	09/05/12	11/12/12	03/20/13	L	2.61	09/01/32
COLONY	1939 01	177,400.00	06/06/13	05/12/13		L	2.42	03/01/33
GARDNER	1956 01	2,639,000.00	04/24/13	02/14/13		L	2.30	03/01/34
GLASCO	1912 01	150,000.00	07/23/12	08/27/12	11/28/12	L	2.66	03/01/33
LABETTE COUNTY	1749 01	261,000.00	09/02/12	06/05/07	12/31/07	L	2.51	03/01/28
LEOTI	1822 01	290,000.00	08/10/12	09/26/11	06/11/12	L	3.06	03/01/33
PLAINS	1936 01	97,397.00	05/13/13	07/08/13		L	2.31	03/01/33
PRATT	1799 01	1,770,637.00	01/15/13	04/08/13		L	2.45	03/01/32
ROBINSON	1937 01	171,205.00	06/06/13	09/16/13		L	2.42	03/01/33
SHAWNEE COUNTY	1916 01	650,000.00	09/10/12	03/14/11	03/13/12	L	2.66	03/01/32
SOLOMON	1791 01	85,000.00	08/01/12	09/21/12	05/01/13	L	2.55	09/01/31
STOCKTON	1802 01	780,000.00	03/13/13	06/03/13		L	3.01	03/01/33
	Subtotal	7,166,639						
PART 1 TOTAL		20,247,542						
Note (a) : Interest rate includes .25% service fee								

EXHIBIT 1 PART 2: SECTION 319 NON POINT SOURCE PROJECTS

PROJECT NAME COMMUNITIES SERVED	PROJECT NUMBER	ASSIST AMOUNT	BINDING COMMIT DATE	CONSTRUCTION START DATE	INITIAL OPER DATE	* TYPE	INT RATE (a)	MATURE DATE
Loans								
GLACIAL HILLS RCDC (DEL/MUDDY)	1946 01	388,295	07/16/12			L	2.33	09/01/33
GLACIAL HILLS RCDC (WOLF RIVER)	1942 01	153,948	09/17/12			L	2.29	09/01/33
KANSAS WATER OFFICE (COTTONWOOD)	1944 01	1,310,652	07/12/12			L	2.32	09/01/33
KANSAS WATER OFFICE (SMOKEY HILL)	1943 01	300,480	07/12/12			L	2.33	09/01/33
UNIVERSITY OF KANSAS	1945 01	450,000	09/10/12	07/02/13		L	2.29	03/01/33
	Subtotal	2,603,375						
PART 2 TOTAL		2,603,375						
Note (a) : Interest rate includes .25% service fee								

EXHIBIT 1 PART 4: SECTION 603(d)(7) PROGRAM ADMINISTRATION

		DATE **	TYPE
PROG ADM - 09 (Reserved the Right)	244,172	01/22/09 06/24/09	A
PROG ADM - ARRA (Reserved the Right)	1,414,968	08/06/09 02/12/10	A
PROG ADM - 10 (Reserved the Right)	735,640	11/03/10	A
PROG ADM - 11 (Reserved the Right)	533,120	08/25/11	A
PROG ADM - 12 (Reserved the Right)	510,280	08/01/12	A
PART 4 TOTAL	3,438,180		
PART 4 SFY 2013 TOTAL	510,280		

GRAND TOTAL SFY 2013 **23,361,197**

* L=Loans; A=Administration

** Binding Commitment date for Prg Adm based on Award Date

Exhibit 1A
 Summary of Actual Loan Amounts, SFY 2010, SFY 2011, and SFY 2012 Project Amounts,
 Green Project Reserve Amounts, and Principal Forgiveness Amounts
 for all Loans Funded with FFY 2010 Funds as of 6/30/13
 \$18,391,000 Federal Funds Available,\$9,181,294 Maximum Principal Forgiveness

Project Name	Project Number	Loan Amount	Loan Prin. Forg.	GPR Amount	2010 Grant Funds	Add'l from Basic Prog.
Newton Amendment - Fin Amd 9/20/11	1747 01	3,102,858.62	465,428.79		480,000.00	2,622,858.62
Pott/Man - Fin Amd 10/31/10	1786 01	4,676,603.40	698,227.93		1,130,791.25	3,545,812.15
Shawnee Co - Sw (See also 2012 Exh C)	1916 01	2,000,000.00	300,000.00		1,870,266.33	129,733.67
Cherokee County* - A1 9/5/12	1745 01	350,000.00	38,250.00		350,000.00	
Council Grove - Fin Amd 4/10/12	1784 01	509,600.00	76,440.00		509,600.00	
Grinnell - Fin Amd 10/11/12	1908 01	522,342.80	78,351.42		270,620.00	251,722.80
Cawker City - Fin Amd 3/26/13	1905 01	255,669.41	38,350.41		253,792.41	1,877.00
Viola*	1692 01	450,000.00	67,500.00		450,000.00	
LaCrosse* - KDHE Green	1206 01	2,678,530.00	1,071,412.00		2,678,530.00	
Pratt* (GPR) - A2 1/15/13	1799 01	1,642,000.00	656,800.00	1,642,000.00	1,642,000.00	
Leoti - KDHE Green - Fin Amd 5/21/13	1822 01	888,148.72	296,000.00		511,801.81	376,346.91
Park - CDBG - Fin Amd 10/11/12	1901 01	313,472.51	0.00		0.00	313,472.51
Oakley - CDBG - Fin Amd 10/1/12	1783 01	1,563,220.93	0.00		0.00	1,563,220.93
Solomon* - CDBG A1 8/1/12	1791 01	280,675.00	0.00		0.00	280,675.00
Moran* - CDBG	1909 01	353,389.00	0.00		0.00	353,389.00
Argonia - CDBG - Fin Amd 2/10/12	1782 01	641,992.35	0.00		0.00	641,992.35
Glasco* - CDBG - A1 8/3/12	1912 01	325,600.00	0.00		0.00	325,600.00
Troy* - CDBG	1913 01	270,800.00	0.00		0.00	270,800.00
Stockton* - KDHE Green - A1 3/13/13	1802 01	4,622,300.00	1,848,920.00		4,622,300.00	
Glac. Hills Ph. 2 (GI) - Fin Amd 4/16/12	1882 01	295,776.06	295,776.06	295,776.06	295,776.06	
El Dorado- GPR - Fin Amd 1/18/13	1827 01	2,106,252.00	842,500.80	2,106,252.00	2,106,252.00	
Independence (SE PS)*	1915 01	4,000,000.00	600,000.00		4,000,000.00	
Glac. Hills RC&D Holton* (GI)	1881 01	191,300.00	191,300.00	191,300.00	191,300.00	
Flint Hills RC&D* (GI)	1921 01	764,300.00	764,300.00	764,300.00	764,300.00	
Glac. Hills RC&D* Ph 3 (GI)	1923 01	756,000.00	756,000.00	756,000.00	756,000.00	
Hill City* ** - KDHE Green	1801 01	4,999,110.00	95,736.59		4,999,110.00	
TOTALS		38,559,940.80	9,181,294.00	5,755,628.06	27,882,439.86	10,677,500.94

*All Loan Amounts and Totals will change as projects are bid and built and funds are actually spent.

** The Hill City project is split funding from both FFY 2010 and FFY 2011 Principal Forgiveness.

(Overrun - Carry forward to 2011)

9,491,439.86

Exhibit 1B
 Summary of Actual and Projected Loan Amounts, SFY 2011 and SFY 2012 Project Amounts,
 Green Project Reserve Amounts, and Principal Forgiveness Amounts
 for all Loans Funded with FFY 2011 Funds as of 6/30/13
 \$13,328,000 Federal Funds Available, \$4,116,837 Maximum Principal Forgiveness

Project Name	Project Number	Loan Amount	Loan Prin. Forg.	GPR Amount	2011 Grant Funds	Add'l from Basic Prog.
2010 Carryover					9,491,439.86	
Hill City* - KDHE Green	1801 01	(see 2010)	1,903,907.41		(see 2010)	
Pott Co. (GI) - Fin Amd 1/15/13	1860 01	44,800.00	33,600.00	44,800.00	44,800.00	
Chanute* - CDBG	1930 01	360,428.00	0.00		0.00	360,428.00
Marion* - CDBG	1924 01	433,625.00	0.00		0.00	433,625.00
Grainfield*	1931 01	903,594.00	135,539.10		903,594.00	
Jo Co Lone Elm* - GPR	1920 02	1,452,921.00	581,168.40	1,452,921.00	1,452,921.00	
Colony* - CDBG	1939 01	274,725.00	0.00		0.00	274,725.00
Robinson* - CDBG - A1 6/6/13	1937 01	295,143.00	0.00		0.00	295,143.00
Edgerton*	1926 01	10,748,000.00	0.00		10,748,000.00	
Leon* - CDBG	1914 01	732,253.00	0.00		0.00	732,253.00
Assaria* - CDBG	1903 01	500,020.00	0.00		0.00	500,020.00
Plains* - A1 5/13/13	1936 01	756,588.00	0.00		756,588.00	
Ottawa E. Int.*	1928 01	4,707,513.00	0.00		4,707,513.00	
Lincolntonville* - CDBG	1929 01	245,275.00	0.00		0.00	245,275.00
Ensign* - CDBG	1764 01	340,705.00	0.00		0.00	340,705.00
KWO - Cottonwood R - GPR	1943 01	300,480.00	300,480.00	300,480.00	300,480.00	
Jo Co Lake Gardner* *** - GPR	1920 01	8,131,778.00	1,162,142.09	8,131,778.00	8,131,778.00	
TOTALS		30,227,848.00	4,116,837.00	9,929,979.00	36,537,113.86	3,182,174.00

*All Loan Amounts and Totals will change as projects are bid and built and funds are actually spent.

** The Hill City project is split funding from both FFY 2010 and FFY 2011 Principal Forgiveness.

*** The Jo Co Lake Gardner project is split funding from both FFY 2011 and FFY 2012 Principal Forgiveness.

(Overrun - Carry forward to 2012)

23,209,113.86

Exhibit 1C
 Summary of Actual and Projected Loan Amounts, SFY 2011 and SFY 2012 Project Amounts,
 Green Project Reserve Amounts, and Principal Forgiveness Amounts
 for all Loans Funded with FFY 2012 Funds as of 6/30/13
 \$12,757,000 Federal Funds Available,\$1,063,482 Maximum Principal Forgiveness

Project Name	Project Number	Loan Amount	Loan Prin. Forg.	GPR Amount	2012 Grant Funds	Add'l from Basic Prog.
2011 Carryover					23,209,113.86	
Jo Co Lake Gardner* ** - GPR	1920 01	(see 2011)	40,030.00	(see 2011)	(see 2011)	
Gardner* - w/A1	1956 01	9,584,000.00	0.00		6,945,000.00	
Shawnee Co - Sw A2 - Fin Amd 4/12/13	1916 01	650,000.00	0.00		650,000.00	
Minneapolis*	1951 01	526,144.00	0.00		526,144.00	
Parsons I/I*	1957 01	500,000.00	0.00		500,000.00	
Lorraine*	1958 01	100,000.00	0.00		100,000.00	
Woodston* - CDBG	1927 01	114,150.00	0.00		0.00	114,150.00
Pratt* (GPR) - A2 1/15/13	1799 01	1,770,637.00	0.00	752,998.00	1,770,637.00	
Bel Aire*	1959 01	535,980.00	0.00		535,980.00	
Silver Lake*	1934 01	697,400.00	0.00		697,400.00	
Iola (Ph 1)* - EPA Earmark Match	1932 01	785,000.00	0.00			785,000.00
Stockton*- KDHE Green A1 3/13/13	1802 01	780,000.00	0.00		780,000.00	
Hesston* ***- Pot. GPR	1960 01	2,291,249.00	0.00		2,291,249.00	
Glac. Hills - Deleware R., Ph 4* - GPR	1946 01	388,295.00	388,295.00	388,295.00	388,295.00	
Glac Hills - Wolf R* - GPR	1942 01	153,948.00	153,948.00	153,948.00	153,948.00	
KU* - GPR	1945 01	450,000.00	450,000.00	450,000.00	450,000.00	
KWO - Cottonwood R* ** - GPR	1944 01	1,310,652.00	31,209.00	1,310,652.00	1,310,652.00	
TOTALS		20,637,455.00	1,063,482.00	3,055,893.00	40,308,418.86	899,150.00

*All Loan Amounts and Totals will change as projects are bid and built and funds are actually spent.

** The JoCo Lake Gardner project is split funding from both FFY 2011 and FFY 2012 Principal Forgiveness.

The JoCo Lake Gardner and KWO Cottonwood R are split funding from both FFY 2012 and future cap grants.

*** Potential GPR design est. \$400,000, pending review of plans and specs.

(Overrun - Carry forward to 2013)

27,551,418.00

EXHIBIT 2 PART 1: FY 13 BINDING COMMITMENTS BY QUARTER

PROJECT NAME COMMUNITIES SERVED	PROJECT NUMBER	BINDING COMMITMENTS FISCAL YEAR 2013				FY 2013 TOTAL	* TYPE
		QTR 1	QTR 2	QTR 3	QTR 4		
Loans							
BEL AIRE	1959 01			535,980		535,980	L
ENSIGN	1764 01	340,705				340,705	L
GARDNER	1956 01	6,945,000				6,945,000	L
HESSTON	1960 01			2,291,249		2,291,249	L
IOLA	1932 01			785,000		785,000	L
LINCOLNVILLE	1929 01	245,275				245,275	L
LORRAINE	1958 01		100,000			100,000	L
MINNEAPOLIS	1951 01	526,144				526,144	L
PARSONS	1957 01		500,000			500,000	L
SILVER LAKE	1934 01			697,400		697,400	L
WOODSTON	1770 01	114,150				114,150	L
Amendments							
CHEROKEE COUNTY	1745 01	95,000				95,000	L
COLONY	1939 01				177,400	177,400	L
GARDNER	1956 01				2,639,000	2,639,000	L
GLASCO	1912 01	150,000				150,000	L
LABETTE COUNTY	1749 01	261,000				261,000	L
LEOTI	1822 01	290,000				290,000	L
PLAINS	1936 01				97,397	97,397	L
PRATT	1799 01			1,770,637		1,770,637	L
ROBINSON	1937 01				171,205	171,205	L
SHAWNEE COUNTY	1916 01	650,000				650,000	L
SOLOMON	1791 01	85,000				85,000	L
STOCKTON	1802 01			780,000		780,000	L
SUBTOTAL						20,247,542	

EXHIBIT 2 PART 2: SECTION 319 NON POINT SOURCE PROJECTS FY 13 BINDING COMMITMENTS BY QUARTER

PROJECT NAME COMMUNITIES SERVED	PROJECT NUMBER	BINDING COMMITMENTS FISCAL YEAR 2013				FY 2013 TOTAL	* TYPE
		QTR 1	QTR 2	QTR 3	QTR 4		
Loans							
GLACIAL HILLS RCDC (DEL/MUDDY)	1946 01	388,295				388,295	L
GLACIAL HILLS RCDC (WOLF RIVER)	1942 01	153,948				153,948	L
KANSAS WATER OFFICE (COTTONWOOD)	1944 01	1,310,652				1,310,652	L
KANSAS WATER OFFICE (SMOKEY HILL)	1943 01	300,480				300,480	L
UNIVERSITY OF KANSAS	1945 01	450,000				450,000	L
SUBTOTAL						2,603,375	

SECTION 319 NONPOINT SOURCE PROJECTS	2,603,375	0	0	0	0	2,603,375	L
SECTION 320 ESTUARY PROGRAMS	0	0	0	0	0	0	L
PROGRAM ADMIN	510,280	0	0	0	0	510,280	A
BINDING COMMIT. TOTAL	9,702,274	600,000	6,860,266	3,085,002		20,247,542	
CUMULATIVE BC TOTALS	12,815,929	600,000	6,860,266	3,085,002		23,361,197	

* L=Loans; A=Administration

EXHIBIT 2 PART 2: BINDING COMMITMENTS BY TYPE

LOANS	
212 PROJECTS	20,247,542
SECTION 319	2,603,375
SECTION 320	0
REFINANCE	0
PROG ADMIN	510,280
TOTAL	23,361,197

EXHIBIT 3

**WATER POLLUTION CONTROL REVOLVING LOAN FUND
SOURCE OF FUNDS
FOR THE YEAR ENDED JUNE 30, 2013**

SOURCES OF FUNDS	QTR 1	QTR 2	QTR 3	QTR 4	TOTALS	FY2014
ACH PAYMENTS						
FY12 Grant	12,757,000				12,757,000	12,051,000
FY13 Grant					0	
REVENUES						
Interest Earnings						
Debt Service Reserve Funds	145,658	99,112	148,689	190,379	583,838	
Other Investment Income	23,099	41,723	42,704	101,491	209,017	
Net Bond Proceeds				2,665,845	2,665,845	
Loan Repayments						
Principal Repayments	28,431,623	14,130,560	23,972,991	8,409,866	74,945,040	
Interest Repayments	5,440,516	875,476	5,293,824	910,096	12,519,912	
Interest During Construction	0	31,237	0	101,546	132,783	
TOTALS	46,797,896	15,178,108	29,458,208	12,379,223	103,813,435	12,051,000

EXHIBIT 4
 STATE OF KANSAS
 SELECT BINDING COMMITMENTS WITH RESPECT TO PAYMENTS

10/04/13

BINDING COMMITMENTS

PROJECT NAME COMMUNITIES SERVED	PROJECT NUMBER	CONSTRUCTION START DATE	FY 1989 thru 2012	STATE FISCAL YEAR 2013				
				07/12- 09/12 QTR 1	10/12- 12/12 QTR 2	01/13 - 03/13 QTR 3	04/13 - 06/13 QTR 4	TOTAL
JOHNSON CO	1167-04	12/14/89	4,902,966					4,902,966.07
MILFORD	1236-01	01/29/90	302,068					302,067.65
JOHNSON CO	0611-08	08/20/90	3,146,378					3,146,378.29
JOHNSON CO	1168-02	08/20/90	3,069,300					3,069,300.00
RENO COUNTY	1237-01	09/05/90	354,104					354,103.59
SHAWNEE CO.	1274-01	07/11/91	996,800					996,800.00
JOHNSON CO	0611-09	07/26/91	1,752,469					1,752,469.17
HOLTON	1281-01	08/13/91	2,005,536					2,005,535.59
JOHNSON CO	1168-03	08/16/91	3,543,860					3,543,860.18
SILVER LAKE	1269-01	09/05/91	340,453					340,452.65
SPRING HILL	1217-01	09/12/91	776,043					776,042.56
MERIDEN	1283-01	10/04/91	527,531					527,530.51
LOUISBURG	1275-01	11/25/91	866,618					866,617.85
EDNA	1254-01	05/07/92	69,800					69,800.00
TONGANOXIE	1299-01	05/29/92	671,500					671,500.00
BALDWIN CITY	1211-01	07/13/92	300,559					300,558.51
HAYS	1280-01	08/03/92	5,516,364					5,516,363.82
INDEPENDENCE	1241-01	08/17/92	507,265					507,264.72
CARBONDALE	1277-01	10/02/92	712,741					712,740.75
TOPEKA	1273-01	01/15/93	29,835,125					29,835,125.00
OSWEGO	1276-01	01/19/93	272,952					272,952.36
LEON	1311-01	03/22/93	455,930					455,930.00
JOHNSON CO	1293-01	04/09/93	47,190,000					47,190,000.00
LENORA	1300-01	04/28/93	128,598					128,598.01
EUREKA	1307-01	09/20/93	3,138,478					3,138,478.01
HILLSBORO	1187-01	10/11/93	422,447					422,447.00
WASHINGTON	1408-01	05/23/94	774,362					774,362.07
ASHLAND	1251-01	06/20/94	650,735					650,735.18
OSKALOOSA	1012-02	06/20/94	369,267					369,266.70
PARSONS	1397-01	07/19/94	1,023,544					1,023,543.89
OLATHE	1409-01	07/29/94	542,545					542,545.26
SCRANTON	1302-01	08/24/94	213,380					213,380.00
SEDAN	1268-01	09/19/94	236,000					236,000.00
HUTCHINSON	1133-01	10/17/94	1,311,490					1,311,490.00
MAPLE HILL	1321-01	11/03/94	318,930					318,930.00
OLATHE	1248-02	07/05/95	686,461					686,460.85
TOWANDA	1446-01	07/05/95	776,778					776,778.00
MCPHERSON	1313-01	07/14/95	1,067,645					1,067,644.67
BALDWIN CITY	1211-02	07/17/95	450,538					450,537.93
RUSSELL	1355-01	09/05/95	2,500,000					2,500,000.00

EXHIBIT 4
STATE OF KANSAS
SELECT BINDING COMMITMENTS WITH RESPECT TO PAYMENTS

10/04/13

BINDING COMMITMENTS

PROJECT NAME COMMUNITIES SERVED	PROJECT NUMBER	CONSTRUCTION START DATE	FY 1989 thru 2012	STATE FISCAL YEAR 2013				
				07/12- 09/12 QTR 1	10/12- 12/12 QTR 2	01/13 - 03/13 QTR 3	04/13 - 06/13 QTR 4	TOTAL
SO. HUTCHINSON	1413-01	09/11/95	2,123,510					2,123,510.00
HIAWATHA	1309-01	10/03/95	691,927					691,927.00
INDEPENDENCE	0959-05	11/13/95	418,306					418,305.66
OLATHE	1282-01	11/15/95	3,663,045					3,663,045.35
LINDSBORG	1339-01	11/27/95	1,150,000					1,150,000.00
HILLSBORO	1386-01	12/11/95	399,578					399,577.86
KENSINGTON	1370-01	12/13/95	208,959					208,959.29
OSAWATOMIE	1314-01	12/21/95	1,518,929					1,518,928.96
GIRARD	1179-01	01/02/96	1,486,105					1,486,104.99
GARDNER	1346-01	01/08/96	2,223,206					2,223,206.00
BURLINGTON	1328-01	03/14/96	629,842					629,842.00
BELOIT	1319-01	04/08/96	2,671,685					2,671,685.37
CIMARRON	1335-01	04/15/96	899,093					899,093.00
COLWICH	1341-01	04/15/96	1,055,494					1,055,493.82
CONWAY SPRINGS	1420-01	06/27/96	425,828					425,827.59
WAMEGO	1360-01	07/08/96	2,215,305					2,215,304.50
TOPEKA HD	1038-09	07/12/96	1,209,404					1,209,404.49
DELPHOS	1449-01	07/22/96	350,267					350,267.02
ELLINWOOD	0948-02	09/30/96	1,985,676					1,985,675.97
TOPEKA WB	1412-01	10/14/96	4,828,002					4,828,002.00
ROSE HILL	1228-01	11/06/96	667,047					667,047.00
GARNETT	1450-01	12/09/96	727,030					727,030.18
MARYSVILLE	1352-01	01/06/97	3,761,700					3,761,700.00
CHETOPA	1340-01	01/20/97	343,841					343,841.10
FRONTENAC	1345-01	02/03/97	2,688,832			(86,767.41)		2,602,064.59
MAIZE	1312-01	02/17/97	2,828,535					2,828,534.78
UG WYANDOTTE CO KC-BRENNER HTS	1454-01	02/24/97	3,322,871					3,322,871.00
UG WYANDOTTE CO KC	1350-01	02/28/97	531,041					531,041.00
UG WYANDOTTE CO KC-SOLIDS HDLG	1428-01	03/01/97	12,284,148					12,284,148.39
HOYT	1349-01	03/03/97	692,780					692,779.53
HIGHLAND	1455-01	03/05/97	797,380					797,380.00
WINCHESTER	1361-01	04/01/97	259,287					259,286.73
WINFIELD	1272-01	04/01/97	7,970,091					7,970,091.00
COUNCIL GROVE	1358-01	05/01/97	878,230					878,230.00
GREAT BEND	1257-01	06/16/97	5,590,000					5,590,000.00
ELLIS	1343-01	07/15/97	1,023,155					1,023,154.84
PLAINVILLE	1398-01	08/13/97	712,172					712,172.40
SHAWNEE CO.	1038-08	08/25/97	2,772,224					2,772,224.29
MADISON	1261-01	09/18/97	630,857					630,856.93
HUMBOLDT	1461-01	10/13/97	1,200,000					1,200,000.00

EXHIBIT 4
 STATE OF KANSAS
 SELECT BINDING COMMITMENTS WITH RESPECT TO PAYMENTS

10/04/13

BINDING COMMITMENTS

PROJECT NAME COMMUNITIES SERVED	PROJECT NUMBER	CONSTRUCTION START DATE	FY 1989 thru 2012	STATE FISCAL YEAR 2013				
				07/12- 09/12 QTR 1	10/12- 12/12 QTR 2	01/13 - 03/13 QTR 3	04/13 - 06/13 QTR 4	TOTAL
WILLIAMSBURG	1330-01	11/24/97	259,561					259,560.97
JOHNSON CO BLUE RIVER	1447-01	12/01/97	14,290,397					14,290,397.34
DOUGLASS	1278-01	02/10/98	721,500					721,500.00
WAVERLY	1327-01	02/12/98	201,100					201,100.00
OLATHE	1265-01	02/19/98	2,864,968					2,864,968.00
DEARING	1476-01	04/06/98	177,438					177,438.00
MAYETTA	1451-01	04/27/98	229,793					229,793.48
OXFORD	1082-01	04/27/98	290,504					290,503.71
EL DORADO	1421-01	04/28/98	1,182,869					1,182,869.00
WAKEFIELD	1459-01	05/29/98	235,405					235,404.50
PRATT	1436-01	06/15/98	1,810,689					1,810,689.00
TOPEKA	1472-01	07/01/98	11,605,000					11,605,000.00
ABILENE	1480-01	07/09/98	701,892					701,892.00
MINNEAPOLIS	1171-01	08/14/98	416,529					416,528.81
QUENEMO	1469-01	08/24/98	386,400					386,400.00
SEDGWICK	1491-01	08/24/98	957,626					957,626.28
EUDORA	1506-01	08/25/98	5,515,000					5,515,000.00
COFFEYVILLE	1471-01	09/01/98	1,628,702					1,628,702.00
OSAWATOMIE	1395-01	09/09/98	2,413,903					2,413,902.70
ALMA	1464-01	10/01/98	323,442					323,442.36
CLAY CENTER	1334-01	11/01/98	425,053					425,052.60
COFFEYVILLE	1252-02	11/01/98	3,028,448					3,028,448.00
GARDEN CITY	1367-01	11/01/98	13,400,890					13,400,889.62
RILEY COUNTY	1478-01	11/01/98	180,106					180,106.30
BALDWIN CITY	1303-01	12/01/98	409,438					409,438.12
BASEHOR	1463-01	12/01/98	8,110,368					8,110,368.00
LAHARPE	1207-01	12/01/98	760,000					760,000.00
COFFEYVILLE	1252-01	02/01/99	9,188,590					9,188,589.85
DICKINSON CO	1489-01	02/01/99	247,272					247,272.30
TOPEKA	1271-01	03/01/99	4,330,136					4,330,136.00
LECOMPTON	1522-01	05/17/99	161,494					161,494.34
AUBURN	1308-01	07/19/99	1,049,203					1,049,203.33
AUGUSTA	1364-01	08/01/99	9,248,942					9,248,942.21
GEUDA SPRINGS	1519-01	09/01/99	186,824					186,823.77
MCPHERSON	1493-01	09/01/99	1,127,000					1,127,000.00
MORAN	1468-01	09/01/99	358,225					358,225.00
PERRY	1497-01	09/15/99	607,800					607,800.00
LANCASTER	1500-01	09/27/99	305,817					305,817.23
UDALL	1325-01	09/28/99	400,252					400,251.67
EMPORIA	1467-01	10/01/99	5,010,437					5,010,437.48

EXHIBIT 4
 STATE OF KANSAS
 SELECT BINDING COMMITMENTS WITH RESPECT TO PAYMENTS

10/04/13

BINDING COMMITMENTS

PROJECT NAME COMMUNITIES SERVED	PROJECT NUMBER	CONSTRUCTION START DATE	FY 1989 thru 2012	STATE FISCAL YEAR 2013				
				07/12- 09/12 QTR 1	10/12- 12/12 QTR 2	01/13 - 03/13 QTR 3	04/13 - 06/13 QTR 4	TOTAL
BUCKLIN	1040-02	10/11/99	206,668					206,668.00
CANEY	1499-01	11/01/99	600,000					600,000.00
EFFINGHAM	1318-01	12/01/99	218,300					218,300.00
SEDAN	1496-01	12/01/99	701,772					701,772.00
HALSTEAD	1536-01	12/16/99	924,335					924,334.73
ELBING	1297-01	12/17/99	282,203					282,202.65
HUMBOLDT	1504-01	12/20/99	1,326,970					1,326,970.00
POMONA	1354-01	12/22/99	735,458					735,458.00
BELLE PLAINE	1183-01	01/03/00	3,111,220					3,111,219.89
MCCUNE	0855-02	01/18/00	450,527					450,527.46
EDWARDSVILLE	1495-01	02/01/00	109,079					109,079.00
ADMIRE	1492-01	03/06/00	225,163					225,163.00
BUHLER	1540-01	03/13/00	374,699					374,699.28
ARK CITY	1250-01	04/04/00	2,513,466					2,513,466.49
ATWOOD	1448-01	05/01/00	827,519					827,518.50
BENNINGTON	1365-01	05/04/00	467,594					467,594.00
ANTHONY	1212-01	05/08/00	1,885,432					1,885,432.00
JUNCTION CITY	1534-01	05/24/00	3,849,275					3,849,274.74
GODDARD	1507-01	05/30/00	500,000					500,000.00
BAXTER SPRINGS	0804-01	07/24/00	622,243					622,242.95
GARDNER	1514-01	08/10/00	20,078,700					20,078,700.00
INDEPENDENCE	1426-01	08/25/00	7,447,476					7,447,476.18
LAWRENCE	1260-01	09/12/00	44,773,720					44,773,720.20
DENISON	1494-01	10/01/00	59,810					59,810.24
HOWARD	1561-01	10/01/00	819,760					819,760.00
LACYGNE	1294-01	10/01/00	559,171					559,171.00
MANKATO	1289-01	10/01/00	300,653					300,652.64
McLOUTH	1431-01	10/01/00	1,522,288					1,522,288.00
PRESCOTT	1373-01	10/01/00	165,636					165,636.00
STRONG CITY	1437-01	10/01/00	340,254					340,253.59
SPRING HILL	1525-01	10/30/00	3,843,815					3,843,815.09
HANOVER	1384-01	11/01/00	239,581					239,581.00
TOWANDA	1518-01	11/01/00	112,900					112,900.29
CHENEY	1462-01	11/04/00	1,148,001					1,148,001.20
ARMA	1317-01	12/01/00	1,025,168					1,025,168.00
FORT SCOTT	1344-02	01/01/01	6,788,070					6,788,070.49
LINCOLN CENTER	1288-01	01/01/01	699,705					699,704.83
QUINTER	1190-01	02/01/01	449,611					449,611.40
YATES CENTER	1362-01	02/01/01	2,738,507					2,738,507.38
CHANUTE	1419-01	02/12/01	1,140,603					1,140,602.91

EXHIBIT 4
 STATE OF KANSAS
 SELECT BINDING COMMITMENTS WITH RESPECT TO PAYMENTS

10/04/13

BINDING COMMITMENTS

PROJECT NAME COMMUNITIES SERVED	PROJECT NUMBER	CONSTRUCTION START DATE	FY 1989 thru 2012	STATE FISCAL YEAR 2013				
				07/12- 09/12 QTR 1	10/12- 12/12 QTR 2	01/13 - 03/13 QTR 3	04/13 - 06/13 QTR 4	TOTAL
KINGMAN	1371-01	03/01/01	873,425					873,425.00
VICTORIA	1523-01	04/15/01	1,043,195					1,043,195.00
GARNETT	1348-01	05/01/01	3,300,000					3,300,000.00
HOLYROOD	1363-01	06/01/01	318,917					318,917.00
HILLSBORO	1490-01	06/25/01	490,000					490,000.00
TONGANOXIE	1299-02	07/09/01	311,940					311,939.88
TESCOTT	1559-01	07/30/01	236,054					236,054.03
MELVERN	1566-01	08/01/01	150,575					150,575.00
MANHATTAN	1583-01	08/08/01	8,666,236					8,666,236.07
MTAA	1432-01	09/04/01	2,410,486					2,410,485.74
HARTFORD	1562-01	10/01/01	235,000					235,000.00
ST. MARYS	1487-01	10/01/01	3,385,268					3,385,268.25
NEWTON	1610-01	01/04/02	1,207,034					1,207,034.06
BALDWIN4	1571-01	01/29/02	2,955,074					2,955,073.78
SHAWNEE CO.	1560-01	02/11/02	10,990,672					10,990,672.17
WEST MINERAL	1624-01	02/17/02	215,671					215,671.40
BRONSON	1565-01	03/20/02	187,791					187,790.93
EMPORIA	1600-01	03/21/02	7,389,811					7,389,810.55
SO. HUTCHINSON	1591-01	04/22/02	6,267,538					6,267,538.05
FORD	1577-01	04/24/02	196,965					196,965.35
PHILLIPSBURG	1441-01	04/25/02	2,855,519					2,855,518.62
ALTA VISTA	1568-01	05/02/02	764,904					764,903.51
MEDICINE LODGE	1393-01	05/25/02	2,946,766					2,946,766.00
WHITE CITY	0884-01	06/03/02	373,792					373,792.35
TOPEKA	1272-01	06/17/02	60,000,000					60,000,000.00
PITTSBURG	1527-01	08/01/02	3,800,000					3,800,000.00
BERN	1633-01	09/15/02	73,027					73,027.13
KINSLEY	1598-01	09/16/02	575,656					575,656.00
LEBO	1629-01	09/23/02	467,079					467,079.00
ALMENA	1498-01	10/14/02	426,500					426,499.91
KINGMAN	1371-02	12/02/02	3,726,575					3,726,575.00
UG WYANDOTTE CO KC	1596-01	12/02/02	12,831,300					12,831,300.00
MEADE	0856-02	01/02/03	843,338					843,338.48
OTTAWA	1266-01	02/03/03	10,764,014					10,764,013.86
BELLEVILLE	1416-01	02/26/03	1,643,675					1,643,674.72
CLEARWATER	0812-02	03/01/03	1,487,000	(31,068.00)				1,455,932.00
HUTCHINSON	1517-01	03/01/03	5,989,284					5,989,284.06
HARVEYVILLE	1625-01	04/04/03	123,643					123,643.09
CONWAY SPRINGS	1622-01	04/28/03	314,433					314,433.01
ROSSVILLE	1526-01	05/12/03	558,540					558,539.56

EXHIBIT 4
STATE OF KANSAS
SELECT BINDING COMMITMENTS WITH RESPECT TO PAYMENTS

10/04/13

BINDING COMMITMENTS

PROJECT NAME COMMUNITIES SERVED	PROJECT NUMBER	CONSTRUCTION START DATE	FY 1989 thru 2012	STATE FISCAL YEAR 2013				
				07/12- 09/12 QTR 1	10/12- 12/12 QTR 2	01/13 - 03/13 QTR 3	04/13 - 06/13 QTR 4	TOTAL
OLPE	1607-01	05/15/03	567,303					567,303.00
OLATHE(CC)	1611-01	05/27/03	2,184,000					2,184,000.00
SHAWNEE CO MSD #33	1038-07	05/27/03	2,760,845					2,760,844.98
WILSON	1407-01	06/30/03	483,958					483,958.05
SENECA	1502-01	07/28/03	900,000					900,000.00
LYONS	1392-01	08/01/03	1,402,806					1,402,806.05
MARYSVILLE	1632-01	08/01/03	937,213					937,212.76
LANSING	1516-01	08/02/03	15,674,127					15,674,126.73
PLEASANTON	1588-01	10/01/03	347,441					347,441.00
ST. GEORGE	1595-01	12/01/03	52,874					52,874.03
MISSION HILLS	1621-01	01/05/04	4,116,534					4,116,534.08
MONTGOMERY CO	1597-01	01/05/04	248,456					248,456.34
EDWARDSVILLE	1599-01	01/26/04	3,063,879					3,063,878.91
TONGANOXIE	1608-01	03/03/04	4,382,650					4,382,649.71
WILLOWBROOK	1199-01	04/29/04	600,000					600,000.00
NORTONVILLE	1585-01	05/06/04	671,184					671,183.85
GLEN ELDER	1166-01	06/01/04	134,275					134,275.32
ANDALE	1644-01	06/07/04	588,225					588,225.00
CLAFIN	1381-01	06/07/04	244,006					244,006.47
ENGLEWOOD	1642-01	06/11/04	64,093					64,093.05
COATS	1649-01	06/16/04	65,163					65,163.25
MCFARLAND	1634-01	06/18/04	289,253					289,253.07
PARSONS	1435-01	06/21/04	7,100,000					7,100,000.00
RILEY	1323-01	06/28/04	698,296					698,296.11
ST. FRANCIS	1616-01	06/30/04	481,860					481,859.54
WELLSVILLE	1475-01	07/16/04	2,542,422					2,542,421.54
JOHNSON CO	1532-01	07/19/04	12,519,392					12,519,392.00
PALCO	1396-01	07/19/04	224,307					224,307.00
STARK	1592-01	07/26/04	84,025					84,025.00
ELGIN	1628-01	08/02/04	50,220					50,220.00
SHAWNEE CO.	1038-10	08/02/04	1,643,657					1,643,656.83
CALDWELL	0808-02	08/16/04	687,000					687,000.00
OVERBROOK	1587-01	08/16/04	1,054,662					1,054,662.16
BELVUE	1672-01	08/25/04	196,504					196,504.00
DOUGLASS	1541-01	10/20/04	1,288,296					1,288,296.00
ROSE HILL	1630-01	10/25/04	819,856					819,856.11
LEAVENWORTH COUNTY	1429-01	11/01/04	380,027					380,027.31
SABETHA	1401-01	12/06/04	3,250,000					3,250,000.00
HERINGTON	1203-01	12/14/04	596,722					596,722.29
PAXICO	1513-01	12/21/04	116,590					116,590.48

EXHIBIT 4
 STATE OF KANSAS
 SELECT BINDING COMMITMENTS WITH RESPECT TO PAYMENTS

10/04/13

BINDING COMMITMENTS

PROJECT NAME COMMUNITIES SERVED	PROJECT NUMBER	CONSTRUCTION START DATE	FY 1989 thru 2012	STATE FISCAL YEAR 2013				
				07/12- 09/12 QTR 1	10/12- 12/12 QTR 2	01/13 - 03/13 QTR 3	04/13 - 06/13 QTR 4	TOTAL
ATTICA	1601-01	01/13/05	301,985					301,984.75
BEVERLY	1572-01	01/17/05	98,475					98,475.23
GRANDVIEW PLAZA	1645-01	02/14/05	133,384					133,384.00
HARPER	1650-01	03/21/05	1,157,067					1,157,067.00
HOLTON	1659-01	04/18/05	4,628,576					4,628,576.02
LYNDON	1430-01	04/22/05	1,466,000					1,466,000.00
LEONARDVILLE	1651-01	06/06/05	81,401					81,401.00
SUMNER CO. (SLATE VALLEY)	1648-01	06/06/05	202,394					202,393.65
GOESSEL	1578-01	06/13/05	206,316					206,316.40
LAKIN	1477-01	06/15/05	887,498					887,498.00
PAOLA	1652-01	06/21/05	6,955,248					6,955,248.00
JUNCTION CITY	1694-01	07/11/05	6,002,166					6,002,166.24
WATHENA	1661-01	07/12/05	811,065					811,064.80
FREDONIA	1382-01	07/15/05	590,155					590,155.00
ELLIS CO (MUNJOR)	1647-01	07/18/05	59,056					59,056.02
LOGAN	1531-01	07/18/05	540,837					540,837.08
PRESTON	1528-01	07/21/05	326,633					326,632.54
PRESTON	1777-01	07/21/05	295,923					295,923.06
KANOPOLIS	1668-01	07/27/05	90,747					90,746.95
HUGOTON	1387-01	08/22/05	1,738,259					1,738,259.45
SCRANTON	1631-01	10/03/05	520,307					520,307.33
EL DORADO	1639-01	10/10/05	11,111,313					11,111,312.98
CANTON	1658-01	10/17/05	288,228					288,227.98
LINWOOD	1581-01	11/11/05	205,171					205,171.16
LITTLE RIVER	1615-01	11/25/05	190,383					190,382.62
BAZINE	1638-01	12/09/05	146,000					146,000.00
JF CO (SD #2)	1388-01	01/03/06	551,559					551,559.10
PITTSBURG	1656 01	01/06/06	4,000,000					4,000,000.00
COLBY	1653-01	01/09/06	8,684,730					8,684,730.00
OLATHE	1695-01	01/10/06	768,500					768,500.00
BENTLEY	1515-01	02/06/06	302,327					302,327.00
OBERLIN	1529-01	05/05/06	1,585,381					1,585,380.85
INGALLS	1503-01	05/17/06	341,736					341,736.00
BUHLER	1700-01	05/31/06	1,108,491					1,108,491.20
TYRO	1680-01	06/01/06	260,000					260,000.00
DELIA	1575-01	06/05/06	231,181					231,181.00
PRETTY PRAIRIE	1399-01	06/22/06	596,398					596,397.79
UNIONTOWN	1667-01	07/24/06	271,080					271,080.00
SMITH CENTER	1356-01	08/03/06	1,661,831					1,661,830.79
NORTON	1530-01	08/21/06	4,300,000					4,300,000.00

EXHIBIT 4
 STATE OF KANSAS
 SELECT BINDING COMMITMENTS WITH RESPECT TO PAYMENTS

10/04/13

BINDING COMMITMENTS

PROJECT NAME COMMUNITIES SERVED	PROJECT NUMBER	CONSTRUCTION START DATE	FY 1989 thru 2012	STATE FISCAL YEAR 2013				
				07/12- 09/12 QTR 1	10/12- 12/12 QTR 2	01/13 - 03/13 QTR 3	04/13 - 06/13 QTR 4	TOTAL
ROBINSON	1704-01	08/21/06	180,000					180,000.00
SILVER LAKE	1710-01	09/15/06	343,626					343,625.88
ABILENE	1657-01	09/26/06	8,620,417					8,620,416.56
WINDOM	0906-03	10/30/06	169,907					169,907.00
AMERICUS	1414-01	11/26/06	1,637,000					1,637,000.00
CLAY CENTER	1712-01	12/05/06	464,521					464,521.00
GARDNER	1721-01	01/02/07	3,483,009					3,483,009.39
POTWIN	1627-01	05/01/07	173,288					173,288.34
HUTCHINSON	1666-01	05/07/07	10,000,000					10,000,000.00
HALSTEAD	1753-01	05/08/07	309,664					309,664.30
LARNED	1287-01	05/14/07	6,824,462					6,824,461.94
LABETTE CO. SD #1	1749-01	06/05/07	220,000	261,000.00				481,000.00
PROTECTION	1698-01	06/11/07	711,030					711,030.00
DOWNS	1484-01	07/05/07	1,189,333					1,189,333.39
NEODESHA	1684-01	07/09/07	623,581				(323,132.26)	300,448.74
PAWNEE ROCK	1626-01	07/23/07	459,808		(160,213.03)			299,594.97
TOWANDA	1737-01	07/30/07	1,252,465					1,252,465.07
WAKEENEY	1404-01	08/01/07	2,764,283					2,764,282.81
IOLA	1618-01	08/13/07	2,727,942					2,727,942.00
WINCHESTER	1706-01	08/13/07	693,420					693,419.82
EUREKA	1701-01	08/14/07	510,204					510,204.00
BAILEYVILLE IMPROVEMENT	1663-01	08/15/07	80,808					80,807.78
STROTHER FIELD	1682-01	09/07/07	1,271,849					1,271,849.23
EDGERTON	1738-01	09/17/07	197,615					197,615.16
TOPEKA	1272-04	09/24/07	9,317,000					9,317,000.00
KINCAID	1685-01	09/28/07	149,460					149,460.46
WESTMORELAND	1705-01	10/01/07	597,805		(30,134.66)			567,670.34
AUBURN	1726-01	10/19/07	477,767					477,767.47
BEATTIE	1664-01	11/01/07	199,553					199,553.25
HOYT	1757-01	11/01/07	735,029					735,028.65
VALLEY CENTER	1740-01	11/12/07	3,299,868					3,299,868.00
ROSE HILL	1720-01	01/11/08	6,165,000					6,165,000.00
HUMBOLDT	1708-01	02/14/08	684,000					684,000.00
HILLSBORO	1641-01	03/10/08	2,857,206					2,857,205.93
BIRD CITY	1727-01	03/13/08	538,143					538,143.29
BENTON	1483-01	03/24/08	820,401					820,401.49
EUDORA	1678-01	04/01/08	2,767,450					2,767,450.00
QUINTER	1758-01	04/01/08	713,981					713,981.00
PARTRIDGE	1510-01	04/05/08	200,363					200,363.00
CANEY	1709-01	04/07/08	305,596					305,596.00

EXHIBIT 4
STATE OF KANSAS
SELECT BINDING COMMITMENTS WITH RESPECT TO PAYMENTS

10/04/13

BINDING COMMITMENTS

PROJECT NAME COMMUNITIES SERVED	PROJECT NUMBER	CONSTRUCTION START DATE	FY 1989 thru 2012	STATE FISCAL YEAR 2013				
				07/12- 09/12 QTR 1	10/12- 12/12 QTR 2	01/13 - 03/13 QTR 3	04/13 - 06/13 QTR 4	TOTAL
MCPHERSON	1474-01	04/14/08	11,346,393					11,346,393.00
GODDARD	1734-01	04/18/08	7,000,000		(182,510.00)			6,817,490.00
WETMORE	1405-01	04/28/08	398,040					398,040.17
PRINCETON	1696-01	05/01/08	142,161					142,161.35
NEWTON	1747-01	06/18/08	7,647,208					7,647,208.15
LEHIGH	1520-01	07/09/08	358,533					358,533.00
BALDWIN CITY	1748-01	08/25/08	1,256,649					1,256,648.69
SAWYER	1693-01	08/28/08	157,570					157,570.00
LATHAM	1718-01	09/04/08	189,499					189,499.00
OSKALOOSA	1637-01	09/29/08	3,013,222					3,013,221.50
BASEHOR	1767-01	10/20/08	5,663,682					5,663,682.22
COLUMBUS	1742-01	12/01/08	700,000			(4,366.13)		695,633.87
QUENEMO	1669-01	12/15/08	440,419					440,419.00
STERLING	1460-01	01/12/09	1,220,348					1,220,348.00
HAVEN	1671-01	02/02/09	513,893					513,893.05
OSBORNE	1760-01	03/11/09	292,010					292,009.54
ELLSWORTH	1674-01	03/12/09	1,500,000					1,500,000.00
KIRWIN	1681-01	04/15/09	166,827					166,827.15
GREAT BEND	1768-01	05/04/09	4,600,000		(2,406,342.00)			2,193,658.00
SMOLAN	1719-01	05/04/09	152,548					152,548.00
WELLINGTON	1722-01	05/11/09	13,800,000					13,800,000.00
JOHNSON CO	1794-01A	05/18/09	18,991,400		(684,131.30)			18,307,268.70
SEVERY	1724-01	05/18/09	153,840					153,840.00
GREELEY	1763-01	05/25/09	0					0.00
JETMORE	1579-01A	05/27/09	1,480,929					1,480,929.30
JF CO (SD #7)	1557-01	06/08/09	336,697					336,696.83
COLBY	1653-01A	06/16/09	70,500					70,500.00
OGDEN	1711-01	06/17/09	1,525,279					1,525,279.02
OBERLIN	1529-01A	06/19/09	173,700					173,700.00
BURRTON	1570-01	07/20/09	445,668					445,667.89
NICKERSON	1636-01	08/17/09	4,411,837					4,411,837.00
EASTON	1576-01	08/28/09	376,231					376,231.00
EDNA	1741-01	09/14/09	452,820				(26,236.46)	426,583.54
ANTHONY	1775-01	09/21/09	313,713					313,712.74
ALMA	1646-01	10/09/09	2,704,200					2,704,200.00
UG WYANDOTTE CO KC	1596-01A	10/26/09	400,000					400,000.00
LENEXA	1852-01A	11/25/09	1,073,430					1,073,430.00
TAMPA	1725-01	12/01/09	70,813					70,812.75
LIBERTY	1779-01	12/09/09	144,445					144,445.33
JO. CO. COMM. COLLEGE	1885-01A	01/04/10	528,500					528,500.00

EXHIBIT 4
STATE OF KANSAS
SELECT BINDING COMMITMENTS WITH RESPECT TO PAYMENTS

10/04/13

BINDING COMMITMENTS

PROJECT NAME COMMUNITIES SERVED	PROJECT NUMBER	CONSTRUCTION START DATE	FY 1989 thru 2012	STATE FISCAL YEAR 2013				
				07/12- 09/12 QTR 1	10/12- 12/12 QTR 2	01/13 - 03/13 QTR 3	04/13 - 06/13 QTR 4	TOTAL
LINDSBORG	1790-01A	01/04/10	5,176,532		(225,859.21)			4,950,672.79
MANHATTAN	1746-02	01/10/10	39,506,000					39,506,000.00
DODGE CITY	1792-01	01/18/10	29,532,000					29,532,000.00
HUTCHINSON	1780-01A	01/18/10	5,700,000					5,700,000.02
MISSION	1858-01A	01/22/10	406,272					406,272.10
UNIVERSITY OF KANSAS	1894-01A	01/25/10	178,941					178,941.00
GORHAM	1702-01	02/01/10	188,202					188,201.82
DERBY	1789-01A	02/09/10	3,371,000					3,371,000.00
BUCKLIN	1800-01A	02/10/10	646,224					646,224.16
PITTSBURG	1818-01A	02/12/10	2,594,500			(86,189.19)		2,508,310.81
KANSAS STATE UNIVERSITY	1887-01A	03/03/10	583,507					583,507.00
KANSAS WATER OFFICE	1895-01A	03/05/10	1,716,793					1,716,792.99
GLACIAL HILLS RCDC	1882-01A	03/10/10	268,063					268,063.00
EL DORADO	1639-01A	03/12/10	495,645					495,644.77
SHAWNEE	1862-01A	03/19/10	465,000					465,000.00
GARDEN PLAIN	1716-01A	03/22/10	2,473,796					2,473,796.49
COMM. HOUSING OF WY CO	1880-01A	03/29/10	57,185					57,185.00
POTT CO / MANHATTAN	1786-01	04/05/10	5,000,000		(323,396.60)			4,676,603.40
HAYS	1842-01A	07/15/10	156,577					156,577.20
LEAVENWORTH COUNTY #3	1785-01	09/03/10	1,139,578					1,139,577.95
ATCHISON	1305-01	11/01/10	3,657,035					3,657,035.00
OAKLEY	1783-01	11/04/10	1,824,752		(261,531.07)			1,563,220.93
COUNCIL GROVE	1784-01	11/10/10	509,600					509,600.00
CAWKER CITY	1905-01	01/01/11	260,186			(4,516.59)		255,669.41
PARK	1901-01	03/07/11	435,910		(122,437.49)			313,472.51
SOLDIER	1759-01	03/08/11	182,270		(1,413.00)			180,857.00
SHAWNEE CO.	1916-01	03/14/11	2,000,000	650,000.00				2,650,000.00
GLACIAL HILLS RCDC	1882-01	05/10/11	295,776					295,776.06
LA CROSSE	1206-01	07/01/11	2,678,530					2,678,530.00
LEOTI	1822-01	09/26/11	740,000	290,000.00			(141,851.28)	888,148.72
GRINNELL	1908-01	01/02/12	570,620		(48,277.20)			522,342.80
EL DORADO	1827 01	02/06/12	2,106,252					2,106,252.00
VIOLA	1692-01	02/20/12	450,000					450,000.00
MORAN	1909-01	05/14/12	353,389					353,389.00
ARGONIA	1782-01	06/05/12	907,256					907,256.00
EDGERTON	1629 01	06/15/12	10,748,000					10,748,000.00
GLASCO	1912-01	08/27/12	175,600	150,000.00				325,600.00
SOLOMON	1791-01	09/21/12	195,675	85,000.00				280,675.00
CHEROKEE CO SD #1	1745-01	11/12/12	255,000	95,000.00				350,000.00
TROY	1913-01	12/12/12	270,800					270,800.00

EXHIBIT 4
 STATE OF KANSAS
 SELECT BINDING COMMITMENTS WITH RESPECT TO PAYMENTS

10/04/13

BINDING COMMITMENTS

PROJECT NAME COMMUNITIES SERVED	PROJECT NUMBER	CONSTRUCTION START DATE	FY 1989 thru 2012	STATE FISCAL YEAR 2013				
				07/12- 09/12 QTR 1	10/12- 12/12 QTR 2	01/13 - 03/13 QTR 3	04/13 - 06/13 QTR 4	TOTAL
LEON	1914-01	01/02/13	732,253					732,253.00
OTTAWA	1928-01	01/14/13	4,707,513					4,707,513.00
GARDNER	1956-01	02/14/13		6,945,000.00			2,639,000.00	9,584,000.00
GLACIAL HILLS RCDC (HOLTON)	1881-01	03/08/13	191,310					191,310.00
GRAINFIELD	1931-01	03/25/13	903,594					903,594.00
CHANUTE	1930 01	04/08/13	360,428					360,428.00
PRATT	1799-01	04/08/13	1,642,000			1,770,637.00		3,412,637.00
SILVER LAKE	1934-01	04/14/13				697,400.00		697,400.00
IOLA	1932-01	04/29/13				785,000.00		785,000.00
LORRAINE	1958-01	05/15/13			100,000.00			100,000.00
COLONY	1939-01	05/21/13	274,725				177,400.00	452,125.00
HILL CITY	1801-01	05/21/13	4,999,110					4,999,110.00
GLACIAL HILLS RCDC (DEL. RIV.)	1923-01	06/03/13	756,000					756,000.00
STOCKTON	1802-01	06/03/13	4,622,300			780,000.00		5,402,300.00
ASSARIA	1903 01	06/17/13	500,020					500,020.00
ENSIGN	1764-01	07/01/13		340,705.00				340,705.00
UNIVERSITY OF KANSAS	1945-01	07/02/13		450,000.00				450,000.00
PLAINS	1936-01	07/08/13	659,191				97,397.00	756,588.00
MINNEAPOLIS	1951-01	07/09/13		526,144.00				526,144.00
MARION	1924-01	09/09/13	433,625					433,625.00
ROBINSON	1937-01	09/16/13	123,938				171,205.00	295,143.00
BEL AIRE	1959-01					535,980.00		535,980.00
FLINT HILLS RC & DA	1921-01		764,300					764,300.00
GLACIAL HILLS RCDC (DEL/MUDDY)	1946-01			388,295.00				388,295.00
GLACIAL HILLS RCDC (WOLF RIVER)	1942-01			153,948.00				153,948.00
HESSTON	1960-01					2,291,249.00		2,291,249.00
INDEPENDENCE	1915-01		4,000,000					4,000,000.00
JOHNSON CO. (GARDNER LAKE)	1920-01		8,131,778					8,131,778.00
JOHNSON CO. (LONE ELM)	1920-02		1,452,921					1,452,921.00
KANSAS WATER OFFICE (COTTONWOOD)	1944-01			1,310,652.00				1,310,652.00
KANSAS WATER OFFICE (SMOKEY)	1943-01			300,480.00				300,480.00
LINCOLNVILLE	1929-01			245,275.00				245,275.00
MOSCOW	1762-01		715,460					715,460.00
OLATHE	1728-01		5,000,000					5,000,000.00
PARSONS	1957-01				500,000.00			500,000.00
POTTAWATOMIE COUNTY	1860-01		535,212			(490,412.00)		44,800.00
WOODSTON	1770-01			114,150.00				114,150.00
Subtotal			1,058,831,930	12,274,581	(3,846,246)	6,188,015	2,593,782	1,076,042,062

EXHIBIT 4
 STATE OF KANSAS
 SELECT BINDING COMMITMENTS WITH RESPECT TO PAYMENTS

10/04/13

BINDING COMMITMENTS

PROJECT NAME COMMUNITIES SERVED	PROJECT NUMBER	CONSTRUCTION START DATE	FY 1989 thru 2012	STATE FISCAL YEAR 2013				
				07/12- 09/12 QTR 1	10/12- 12/12 QTR 2	01/13 - 03/13 QTR 3	04/13 - 06/13 QTR 4	TOTAL
PROGRAM ADMIN 89 GRANT			351,322					351,322.00
PROGRAM ADMIN 90 GRANT			363,084					363,084.00
PROGRAM ADMIN 91 GRANT			740,983					740,983.00
PROGRAM ADMIN 92 GRANT			701,530					701,530.00
PROGRAM ADMIN 93 GRANT			693,966					693,966.00
PROGRAM ADMIN 94 GRANT			430,599					430,599.00
PROGRAM ADMIN 95 GRANT			444,716					444,716.00
PROGRAM ADMIN 96 GRANT			728,451					728,451.00
PROGRAM ADMIN 97 GRANT			223,296					223,296.00
PROGRAM ADMIN 98 GRANT			486,185					486,185.00
PROGRAM ADMIN 99 GRANT			486,225					486,225.00
PROGRAM ADMIN 00 GRANT			484,577					484,577.00
PROGRAM ADMIN 01 GRANT			480,269					480,269.00
PROGRAM ADMIN 02 GRANT			481,338					481,338.00
PROGRAM ADMIN 03 GRANT			478,210					478,210.00
PROGRAM ADMIN 04 GRANT			478,499					478,499.00
PROGRAM ADMIN 05 GRANT			388,996					388,996.00
PROGRAM ADMIN 06 GRANT			315,364					315,364.00
PROGRAM ADMIN 07 GRANT			386,336					386,336.00
PROGRAM ADMIN 08 GRANT			244,168					244,168.00
PROGRAM ADMIN 09 GRANT			244,172					244,172.00
PROGRAM ADMIN ARRA GRANT			1,414,968					1,414,968.00
PROGRAM ADMIN 10 GRANT			735,640					735,640.00
PROGRAM ADMIN 11 GRANT			533,120					533,120.00
PROGRAM ADMIN 12 GRANT				510,280.00				510,280.00
Subtotal			12,316,014	510,280.00	0.00	0.00	0.00	12,826,294.00
BINDING COMMITMENT (BC) TOTALS			1,071,147,944	12,784,861	-3,846,246	6,188,015	2,593,782	1,088,868,356
CUMULATIVE BC TOTALS			1,071,147,944	1,083,932,805	1,080,086,559	1,086,274,574	1,088,868,356	
FY BC TOTALS							17,720,412	
REQUIRED BC *			327,488,936	0	0	0	15,308,400	342,797,336
CUMULATIVE REQ'D AMOUNT			327,488,936	327,488,936	327,488,936	327,488,936	342,797,336	
BC AS A % OF REQ'D BC AMOUNT			327%	331%	330%	332%	318%	

* 120% OF TOTAL CAP GRANTS FEDERAL PAYMENTS MINUS ARRA CAP GRANT LAGGED BY 1 YEAR =
 [321,038,647 - 35,374,200 = 285,664,447] x 1.20 = 342,797,336

EXHIBIT 5

**WATER POLLUTION CONTROL REVOLVING LOAN FUND
SELECT DISBURSEMENTS
FOR THE YEAR ENDED JUNE 30, 2013**

	FY 2011	FISCAL YEAR 2012				TOTAL
		QTR 1	QTR 2	QTR 3	QTR 4	
SELECT DISBURSEMENTS	19,914,568	4,414,799	12,310,948	3,770,153	2,026,927	22,522,828
Less FEDERAL ARRA DISBURSEMENTS	(490,304)	0	0	0	0	0
FY CUMULATIVE TOTALS	19,424,264	4,414,799	16,725,748	20,495,901	22,522,828	22,522,828
ACH AVAILABLE (BEGINNING)	19,849,499	22,755,516	31,099,167	18,787,242	17,684,990	
CUMULATIVE ACH DEPOSITS	13,328,000	12,757,000	0	0	0	12,757,000
CASH DRAW FROM ACH	10,421,983	4,413,349	12,311,925	1,102,252	2,028,983	19,856,509
ACH AVAILABLE (ENDING)	22,755,516	31,099,167	18,787,242	17,684,990	15,656,007	
STATE SHARE	0	0	0	2,665,845	0	2,665,845
CUMULATIVE STATE SHARE	0	0	0	2,665,845	2,665,845	
ACH DRAW AS % OF DISBURSEMENTS **	52.33%	99.97%	100.01%	29.24%	100.10%	88.16%

** Federal percentage of total expenditures to date is : 82.24%

EXHIBIT 6 PART 1: SECTION 212 PUBLICLY OWNED TREATMENT WORKS

PROJECT NAME COMMUNITIES SERVED	PROJECT NUMBER	NEEDS CATEGORY									
		I SECONDARY TREATMENT	II ADVANCED TREATMENT	III A INFILTRATION/ INFLOW CORR	III B SEWER REHAB	IV A COLLEC- TORS	IV B INTER- CEPTORS	V CSO CORRECTION/ CONTROL	VII NPS BROWNFIELDS	VII-D	VII-K
Loans											
BEL AIRE	1959 01				535,980						
ENSIGN	1764 01	340,705									
GARDNER	1956 01	3,472,500	3,472,500								
HESSTON	1960 01	1,145,625	1,145,625								
IOLA	1932 01			785,000							
LINCOLNVILLE	1929 01			245,275							
LORRAINE	1958 01				100,000						
MINNEAPOLIS	1951 01	526,144									
PARSONS	1957 01			500,000							
SILVER LAKE	1934 01				697,400						
WOODSTON	1770 01	114,150									
	Subtotal	5,599,124	4,618,125	1,530,275	1,333,380	0	0	0	0	0	0
Amendments											
CHEROKEE COUNTY	1745 01				95,000						
COLONY	1939 01				177,400						
GARDNER	1956 01						2,639,000				
GLASCO	1912 01	150,000									
LABETTE COUNTY	1749 01					130,500	130,500				
LEOTI	1822 01						290,000				
PLAINS	1936 01	97,397									
PRATT	1799 01	1,770,637									
ROBINSON	1937 01				171,205						
SHAWNEE COUNTY	1916 01						650,000				
SOLOMON	1791 01	85,000									
STOCKTON	1802 01	780,000									
	Subtotal	2,883,034	0	0	443,605	130,500	3,709,500	0	0	0	0
PART 1 TOTAL		8,482,158	4,618,125	1,530,275	1,776,985	130,500	3,709,500	0	0	0	0

EXHIBIT 6 PART 2: SECTION 319 NON POINT SOURCE PROJECTS

PROJECT NAME COMMUNITIES SERVED	PROJECT NUMBER	NEEDS CATEGORY									
		I SECONDARY TREATMENT	II ADVANCED TREATMENT	III A INFILTRATION/ INFLOW CORR	III B SEWER REHAB	IV A COLLEC- TORS	IV B INTER- CEPTORS	V CSO CORRECTION/ CONTROL	VII NPS BROWNFIELDS	VII-D	VII-K
Loans											
GLACIAL HILLS RCDC (DEL/MUDDY)	1946 01										388,295
GLACIAL HILLS RCDC (WOLF RIVER)	1942 01										153,948
KANSAS WATER OFFICE (COTTONWOOD)	1944 01										1,310,652
KANSAS WATER OFFICE (SMOKEY HILL)	1943 01										300,480
UNIVERSITY OF KANSAS	1945 01									450,000	
	Subtotal	0	0	0	0	0	0	0	0	450,000	2,153,375
PART 2 TOTAL		0	0	0	0	0	0	0	0	450,000	2,153,375
'12 Total		8,482,158	4,618,125	1,530,275	1,776,985	130,500	3,709,500	0	0	450,000	2,153,375

ACTUAL AND PROJECTED SRF GRANT AWARDS

GRANT YEAR	AWARD AMOUNT	AWARD AMOUNT FY 1989-2012	STATE FISCAL YEAR 2012 7/1/11-6/30/12				STATE FISCAL YEAR 2013 PROJECTED 7/1/12-6/30/13			
			QTR 1	QTR 2	QTR 3	QTR 4	QTR 1	QTR 2	QTR 3	QTR 4
FY 89 GRANT	8,783,047	8,783,047								
FY 90 GRANT	9,077,104	9,077,104								
FY 91 GRANT	18,524,583	18,524,583								
FY 92 GRANT	17,538,246	17,538,246								
FY 93 GRANT	17,349,156	17,349,156								
FY 94 GRANT	10,764,963	10,764,963								
FY 95 GRANT	11,117,898	11,117,898								
FY 96 GRANT	18,211,386	18,211,386								
FY 97 GRANT	5,582,400	5,582,400								
FY 98 GRANT	12,154,626	12,154,626								
FY 99 GRANT	12,536,764	12,536,764								
FY 00 GRANT	12,114,497	12,114,497								
FY 01 GRANT	12,006,720	12,006,720								
FY 02 GRANT	12,033,450	12,033,450								
FY 03 GRANT	11,955,240	11,955,240								
FY 04 GRANT	11,962,467	11,962,467								
FY 05 GRANT	9,724,900	9,724,900								
FY 06 GRANT	7,884,100	7,884,100								
FY 07 GRANT	9,658,400	9,658,400								
FY 08 GRANT	6,104,200	6,104,200								
FY 09 GRANT	6,104,300	6,104,300								
ARRA GRANT	35,374,200	35,374,200								
FY 10 GRANT	18,391,000	18,391,000								
FY 11 GRANT	13,328,000	13,328,000								
FY 12 GRANT										12,757,000.00
Subtotal		308,281,647	0	0	0	0	0	0	0	12,757,000
Cumulative	321,038,647	308,281,647	308,281,647	308,281,647	308,281,647	308,281,647	308,281,647	308,281,647	308,281,647	321,038,647

EXHIBIT 8

**Kansas Water Pollution Control Revolving Fund
Project Loan Repayment and Interest Activity**

	FY 89 - 12 TOTAL	9/30/12	12/31/12	3/31/13	6/30/13	FY13 TOTAL	GRAND TOTAL
Total Project Payments	970,154,992.82	6,906,021.13	17,944,427.66	3,515,559.52	3,897,628.30	32,263,636.61	1,002,418,629.43
Total Interest Accrued	4,819,232.81	-	31,237.30	-	101,546.08	132,783.38	4,952,016.19
Total Principal Outstanding	485,128,195.16	-	-	-	-	440,877,249.56	440,877,249.56
Total Fees Accrued	441,647.40	-	6,721.55	-	12,398.35	19,119.90	460,767.30
Total Principal Forgiveness	24,006,394.55	84,083.85	788,605.43	848,756.61	-	1,721,445.89	25,727,840.44
Total Principal Payments	466,281,283.32	28,431,623.21	14,130,559.84	23,972,990.90	8,409,865.65	74,945,039.60	541,226,322.92
Total Interest Payments	181,733,485.43	5,440,515.71	875,475.94	5,293,824.04	910,095.81	12,519,911.50	194,253,396.93
Total Fee Payments	14,854,159.30	503,609.17	85,668.35	486,083.64	90,451.09	1,165,812.25	16,019,971.55

EXHIBIT 9

**WATER POLLUTION CONTROL REVOLVING LOAN FUND
ACTUAL DISBURSEMENTS
FOR THE YEAR ENDED JUNE 30, 2013**

	QTR 1	QTR 2	QTR 3	QTR 4	TOTAL
FEDERAL LOAN	4,293,134	12,248,538	989,363	1,950,844	19,481,879
FEDERAL ADMINISTRATION	121,665	62,410	114,945	76,083	375,103
FEDERAL ARRA	0	0	0	0	0
STATE MATCH LOAN	0	0	2,665,845	0	2,665,845
ACTUAL SRF PROGRAM TOTALS	4,414,799	12,310,948	3,770,153	2,026,927	22,522,828

EXHIBIT 10

KANSAS WATER POLLUTION CONTROL REVOLVING LOAN FUND

STATEMENTS OF NET POSITION
JUNE 30, 2013 AND 2012

	UNAUDITED	UNAUDITED
	2013	2012
ASSETS		
Current assets:		
Cash	\$ 62,575,361	\$ 118,395,754
Investment interest receivable	300,450	196,097
Investments	32,837,664	-
Loans	37,539,849	38,613,859
Loan interest receivable	3,508,599	3,719,613
Other receivables	332,805	360,054
Total current assets	137,094,728	161,285,377
Noncurrent assets:		
Restricted cash - arbitrage rebate	215,933	215,933
Restricted cash - loan reserve earnings	776,923	763,167
Investments	6,107,838	-
Loans	403,337,401	446,514,336
Loan reserve accounts - invested	5,933,932	5,933,932
Loan reserve accounts - cash	3,600,921	3,588,243
Debt service reserve accounts-cash	11,648,612	13,596,301
Debt service reserve accounts - investments	8,284,297	8,284,297
Bond issue costs, net of amortization	1,711,773	2,348,392
Total noncurrent assets	441,617,630	481,244,601
Total Assets	578,712,358	642,529,978
LIABILITIES		
Current liabilities:		
Accounts payable and accrued expenses	896,952	909,898
Bond interest payable	3,472,812	4,118,876
Revenue bonds payable, current	34,600,845	30,520,000
Total current liabilities	38,970,609	35,548,774
Noncurrent liabilities:		
Loan reserve accounts payable	9,534,854	9,522,176
Revenue bonds payable, long-term, net	246,059,615	329,939,893
Deferred amounts on refunding	(8,761,181)	(10,454,222)
Total noncurrent liabilities	246,833,288	329,007,847
Total Liabilities	285,803,897	364,556,621
NET POSITION		
Restricted net position	292,908,461	277,973,357
Total Net Position	\$ 292,908,461	\$ 277,973,357

See accompanying notes to financial statements.

EXHIBIT 11

KANSAS WATER POLLUTION CONTROL REVOLVING LOAN FUND

STATEMENTS OF REVENUES, EXPENSES, AND CHANGES IN FUND NET POSITION
YEARS ENDED JUNE 30, 2013 AND 2012

	UNAUDITED	
	2013	2012
Operating revenues		
Interest on Loans	\$ 12,441,680	\$ 14,341,138
Grant revenue	395,638	825,286
Service fee revenue	1,198,599	1,436,434
Other revenue	1,109,245	1,343,419
Total operating revenues	15,145,162	17,946,277
Operating expenses		
Program administration-federal	367,501	825,711
Program administration-other	1,199,517	577,306
Arbitrage rebate	-	-
Loan principal forgiveness	1,721,446	15,495,382
Total operating expenses	3,288,464	16,898,399
Operating income	11,856,698	1,047,878
Nonoperating revenues (expenses)		
Investment Income:		
Bond reserve account	583,838	2,798,009
Other investment income	209,017	107,225
Bond issuance costs - amortization	(243,808)	(245,669)
Interest expense - bonds	(14,382,105)	(17,246,260)
Other nonoperating expenses	(2,570,415)	(3,043,808)
Capital contributions- ARRA capitalization grants	-	490,304
Capital contributions-capitalization grants drawn down, net of recognized administrative grants	19,481,879	9,596,697
Total nonoperating revenues (expenses)	3,078,406	(7,543,502)
Change in net position	14,935,104	(6,495,624)
Net position-beginning of year	277,973,357	284,468,981
Total Net position-end of year	\$ 292,908,461	\$ 277,973,357

See accompanying notes to financial statements.

KANSAS WATER POLLUTION CONTROL REVOLVING FUND

STATEMENTS OF CASH FLOWS

YEARS ENDED JUNE 30, 2013 AND 2012

	UNAUDITED	UNAUDITED
	2013	2012
Cash Flows From Operating Activities		
Loan principal collected	\$ 74,945,040	\$ 92,165,165
Loans disbursed	(32,415,540)	(38,814,167)
Interest received on loans	12,652,695	15,148,315
Program administration expenses	(1,437,019)	(1,273,017)
Arbitrage rebate paid	-	-
Other revenues (expenses)	1,096,298	1,410,987
Service fees received	1,225,847	1,495,395
Service fees paid	(130,000)	(130,000)
Capitalization grant drawn for administrative costs	395,638	825,286
Net cash provided by operating activities	<u>56,332,959</u>	<u>70,827,964</u>
Cash Flows From NonCapital Financing Activities		
Bond principal paid	(30,520,000)	(27,295,000)
Bond interest paid	(15,720,644)	(20,505,932)
ARRA capitalization grant for loans	-	490,304
Capitalization grant for loans	19,481,879	9,596,697
Bond proceeds, including premium	2,665,845	-
Payment to refunded bond escrow agent	(49,166,951)	(27,519,819)
Cash defeasance costs	(2,570,415)	(3,043,808)
Bond issuance costs (paid) refunded	-	-
Net cash provided by (used in) noncapital financing activities	<u>(75,830,286)</u>	<u>(68,277,558)</u>
Cash Flows From Investing Activities		
Proceeds from sales and maturities of investments	3,774,344	13,963,593
Purchase of investments	(42,719,845)	-
Interest received on investments and debt service reserve accounts	688,502	3,066,037
Net cash provided by investing activities	<u>(38,256,999)</u>	<u>17,029,630</u>
Net increase (decrease) in cash	(57,754,326)	19,580,036
Cash, beginning of year	132,971,155	113,391,119
Cash, end of year	<u>\$ 75,216,829</u>	<u>\$ 132,971,155</u>
Cash consists of:		
Cash	62,575,361	118,395,754
Restricted cash - Arbitrage Rebate	215,933	215,933
Restricted cash - Loan Reserve Earnings	776,923	763,167
Debt service reserve funds - cash	11,648,612	13,596,301
	<u>\$ 75,216,829</u>	<u>\$ 132,971,155</u>
RECONCILIATION OF OPERATING INCOME TO NET CASH USED IN OPERATING ACTIVITIES:		
Operating income	\$ 11,856,698	\$ 1,047,878
Adjustments to reconcile operating income to net cash provided by operating activities:		
Changes in operating assets and liabilities:		
Loans	44,250,946	68,846,383
Loan interest receivable	211,015	807,177
Other receivables	27,249	58,961
Accounts payable and accrued expenses	(12,947)	67,565
Arbitrage rebate payable	-	-
Net cash provided by (used in) operating activities	<u>\$ 56,332,961</u>	<u>\$ 70,827,964</u>

See accompanying notes to financial statements.

NOTE 1 - Summary of Significant Accounting Policies

A. Description of Program

The Kansas Water Pollution Control Revolving Fund (the Fund) was established pursuant to K.S.A. 65-3321 et seq in 1988 by the Kansas Legislature. The Fund was created to implement the State's participation under the Federal Clean Water Act as amended by the Federal Water Quality Act of 1987. Under Kansas law, the Secretary of the Department of Health and Environment (KDHE) administers the Water Pollution Control Revolving Loan Program (the Program). The Federal Act authorizes the Environmental Protection Agency (EPA) to award capitalization grants for deposit into state revolving funds in order to provide financial assistance for constructing publicly owned wastewater treatment facilities. The Program is the response by the State to federal law changes governing the development of publicly owned wastewater treatment works. The state revolving fund may be used to make loans, fund debt service reserves and provide other types of financial assistance to public entities. Initial funding for the Program is to be provided from the federal capitalization grants and state matching funds. The state matching funds must be equal to 20% of the federal capitalization grants. Under the Program, of the total amount to be deposited in a state revolving fund from federal capitalization grants and state matching funds, 83 1/3% is to be provided by federal capitalization grants and 16 2/3% is to be provided by state matching funds. The Fund is to be established, maintained and credited with repayments and the Fund equity is to be available in perpetuity for providing such financial assistance.

Loans are made to municipalities from the Fund for eligible project costs. Kansas Administrative Regulation 28-16-113 establishes the interest rates for the loans, together with fees set for servicing the loans, to be an amount equal to 60% of the previous three months' average "bond buyers 20 bond index" as published on Friday of each week for the preceding three months. KDHE administers those aspects of the Program relating to selection of projects and the making of loans to eligible municipalities. Kansas Development Finance Authority (KDFFA) issues revenue bonds for the state matching funds needed and for leveraged borrowing for the Fund. The Department of Administration administers the accounting and reporting aspects of the Program relating to the receipt and disbursement of monies within the Fund, including disbursement of loans to municipalities and billing and collecting of loan repayments. Moneys in the Fund are deposited with the Treasurer of the State of Kansas.

B. Measurement Focus, Basis of Accounting

The Fund is an enterprise fund of the State. The financial statements of the Fund are prepared on the accrual basis of accounting and on an economic resources measurement focus in accordance with accounting principles generally accepted in the United State of America. With this measurement focus, all assets and all liabilities are included on the statement of net position. The statement of changes in fund net position presents increases (revenues) and decreases (expenses) in net total position. Revenues are recognized when earned and expenses are recorded when liabilities are incurred. The statement of cash flows provides information about how the Fund meets the cash flow needs of its activities. Program management has decided that

this approach is necessary to demonstrate the success of the Program and to assure interested parties that the Fund will be available in perpetuity as intended.

C. Disclosure

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosures of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from estimates.

D. Net Position

Cash is considered the fund and account balances on deposit with the State of Kansas Treasurer even though these monies are invested as part of the State's idle funds pool and earn average daily balance interest. Cash also includes balances held by the Trustee, UMB Bank, na.

Investments include guaranteed investment contracts, fully flexible repurchase agreements and other investments as permitted by Master Bond Resolution No. 37, dated November 9, 1992, Supplemental Resolution No 37-a, dated January 8, 1993, Supplemental Resolution No. 37-b, dated July 7, 1995, Supplemental Resolution No. 37-c, dated January 7, 1997, Supplemental Resolution No. 37-d, dated May 1, 1998, Supplemental Resolution No. 37-e, dated May 7, 1999, Supplemental Resolution No. 37-f, dated November 5, 1999, Supplemental Resolution No. 37-g, dated December 3, 1999, Supplemental Resolution No. 37-h, dated January 7, 2000, Supplemental Resolution No. 37-i, dated August 17, 2001, Supplemental Resolution No. 37-j, dated October 4, 2002, Supplemental Resolution No. 37-k, dated May 6, 2004, Supplemental Resolution No. 37-l, dated July 14, 2005, Supplemental Resolution No. 37-m dated July 10, 2008, Supplemental Resolution No. 37-n dated November 6, 2008, Supplemental Resolution No. 37-o dated March 5, 2009, Supplemental Resolution No. 37-p dated November 4, 2010, Master Financing Indenture dated November 1, 2010, the First Supplemental Financing Indenture dated December 15, 2010, the Second Supplemental Financing Indenture dated May 15, 2011, the Third Supplemental Financing Indenture dated November 1, 2012 and the Fourth Supplemental Financing Indenture dated January 15, 2013.

Bond issuance costs are being amortized over the life of the bonds using the straight-line method. Deferred charges for bond issuance for SRF 2001 Series II are to be fully amortized at the final maturity of November 1, 2017. Deferred charges for bond issuance for SRF 2004 Series II are to be fully amortized at the final maturity of May 1, 2016. Deferred charges for bond issuance for SRF Series 2005 State Match are to be fully amortized at the final maturity of November 1, 2015. Deferred charges for bond issuance for SRF Series 2005 Leveraged are to be fully amortized at the final maturity of November 1, 2018. Deferred charges for bond issuance for SRF Series 2008 State Match are to be fully amortized at the final maturity of November 1, 2013. Deferred charges for bond issuance for SRF Series 2008 Leveraged are to be fully amortized at the final maturity of November 1, 2019. Deferred charges for bond issuance for 2010SRF-1 are to be fully amortized at the final maturity of March 1, 2022. Deferred charges for bond issuance for 2010SRF-2 Leveraged are to be fully amortized at the

final maturity of March 1, 2030. Deferred charges for bond issuance for 2010SRF-3 Leveraged are to be fully amortized at the final maturity of March 1, 2014.

Receivables include interest earnings, current loan repayments due and loan principal balances outstanding. All receivables are considered collectable, therefore, no allowance account has been established.

Net position represents the difference between assets and liabilities. Net position invested in capital assets, net of related debt, consists of capital assets, net of accumulated depreciation, reduced by the outstanding balances of any borrowings used for the acquisition, construction or improvement of those assets. Currently the fund has no net position invested in capital assets. Net position is reported as restricted when there are limitations imposed on their use. All assets of the program have been determined to be restricted in accordance with the conditions of the Water Pollution Control capitalization grants and bond covenants. Restricted net position includes EPA capitalization grants restricted for loans to municipalities and for program administration expenses. The amount of capitalization grants restricted for program administration is being recognized as revenue when earned.

The Fund revenues consist of operating and nonoperating revenues. Operating revenues include: 1) interest earned on loans, 2) federal grant dollars earned for administrative costs, 3) loan service fees and 4) interest subsidy on the 2010 SRF-1 bonds. Nonoperating revenues include investment income on bond reserves and other invested program moneys, federal grant dollars drawn for loans and any other revenues not classified as operating revenues.

The Fund expenses consist of operating and nonoperating expenses. Operating expenses include: 1) administrative costs and 2) loan principal forgiveness. Nonoperating expenses include: 1) revenue bond interest, 2) revenue bond issuance costs amortized, 3) revenue bond premium and discount amortized, and 4) bond defeasance costs.

NOTE 2 - Investments

At the fiscal year end the Program Investments were as follows:

AIG Matched Funding Corp.	554,279	6.72%
CitiGroup/SARCO	318,984	6.85%
CitiGroup/SARCO	7,411,034	6.85%
FSA Capital Management	1,201,164	3.664%
Morgan Guaranty	1,007,486	5.78%
AIG	3,725,282	5.30%
Pennsylvania St Hsg Fin Agy	1,297,040	0.850%
Pennsylvania St Hsg Fin Agy	1,296,457	1.000%
Sthrn CA Public Pwr Auth Rev, Mead-Phoenix Proj	117,846	0.477%
Sthrn CA Public Pwr Auth Rev, Mead-Adelanto Proj	557,089	0.477%
Sthrn CA Public Pwr Auth Rev, Mead-Phoenix Proj	263,564	0.787%
Sthrn CA Public Pwr Auth Rev, Mead-Adelanto Proj	293,417	0.787%
Massachusetts St Hsg Fin Agy	1,280,087	0.512%
Massachusetts St Hsg Fin Agy	426,115	0.581%
Massachusetts St Hsg Fin Agy	426,094	0.760%
Virginia State Hsg Auth Dev Auth	425,134	0.988%
Maryland St Economic Dev Corp Lease Rev	2,075,497	0.600%
Orange Cnty Calif Pension Ob	1,966,138	0.680%
Orange Cnty Calif Pension Ob	1,376,558	0.730%
KDFA Bond Anticipation Note Series 2013-1	17,851,460	0.500%
Fairfax Cnty VA Redev & Hsg Auth Rev	1,305,113	0.390%

Fairfax Cnty VA Redev & Hsg Auth Rev	1,227,632	0.660%
North Texas Hwy Auth Rev	816,924	2.441%
Montgomery Cnty Tennessee	332,423	0.200%
New York State Housing	335,159	0.350%
New York State Housing	1,181,588	0.450%
Oregon St Hsg & Cmnty Svs Dept Mtg	761,383	0.350%
Oregon St Hsg & Cmnty Svs Dept Mtg	768,829	0.450%
Oregon St Hsg & Cmnty Svs Dept Mtg	483,375	0.839%
Central Okla Transn & Pkg Auth Rev	58,689	0.706%
Central Okla Transn & Pkg Auth Rev	101,736	0.856%
Wisconsin St Gen Rev	245,595	0.798%
Loudoun Cnty Virginia	732,110	0.175%
Loudoun Cnty Virginia	711,638	0.350%
Sioux City Iowa	230,812	0.750%
Total Investments	<u><u>\$53,163,731</u></u>	

NOTE 3 - Long-Term Debt

The balances of Revenue Bonds issued by Kansas Development Finance Authority at the fiscal year end are as follows:

2001 Series II		\$ 141,045,000
Less Bonds Paid	5.50%	(53,220,000)
Less Bonds Defeased		(37,250,000)
Plus Unamortized OIP		<u>718,284</u>
		51,293,284
2004 Series II		\$ 50,140,000
Less Bonds Paid	5.25%	(13,065,000)
Less Bonds Defeased		(28,640,000)
Plus Unamortized OIP		<u>65,553</u>
		8,500,553
2005 Series		\$ 118,860,000
Less Bonds Paid	3.50% - 5.00%	(49,185,000)
Less Bonds Defeased		(11,315,000)
Plus Unamortized OIP		<u>1,331,120</u>
		59,691,120
2008 Series		\$ 66,545,000
Less Bonds Paid	3.50% - 4.125%	(4,165,000)
Less Bonds Defeased		(59,560,000)
Less Unamortized OID		(617)
Plus Unamortized OIP		<u>3,589</u>
		2,822,972
2010 Series		\$ 152,440,000
Less Bonds Paid	2.231% - 5.945%	(5,905,000)
Plus Unamortized OIP		<u>9,117,745</u>
		155,652,745
2013 Series		\$ 2,665,845
Less Bonds Paid	0.50%	<u>0</u>
		2,665,845

Revenue Bond debt service requirements to maturity are as follows:

Year Ending June 30	Principal Amount Due	Interest Amount Due	Total
2014	\$ 34,600,845	\$ 13,199,876	\$ 47,800,721
2015	30,325,000	11,664,278	41,989,278
2016	30,240,000	10,131,428	40,371,428
2017	22,595,000	8,559,603	31,154,603
2018	24,510,000	7,307,728	31,817,728
2019	25,115,000	6,254,353	31,369,353
2020	17,700,000	5,231,625	22,931,625
2021	20,020,000	4,358,522	24,378,522
2022	14,115,000	3,357,521	17,472,521
2023	10,990,000	2,701,451	13,691,451
2024	12,035,000	2,177,228	14,212,228
2025	4,475,000	1,579,089	6,054,089
2026	3,875,000	1,347,731	5,222,731
2027	4,060,000	1,117,363	5,177,363
2028	4,295,000	875,996	5,170,996
2029	4,795,000	620,658	5,415,658
2030	5,645,000	335,595	5,980,595
	\$ 269,390,845	\$ 80,820,045	\$ 350,210,890

NOTE 4 – Arbitrage Rebate

In accordance with Internal Revenue Code Section 148(f) relating to arbitrage restrictions on tax-exempt bonds, there is currently no rebate liability for the 2001, 2004, 2005, 2008 or 2010 bonds.

NOTE 5 - Federal Capitalization Grants

Ninety-six percent of the federal capitalization grants awarded by the EPA are to be loaned out to municipalities. Up to four percent of the capitalization grants may be spent on Program administration. Management of the Fund has determined that the entire four percent will be spent on administration as evidenced by the budget page of each grant agreement. Therefore, as administration funds are drawn down, Fund equity is reduced and Program administration grant revenue is recognized.

Federal capitalization grants awarded through the year end are as follows:

Federal Fiscal Year	Loans	Program Administration	Total Grant
1989 Grant Award	\$ 8,431,725	\$ 351,322	\$ 8,783,047
1990 Grant Award	8,714,020	363,084	9,077,104
1991 Grant Award	17,783,600	740,983	18,524,583
1992 Grant Award	16,836,716	701,530	17,538,246
1993 Grant Award	16,655,190	693,966	17,349,156
1994 Grant Award	10,334,364	430,599	10,764,963
1995 Grant Award	10,673,182	444,716	11,117,898
1996 Grant Award	17,482,935	728,451	18,211,386
1997 Grant Award	5,359,104	223,296	5,582,400
1998 Grant Award	11,668,441	486,185	12,154,626
1999 Grant Award	12,050,539	486,225	12,536,764
2000 Grant Award	11,629,920	484,577	12,114,497
2001 Grant Award	11,526,451	480,269	12,006,720
2002 Grant Award	11,552,112	481,338	12,033,450
2003 Grant Award	11,477,030	478,210	11,955,240
2004 Grant Award	11,483,968	478,499	11,962,467
2005 Grant Award	9,335,904	388,996	9,724,900
2006 Grant Award	7,568,736	315,364	7,884,100
2007 Grant Award	9,272,064	386,336	9,658,400
2008 Grant Award	5,860,032	244,168	6,104,200
2009 Grant Award	5,860,128	244,172	6,104,300
2009 Grant Award - ARRA	33,959,232	1,414,968	35,374,200
2010 Grant Award	17,655,360	735,640	18,391,000
2011 Grant Award	12,794,880	533,120	13,328,000
2012 Grant Award	<u>12,246,720</u>	<u>510,280</u>	<u>12,757,000</u>
	308,212,353	12,826,294	321,038,647
Less cumulative amounts drawn Down	<u>(277,235,550)</u>	<u>(8,290,581)</u>	<u>(285,526,131)</u>
	30,976,803	4,535,713	35,512,516
Less amounts drawn down during Fiscal Year 2013	<u>(19,481,879)</u>	<u>(374,631)</u>	<u>(19,856,510)</u>
Balance Available	<u>\$ 11,494,924</u>	<u>\$ 4,161,082</u>	<u>\$ 15,656,006</u>

As of June 30, 2013, \$15,656,006 of the capitalization grants had not been drawn down.

NOTE 6 – Program Commitments – Loan Agreements

Project	Loan Agreement	Amount Loaned	Agreement Balance	
City of Abilene	No. 1480-01	\$ 701,892	\$ 701,892	\$ -
City of Abilene	No. 1657-01	8,620,417	8,620,417	-
City of Admire	No. 1492-01	225,163	225,163	-
City of Alma	No. 1464-01	323,442	323,442	-
City of Alma	No. 1646-01	2,704,200	2,704,200	-
City of Almena	No. 1498-01	426,500	426,500	-
City of Alta Vista	No. 1568-01	764,904	764,904	-
City of Americus	No. 1414-01	1,637,000	1,637,000	-
City of Andale	No. 1644-01	588,225	588,225	-
City of Anthony	No. 1212-01	1,885,432	1,885,432	-
City of Anthony	No. 1775-01	313,713	313,713	-
City of Argonia	No. 1782-01	907,256	641,992	265,264
City of Arkansas City	No. 1250-01	2,513,466	2,513,466	-
City of Arma	No. 1317-01	1,025,168	1,025,168	-
City of Ashland	No. 1251-01	650,735	650,735	-
City of Assaria	No. 1903-01	500,020	80,108	419,912
City of Atchison	No. 1305-01	3,657,035	2,640,016	1,017,019
City of Attica	No. 1601-01	301,985	301,985	-
City of Atwood	No. 1448-01	827,519	827,519	-
City of Auburn	No. 1308-01	1,049,203	1,049,203	-
City of Auburn	No. 1726-01	477,767	477,767	-
City of Augusta	No. 1364-01	9,248,942	9,248,942	-
Baileyville Improvement Dist 1	No. 1663-01	80,808	80,808	-
City of Baldwin City	No. 1211-01	300,559	300,559	-
City of Baldwin City	No. 1211-02	450,538	450,538	-
City of Baldwin City	No. 1303-01	409,438	409,438	-
City of Baldwin City	No. 1571-01	2,955,074	2,955,074	-
City of Baldwin City	No. 1748-01	1,256,649	1,256,649	-
City of Basehor	No. 1463-01	8,110,368	8,110,368	-
City of Basehor	No. 1767-01	5,663,682	5,663,682	-
City of Baxter Springs	No. 0804-01	622,243	622,243	-
City of Bazine	No. 1638-01	146,000	146,000	-
City of Beattie	No. 1664-01	199,553	199,553	-
City of Bel Aire	No. 1959-01	535,980	-	535,980
City of Belle Plaine	No. 1183-01	3,111,220	3,111,220	-
City of Beloit	No. 1319-01	2,671,685	2,671,685	-
City of Belvue	No. 1672-01	196,504	196,504	-
City of Bennington	No. 1365-01	467,594	467,594	-
City of Bentley	No. 1515-01	302,327	302,327	-
City of Benton	No. 1483-01	820,401	820,401	-
City of Bern	No. 1633-01	73,027	73,027	-
City of Beverly	No. 1572-01	98,475	98,475	-
City of Bird City	No. 1727-01	538,143	538,143	-
City of Belleville	No. 1416-01	1,643,675	1,643,675	-
City of Bronson	No. 1565-01	187,791	187,791	-

Project		Loan Agreement	Amount Loaned	Agreement Balance
City of Bucklin	No. 1040-02	206,668	206,668	-
City of Bucklin	No. 1800-01A	646,224	646,224	-
City of Buhler	No. 1540-01	374,699	374,699	-
City of Buhler	No. 1700-01	1,108,491	1,108,491	-
City of Burlington	No. 1328-01	629,842	629,842	-
City of Burrton	No. 1570-01	445,668	445,668	-
City of Caldwell	No. 0808-02	687,000	687,000	-
City of Caney	No. 1499-01	600,000	600,000	-
City of Caney	No. 1709-01	305,596	305,596	-
City of Canton	No. 1658-01	288,228	288,228	-
City of Carbondale	No. 1277-01	712,741	712,741	-
City of Cawker City	No. 1905-01	255,669	255,669	-
City of Chanute	No. 1419-01	1,140,603	1,140,603	-
City of Chanute	No. 1930-01	360,428	47,717	312,711
City of Cheney	No. 1462-01	1,148,001	1,148,001	-
Cherokee Co SD #1	No. 1745-01	350,000	170,851	179,149
City of Chetopa	No. 1340-01	343,841	343,841	-
City of Cimarron	No. 1335-01	899,093	899,093	-
City of Claflin	No. 1381-01	244,006	244,006	-
City of Clay Center	No. 1334-01	425,053	425,053	-
City of Clay Center	No. 1712-01	464,521	464,521	-
City of Clearwater	No. 0812-02	1,455,932	1,455,932	-
City of Coats	No. 1649-01	65,163	65,163	-
City of Coffeyville	No. 1471-01	1,628,702	1,628,702	-
City of Coffeyville	No. 1252-01	9,188,590	9,188,590	-
City of Coffeyville	No. 1252-02	3,028,448	3,028,448	-
City of Colby	No. 1653-01	8,755,230	8,755,230	-
City of Colony	No. 1939-01	452,125	117,869	334,256
City of Columbus	No. 1742-01	695,634	695,634	-
City of Colwich	No. 1341-01	1,055,494	1,055,494	-
City of Conway Springs	No. 1420-01	425,828	425,828	-
City of Conway Springs	No. 1622-01	314,433	314,433	-
City of Council Grove	No. 1358-01	878,230	878,230	-
City of Council Grove	No. 1784-01	509,600	509,600	-
City of Dearing	No. 1476-01	177,438	177,438	-
City of Delia	No. 1575-01	231,181	231,181	-
City of Delphos	No. 1449-01	350,267	350,267	-
City of Denison	No. 1494-01	59,810	59,810	-
City of Derby	No. 1789-01A	3,371,000	2,856,493	514,507
Dickinson County	No. 1489-01	247,272	247,272	-
City of Dodge City	No. 1792-01	29,532,000	25,020,109	4,511,891
City of Douglass	No. 1278-01	721,500	721,500	-
City of Douglass	No. 1541-01	1,288,296	1,288,296	-
City of Downs	No. 1484-01	1,189,333	1,189,333	-
City of Easton	No. 1576-01	376,231	376,231	-
City of Edgerton	No. 1738-01	197,615	197,615	-
City of Edgerton	No. 1926-01	10,748,000	10,303,909	444,091
City of Edna	No. 1254-01	69,800	69,800	-
City of Edna	No. 1741-01	426,584	426,584	-

Project		Loan Agreement	Amount Loaned	Agreement Balance
City of Edwardsville	No. 1495-01	109,079	109,079	-
City of Edwardsville	No. 1599-01	3,063,879	3,063,879	-
City of Effingham	No. 1318-01	218,300	218,300	-
City of Elbing	No. 1297-01	282,203	282,203	-
City of El Dorado	No. 1421-01	1,182,869	1,182,869	-
City of El Dorado	No. 1639-01	11,606,958	11,606,958	-
City of El Dorado	No. 1827-01	2,106,252	2,106,252	-
City of Elgin	No. 1628-01	50,220	50,220	-
Ellis County	No. 1647-01	59,056	59,056	-
City of Ellinwood	No. 0948-02	1,985,676	1,985,676	-
City of Ellis	No. 1343-01	1,023,155	1,023,155	-
City of Ellsworth	No. 1674-01	1,500,000	1,500,000	-
City of Emporia	No. 1467-01	5,010,437	5,010,437	-
City of Emporia	No. 1600-01	7,389,811	7,389,811	-
City of Englewood	No. 1642-01	64,093	64,093	-
City of Ensign	No. 1764-01	340,705	4,376	336,329
City of Eudora	No. 1506-01	5,515,000	5,515,000	-
City of Eudora	No. 1678-01	2,767,450	2,767,450	-
City of Eureka	No. 1307-01	3,138,478	3,138,478	-
City of Eureka	No. 1701-01	510,204	504,944	5,260
Flint Hills RC&D	No. 1921-01	764,300	9,814	754,486
City of Ford	No. 1577-01	196,965	196,965	-
City of Fredonia	No. 1382-01	590,155	554,280	35,875
City of Frontenac	No. 1345-01	2,602,065	2,602,065	-
City of Fort Scott	No. 1344-02	6,788,070	6,788,070	-
City of Gardner	No. 1346-01	2,223,206	2,223,206	-
City of Gardner	No. 1514-01	20,078,700	20,078,700	-
City of Gardner	No. 1721-01	3,483,009	3,483,009	-
City of Gardner	No. 1956-01	9,584,000	5,417,782	4,166,218
City of Garden City	No. 1367-01	13,400,890	13,400,890	-
City of Garden Plain	No. 1716-01A	2,473,796	2,473,796	-
City of Garnett	No. 1450-01	727,030	727,030	-
City of Garnett	No. 1348-01	3,300,000	3,300,000	-
City of Geuda Springs	No. 1519-01	186,824	186,824	-
Glacial Hills - Delaware	No. 1882-01A	268,063	268,063	-
Glacial Hills - Delaware	No. 1882-01	295,776	295,776	-
Glacial Hills - Delaware	No. 1923-01	756,000	-	756,000
Glacial Hills - Delaware	No. 1946-01	388,295	-	388,295
Glacial Hills - Holton	No. 1881-01	191,310	-	191,310
Glacial Hills - Wolf River	No. 1942-01	153,948	-	153,948
City of Glasco	No. 1912-01	325,600	288,978	36,622
City of Glen Elder	No. 1166-01	134,275	134,275	-
City of Goddard	No. 1507-01	500,000	500,000	-
City of Goddard	No. 1734-01	6,817,490	6,817,490	-
City of Goessel	No. 1578-01	206,316	206,316	-
City of Gorham	No. 1702-01	188,202	188,202	-
City of Grainfield	No. 1931-01	903,594	437,511	466,083
City of Girard	No. 1179-01	1,486,105	1,486,105	-
City of Great Bend	No. 1257-01	5,590,000	5,590,000	-

Project		Loan Agreement	Amount Loaned	Agreement Balance
City of Great Bend	No. 1768-01	2,193,658	2,193,658	-
City of Grinnell	No. 1908-01	522,343	522,343	-
City of Grandview Plaza	No. 1645-01	133,384	133,384	-
City of Halstead	No. 1536-01	924,335	924,335	-
City of Halstead	No. 1753-01	309,664	309,664	-
City of Hanover	No. 1384-01	239,581	239,581	-
City of Harper	No. 1650-01	1,157,067	1,157,067	-
City of Hartford	No. 1562-01	235,000	235,000	-
City of Harveyville	No. 1625-01	123,643	123,643	-
City of Haven	No. 1671-01	513,893	513,893	-
City of Hays	No. 1280-01	5,516,364	5,516,364	-
City of Hays	No. 1842-01A	156,577	156,577	-
City of Herington	No. 1203-01	596,722	596,722	-
City of Hesston	No. 1960-01	2,291,249	182,025	2,109,224
City of Hiawatha	No. 1309-01	691,927	691,927	-
City of Highland	No. 1455-01	797,380	797,380	-
City of Hillsboro	No. 1187-01	422,447	422,447	-
City of Hillsboro	No. 1386-01	399,578	399,578	-
City of Hillsboro	No. 1490-01	490,000	490,000	-
City of Hillsboro	No. 1641-01	2,857,206	2,857,206	-
City of Hill City	No. 1801-01	4,999,110	169,612	4,829,498
City of Holton	No. 1281-01	2,005,536	2,005,536	-
City of Holton	No. 1659-01	4,628,576	4,628,576	-
City of Holyrood	No. 1363-01	318,917	318,917	-
City of Howard	No. 1561-01	819,760	819,760	-
City of Hoyt	No. 1349-01	692,780	692,780	-
City of Hoyt	No. 1757-01	735,029	653,103	81,926
City of Hugoton	No. 1387-01	1,738,259	1,738,259	-
City of Humboldt	No. 1461-01	1,200,000	1,200,000	-
City of Humboldt	No. 1504-01	1,326,970	1,326,970	-
City of Humboldt	No. 1708-01	684,000	684,000	-
City of Hutchinson	No. 1133-01	1,311,490	1,311,490	-
City of Hutchinson	No. 1517-01	5,989,284	5,989,284	-
City of Hutchinson	No. 1666-01	10,000,000	10,000,000	-
City of Hutchinson	No. 1780-01A	5,700,000	5,700,000	-
City of Independence	No. 1241-01	507,265	507,265	-
City of Independence	No. 0959-05	418,306	418,306	-
City of Independence	No. 1426-01	7,447,476	7,447,476	-
City of Independence	No. 1915-01	4,000,000	265,916	3,734,084
City of Ingalls	No. 1503-01	341,736	341,736	-
City of Iola	No. 1618-01	2,727,942	2,727,942	-
City of Iola	No. 1932-01	785,000	-	785,000
Jefferson County #7	No. 1557-01	336,697	336,697	-
Jefferson County	No. 1388-01	551,559	551,559	-
City of Jetmore	No. 1579-01A	1,480,929	1,480,929	-
Johnson Co Comm College	No. 1885-01A	528,500	528,500	-
Johnson County	No. 1167-04	4,902,966	4,902,966	-
Johnson County	No. 1168-02	3,069,300	3,069,300	-
Johnson County	No. 0611-08	3,146,378	3,146,378	-

Project		Loan Agreement	Amount Loaned	Agreement Balance
Johnson County	No. 1168-03	3,543,860	3,543,860	-
Johnson County	No. 0611-09	1,752,469	1,752,469	-
Johnson County	No. 1293-01	47,190,000	47,190,000	-
Johnson County	No. 1447-01	14,290,397	14,290,397	-
Johnson County	No. 1532-01	12,519,392	12,519,392	-
Johnson County	No. 1794-01A	18,307,269	18,307,269	-
Johnson County	No. 1920-01	8,131,778	-	8,131,778
Johnson County	No. 1920-02	1,452,921	-	1,452,921
City of Junction City	No. 1534-01	3,849,275	3,849,275	-
City of Junction City	No. 1694-01	6,002,166	6,002,166	-
City of Kanopolis	No. 1668-01	90,747	90,747	-
City of Kansas City	No. 1350-01	531,041	531,041	-
City of Kansas City	No. 1428-01	12,284,148	12,284,148	-
City of Kansas City	No. 1454-01	3,322,871	3,322,871	-
City of Kansas City	No. 1596-01	13,231,300	10,417,674	2,813,626
City of Kensington	No. 1370-01	208,959	208,959	-
City of Kincaid	No. 1685-01	149,460	149,460	-
City of Kingman	No. 1371-01	873,425	873,425	-
City of Kingman	No. 1371-02	3,726,575	3,726,575	-
City of Kinsley	No. 1598-01	575,656	575,656	-
City of Kirwin	No. 1681-01	166,827	166,827	-
Kansas State University	No. 1887-01A	583,507	583,507	-
Kansas Water Office	No. 1895-01A	1,716,793	1,716,793	-
Kansas Water Office	No. 1943-01	300,480	-	300,480
Kansas Water Office	No. 1944-01	1,310,652	-	1,310,652
Kansas University	No. 1897-01A	178,941	178,941	-
Kansas University	No. 1945-01	450,000	-	450,000
Labette County	No. 1749-01	481,000	214,410	266,590
City of LaCrosse	No. 1206-01	2,678,530	2,262,909	415,621
City of LaCygne	No. 1294-01	559,171	559,171	-
City of LaHarpe	No. 1207-01	760,000	760,000	-
City of Lakin	No. 1477-01	887,498	785,655	101,843
City of Lancaster	No. 1500-01	305,817	305,817	-
City of Lansing	No. 1516-01	15,674,127	15,674,127	-
City of Larned	No. 1287-01	6,824,462	6,824,462	-
City of Latham	No. 1718-01	189,499	189,499	-
City of Lawrence	No. 1260-01	44,773,720	44,773,720	-
City of Lebo	No. 1629-01	467,079	467,079	-
City of Lecompton	No. 1522-01	161,494	161,494	-
City of Lehigh	No. 1520-01	358,533	358,533	-
City of Lenexa	No. 1852-01A	1,073,430	1,073,430	-
City of Leon	No. 1311-01	455,930	455,930	-
City of Leon	No. 1914-01	732,253	674,303	57,950
City of Leonardville	No. 1651-01	81,401	81,401	-
City of Leoti	No. 1822-01	888,149	888,149	-
City of Liberty	No. 1779-01	144,445	144,445	-
City of Lincolnville	No. 1929-01	245,275	-	245,275
City of Lindsborg	No. 1339-01	1,150,000	1,150,000	-
City of Lindsborg	No. 1790-01A	4,950,673	4,950,673	-

Project		Loan Agreement	Amount Loaned	Agreement Balance
City of Linwood	No. 1581-01	205,171	205,171	-
City of Lincoln Center	No. 1288-01	699,705	699,705	-
City of Lenora	No. 1300-01	128,598	128,598	-
City of Logan	No. 1531-01	540,837	540,837	-
City of Lorraine	No. 1958-01	100,000	58,320	41,680
City of Little River	No. 1615-01	190,383	190,383	-
City of Louisburg	No. 1275-01	866,618	866,618	-
Leavenworth County	No. 1429-01	380,027	380,027	-
Leavenworth County SD #3	No. 1785-01	1,139,578	1,139,578	-
City of Lyndon	No. 1430-01	1,466,000	1,466,000	-
City of Lyons	No. 1392-01	1,402,806	1,402,806	-
City of Madison	No. 1261-01	630,857	630,857	-
City of Maize	No. 1312-01	2,828,535	2,828,535	-
City of Manhattan	No. 1583-01	8,666,236	8,666,236	-
City of Manhattan	No. 1746-02	39,506,000	31,793,922	7,712,078
City of Mankato	No. 1289-01	300,653	300,653	-
City of Maple Hill	No. 1321-01	318,930	318,930	-
City of Marion	No. 1924-01	433,625	43,835	389,790
City of Marysville	No. 1352-01	3,761,700	3,761,700	-
City of Marysville	No. 1632-01	937,213	937,213	-
City of Mayetta	No. 1451-01	229,793	229,793	-
City of McCune	No. 0855-02	450,527	450,527	-
City of McFarland	No. 1634-01	289,253	289,253	-
City of McLouth	No. 1431-01	1,522,288	1,522,288	-
City of McPherson	No. 1313-01	1,067,645	1,067,645	-
City of McPherson	No. 1493-01	1,127,000	1,127,000	-
City of McPherson	No. 1474-01	11,346,393	11,346,393	-
City of Meade	No. 0856-02	843,338	843,338	-
City of Medicine Lodge	No. 1393-01	2,946,766	2,946,766	-
City of Melvern	No. 1566-01	150,575	150,575	-
City of Meriden	No. 1283-01	527,531	527,531	-
City of Milford	No. 1236-01	302,068	302,068	-
City of Minneapolis	No. 1171-01	416,529	416,529	-
City of Minneapolis	No. 1951-01	526,144	16,212	509,932
City of Mission	No. 1858-01A	406,272	406,272	-
City of Mission Hills	No. 1621-01	4,116,534	4,116,534	-
Montgomery County	No. 1597-01	248,456	248,456	-
City of Moran	No. 1468-01	358,225	358,225	-
City of Moran	No. 1909-01	353,389	346,808	6,581
City of Moscow	No. 1762-01	715,460	-	715,460
Metropolitan Topeka Airport Authority	No. 1432-01	2,410,486	2,410,486	-
City of Neodesha	No. 1684-01	300,449	300,449	-
City of Newton	No. 1610-01	1,207,034	1,207,034	-
City of Newton	No. 1747-01	7,647,208	7,647,208	-
City of Nickerson	No. 1636-01	4,411,837	4,411,837	-
City of Norton	No. 1530-01	4,300,000	4,300,000	-
City of Nortonville	No. 1585-01	671,184	671,184	-
City of Oakley	No. 1783-01	1,563,221	1,563,221	-
City of Oberlin	No. 1529-01	1,759,081	1,759,081	-

Project		Loan Agreement	Amount Loaned	Agreement Balance
City of Ogden	No. 1711-01	1,525,279	1,525,279	-
City of Olathe	No. 1409-01	542,545	542,545	-
City of Olathe	No. 1248-02	686,461	686,461	-
City of Olathe	No. 1282-01	3,663,045	3,663,045	-
City of Olathe	No. 1265-01	2,864,968	2,864,968	-
City of Olathe	No. 1611-01	2,184,000	2,184,000	-
City of Olathe	No. 1695-01	768,500	768,500	-
City of Olathe	No. 1728-01	5,000,000	5,000,000	-
City of Olpe	No. 1607-01	567,303	567,303	-
City of Osawatomie	No. 1314-01	1,518,929	1,518,929	-
City of Osawatomie	No. 1395-01	2,413,903	2,413,903	-
City of Osborne	No. 1760-01	292,010	292,010	-
City of Oskaloosa	No. 1012-02	369,267	369,267	-
City of Oskaloosa	No. 1637-01	3,013,222	3,013,222	-
City of Oswego	No. 1276-01	272,952	272,952	-
City of Ottawa	No. 1266-01	10,764,014	10,764,014	-
City of Ottawa	No. 1928-01	4,707,513	-	4,707,513
City of Overbrook	No. 1587-01	1,054,662	1,054,662	-
City of Oxford	No. 1082-01	290,504	290,504	-
City of Palco	No. 1396-01	224,307	224,307	-
City of Paola	No. 1652-01	6,955,248	6,955,248	-
City of Park	No. 1901-01	313,473	313,473	-
City of Parsons	No. 1397-01	1,023,544	1,023,544	-
City of Parsons	No. 1435-01	7,100,000	7,100,000	-
City of Parsons	No. 1957-01	500,000	-	500,000
City of Partridge	No. 1510-01	200,363	200,363	-
City of Pawnee Rock	No. 1626-01	299,595	299,595	-
City of Paxico	No. 1513-01	116,590	116,590	-
City of Perry	No. 1497-01	607,800	607,800	-
City of Phillipsburg	No. 1441-01	2,855,519	2,855,519	-
City of Pittsburg	No. 1527-01	3,800,000	3,800,000	-
City of Pittsburg	No. 1656-01	4,000,000	3,681,625	318,375
City of Pittsburg	No. 1818-01A	2,508,311	2,508,311	-
City of Plainville	No. 1398-01	712,172	712,172	-
City of Plains	No. 1936-01	756,588	-	756,588
City of Pleasanton	No. 1588-01	347,441	347,441	-
City of Pomona	No. 1354-01	735,458	735,458	-
Pottawatomie County	No. 1860-01	44,800	44,800	-
Pottawatomie County/Manhattan	No. 1786-01	4,676,603	4,676,603	-
City of Potwin	No. 1627-01	173,288	173,288	-
City of Pratt	No. 1436-01	1,810,689	1,810,689	-
City of Pratt	No. 1799-01	3,412,637	413,475	2,999,162
City of Prescott	No. 1373-01	165,636	165,636	-
City of Preston	No. 1528-01	326,633	326,633	-
City of Preston	No. 1777-01	295,923	295,923	-
City of Pretty Prairie	No. 1399-01	596,398	596,398	-
City of Princeton	No. 1696-01	142,161	142,161	-
City of Protection	No. 1698-01	711,030	711,030	-
City of Quenemo	No. 1469-01	386,400	386,400	-

Project		Loan Agreement	Amount Loaned	Agreement Balance
City of Quenemo	No. 1669-01	440,419	440,419	-
City of Quinter	No. 1190-01	449,611	449,611	-
City of Quinter	No. 1758-01	713,981	713,981	-
Reno County	No. 1237-01	354,104	354,104	-
City of Riley	No. 1323-01	698,296	698,296	-
Riley County	No. 1478-01	180,106	180,106	-
City of Robinson	No. 1704-01	180,000	180,000	-
City of Robinson	No. 1937-01	295,143	62,824	232,319
City of Rose Hill	No. 1228-01	667,047	667,047	-
City of Rose Hill	No. 1630-01	819,856	819,856	-
City of Rose Hill	No. 1720-01	6,165,000	6,117,439	47,561
City of Rossville	No. 1526-01	558,540	558,540	-
City of Russell	No. 1355-01	2,500,000	2,500,000	-
City of Sabetha	No. 1401-01	3,250,000	3,250,000	-
City of Sawyer	No. 1693-01	157,570	157,570	-
City of Scranton	No. 1302-01	213,380	213,380	-
City of Scranton	No. 1631-01	520,307	520,307	-
City of Sedan	No. 1268-01	236,000	236,000	-
City of Sedan	No. 1496-01	701,772	701,772	-
City of Sedgwick	No. 1491-01	957,626	957,626	-
City of Seneca	No. 1502-01	900,000	900,000	-
City of Severy	No. 1724-01	153,840	153,840	-
City of Shawnee	No. 1862-01A	465,000	465,000	-
Shawnee County	No. 1274-01	996,800	996,800	-
Shawnee County	No. 1038-08	2,772,224	2,772,224	-
Shawnee County	No. 1560-01	10,990,672	10,990,672	-
Shawnee County	No. 1038-07	2,760,845	2,760,845	-
Shawnee County	No. 1038-10	1,643,657	1,643,657	-
Shawnee County	No. 1916-01	2,650,000	2,650,000	-
City of Silver Lake	No. 1269-01	340,453	340,453	-
City of Silver Lake	No. 1710-01	343,626	343,626	-
City of Silver Lake	No. 1934-01	697,400	-	697,400
City of Smith Center	No. 1356-01	1,661,831	1,661,831	-
City of Smolan	No. 1719-01	152,548	152,548	-
City of Soldier	No. 1759-01	180,857	180,857	-
City of Solomon	No. 1791-01	280,675	206,280	74,395
City of South Hutchinson	No. 1413-01	2,123,510	2,123,510	-
City of South Hutchinson	No. 1591-01	6,267,538	6,267,538	-
City of Spring Hill	No. 1217-01	776,043	776,043	-
City of Spring Hill	No. 1525-01	3,843,815	3,843,815	-
City of Stark	No. 1592-01	84,025	84,025	-
City of Sterling	No. 1460-01	1,220,348	1,218,536	1,812
City of St Francis	No. 1616-01	481,860	481,860	-
City of St. George	No. 1595-01	52,874	52,874	-
City of St. Marys	No. 1487-01	3,385,268	3,385,268	-
City of Stockton	No. 1802-01	5,402,300	498,256	4,904,044
City of Strong City	No. 1437-01	340,254	340,254	-
Strother Field	No. 1682-01	1,271,849	879,823	392,026
Sumner County-Slate Valley	No. 1648-01	202,394	202,394	-

Project		Loan Agreement	Amount Loaned	Agreement Balance
City of Tampa	No. 1725-01	70,813	70,813	-
City of Tescott	No. 1559-01	236,054	236,054	-
City of Tonganoxie	No. 1299-01	671,500	671,500	-
City of Tonganoxie	No. 1299-02	311,940	311,940	-
City of Tonganoxie	No. 1608-01	4,382,650	4,382,650	-
City of Topeka	No. 1038-09	1,209,404	1,209,404	-
City of Topeka	No. 1273-01	29,835,125	29,835,125	-
City of Topeka	No. 1271-01	4,330,136	4,330,136	-
City of Topeka	No. 1272-02	60,000,000	60,000,000	-
City of Topeka	No. 1272-04	9,317,000	9,317,000	-
City of Topeka	No. 1412-01	4,828,002	4,828,002	-
City of Topeka	No. 1472-01	11,605,000	11,605,000	-
City of Towanda	No. 1446-01	776,778	776,778	-
City of Towanda	No. 1518-01	112,900	112,900	-
City of Towanda	No. 1737-01	1,252,465	1,252,465	-
City of Troy	No. 1913-01	270,800	113,707	157,093
City of Tyro	No. 1680-01	260,000	260,000	-
City of Udall	No. 1325-01	400,252	400,252	-
City of Uniontown	No. 1667-01	271,080	271,080	-
City of Valley Center	No. 1740-01	3,299,868	3,299,868	-
City of Victoria	No. 1523-01	1,043,195	1,043,195	-
City of Viola	No. 1692-01	450,000	443,057	6,943
City of Wakefield	No. 1459-01	235,405	235,405	-
City of Wakeeney	No. 1404-01	2,764,283	2,764,283	-
City of Wamego	No. 1360-01	2,215,305	2,215,305	-
City of Washington	No. 1408-01	774,362	774,362	-
City of Wathena	No. 1661-01	811,065	811,065	-
City of Waverly	No. 1327-01	201,100	201,100	-
City of Wellington	No. 1722-01	13,800,000	13,800,000	-
City of Wellsville	No. 1475-01	2,542,422	2,542,422	-
City of West Mineral	No. 1624-01	215,671	215,671	-
City of Westmoreland	No. 1705-01	567,670	567,670	-
City of Wetmore	No. 1405-01	398,040	398,040	-
City of White City	No. 0884-01	373,792	373,792	-
City of Williamsburg	No. 1330-01	259,561	259,561	-
City of Willowbrook	No. 1199-01	600,000	585,927	14,073
City of Wilson	No. 1407-01	483,958	483,958	-
City of Winchester	No. 1361-01	259,287	259,287	-
City of Winchester	No. 1706-01	693,420	693,420	-
City of Windom	No. 0906-03	169,907	169,907	-
City of Winfield	No. 1272-01	7,970,091	7,970,091	-
City of Woodston	No. 1770-01	114,150	-	114,150
Wyandotte Co Community Housing	No. 1880-01	57,185	57,185	-
City of Yates Center	No. 1362-01	2,738,507	2,738,507	-
TOTALS		\$ 1,076,042,062	\$ 1,007,831,413	\$ 68,210,649

STATE OF KANSAS
REPORT OF ANNUAL LOAN REPAYMENTS
AGING OF ACCOUNTS

	DAYS			
	0-30	31-60	61-120	OVER 121
LOAN REPAYMENTS				
OUTSTANDING (6/30/13)	0	0	0	0

EXHIBIT 14

**WATER POLLUTION CONTROL REVOLVING LOAN FUND
SUPPLEMENTAL SCHEDULES
JUNE 30, 2013**

SCHEDULE OF INVESTMENTS

INCEPTION DATE	MATURITY DATE	DESCRIPTION	AMOUNT	INTEREST RATE
INVESTMENTS-Program Equity				
08/08/2012	01/01/2014	Pennsylvania St Hsg Fin Agy	\$ 1,297,040	0.850%
08/08/2012	07/01/2014	Pennsylvania St Hsg Fin Agy	1,296,457	1.000%
09/12/2012	07/01/2013	Sthrn CA Public Pwr Auth Rev, Mead-Phoenix Proj	117,846	0.477%
09/12/2012	07/01/2013	Sthrn CA Public Pwr Auth Rev, Mead-Adelanto Proj	557,089	0.477%
09/12/2012	07/01/2014	Sthrn CA Public Pwr Auth Rev, Mead-Phoenix Proj	263,564	0.787%
09/12/2012	07/01/2014	Sthrn CA Public Pwr Auth Rev, Mead-Adelanto Proj	293,417	0.787%
09/20/2012	12/01/2013	Massachusetts St Hsg Fin Agy	1,280,087	0.512%
09/20/2012	06/01/2014	Massachusetts St Hsg Fin Agy	426,115	0.581%
09/20/2012	12/01/2014	Massachusetts St Hsg Fin Agy	426,094	0.760%
10/01/2012	03/01/2014	Virginia State Hsg Auth Dev Auth	425,134	0.988%
10/31/2012	06/01/2014	Maryland St Economic Dev Corp Lease Rev	2,075,497	0.600%
01/14/2013	02/01/2014	Orange Cnty Calif Pension Ob	1,966,138	0.680%
01/14/2013	05/01/2014	Orange Cnty Calif Pension Ob	1,376,558	0.730%
01/15/2013	07/18/2013	KDFA Bond Anticipation Note Series 2013-1	17,851,461	0.500%
02/14/2013	03/01/2014	Fairfax Cnty VA Redev & Hsg Auth Rev	1,305,113	0.390%
02/14/2013	03/01/2015	Fairfax Cnty VA Redev & Hsg Auth Rev	1,227,632	0.660%
04/08/2013	09/01/2013	North Texas Hwy Auth Rev	816,924	2.441%
05/17/2013	05/01/2014	Montgomery Cnty Tennessee	332,423	0.200%
06/05/2013	11/01/2013	New York State Housing	335,159	0.350%
06/05/2013	05/01/2014	New York State Housing	1,181,588	0.450%
06/12/2013	01/01/2014	Oregon St Hsg & Cmnty Svs Dept Mtg	761,383	0.350%
06/12/2013	07/01/2014	Oregon St Hsg & Cmnty Svs Dept Mtg	768,829	0.450%
06/12/2013	01/01/2015	Oregon St Hsg & Cmnty Svs Dept Mtg	483,375	0.839%
06/20/2013	07/01/2014	Central Okla Transn & Pkg Auth Rev	58,689	0.706%
06/20/2013	07/01/2015	Central Okla Transn & Pkg Auth Rev	101,736	0.856%
06/24/2013	05/01/2015	Wisconsin St Gen Rev	245,595	0.798%
06/25/2013	12/01/2013	Loudoun Cnty Virginia	732,110	0.175%
06/25/2013	12/01/2014	Loudoun Cnty Virginia	711,638	0.350%
06/28/2013	06/01/2015	Sioux City Iowa	230,812	0.750%
Subtotal Investments- Program Equity			<u>\$ 38,945,502</u>	
INVESTMENTS-LOAN RESERVE ACCOUNTS				
07/18/2008	06/01/2014	North Topeka Loan(FSA)	\$ 1,201,164	3.66%
08/29/1997	09/01/2018	Topeka West Branch Loan(MORGAN)	166,491	5.78%
08/29/1997	09/01/2018	Topeka Half Day Creek Loan(MORGAN)	111,826	5.78%
08/29/1997	09/01/2018	Kansas City Plant 8 Loan(MORGAN)	36,878	5.78%
08/29/1997	09/01/2018	Kansas City Solids Handling Loan (MORGAN)	520,541	5.78%
08/29/1997	09/01/2018	Kansas City Brenner Heights Loan(MORGAN)	171,750	5.78%
06/17/2004	06/01/2018	Topeka West Branch Loan(AIG)	173,920	5.30%
06/17/2004	06/01/2020	Topeka Oakland Loan(AIG)	292,136	5.30%
06/17/2004	11/25/2023	Topeka Oakland 2 Loan(AIG)	2,095,249	5.30%
06/17/2004	12/01/2019	Topeka Water Plant Loan(AIG)	629,560	5.30%
06/17/2004	06/01/2022	MTAA Loan(AIG)	162,774	5.30%
06/17/2004	09/01/2018	Kansas City Solids Handling Loan (AIG)	312,235	5.30%
06/17/2004	03/01/2018	Kansas City Brenner Heights Loan(AIG)	59,408	5.30%
Subtotal Investments-Loan Reserve Accounts			<u>\$ 5,933,932</u>	
INVESTMENTS-DEBT SERVICE RESERVE				
02/20/1997	04/15/2014	AIG	554,279	6.72%
02/14/2000	09/01/2015	CitiGroup	318,984	6.85%
02/14/2000	09/01/2021	CitiGroup	7,411,034	6.85%
Subtotal Investments - Debt Service Reserve			<u>\$ 8,284,297</u>	
TOTAL INVESTMENTS			<u><u>\$ 53,163,731</u></u>	

**WATER POLLUTION CONTROL REVOLVING LOAN FUND
SUPPLEMENTAL SCHEDULES
JUNE 30, 2013**

SCHEDULE OF ACCOUNTS RECEIVABLE

DATE		AMOUNT
6/30/2013	Loan Interest	\$ 3,508,599
6/30/2013	Service Fees	332,805
6/30/2013	Investment Interest	213,591
TOTAL ACCOUNTS RECEIVABLE		\$ 4,054,995

SCHEDULE OF ACCOUNTS PAYABLE

6/30/2012	Payroll	\$ 52,224
6/30/2012	Misc Accounts Payable	23,289
6/30/2012	Loan Reserve Account Earnings	821,440
6/30/2012	Loan Reserve Accounts	9,534,854
TOTAL ACCOUNTS PAYABLE		\$ 10,431,806

EXHIBIT 15
STATE OF KANSAS
COMPLETED PROJECTS

EXHIBIT 15 PART 1: SECTION 212 PUBLICLY OWNED TREATMENT WORKS

PROJECT NAME COMMUNITIES SERVED	PROJECT NUMBER	ASSISTANCE AMOUNT	BINDING COMMITMENT	CONSTRUCTION START	INITIAL OPER	TYPE (*)	INTEREST RATE (a)	DATE LOAN MATURES
GREAT BEND	1768 01	2,193,658	02/06/09	05/04/09	07/11/12	L	3.16%	09/01/30
CAWKER CITY	1905 01	255,669	07/22/10	01/01/11	07/17/12	L	2.61%	03/01/32
EL DORADO	1827 01	2,106,252	11/04/11	02/06/12	09/21/12	L	2.43%	03/01/33
STERLING	1460 01	1,220,348	08/20/08	01/12/09	11/20/12	L	2.79%	09/01/29
GLASCO	1912 01	325,600	01/12/11	08/27/12	11/28/12	L	2.66%	03/01/33
LACROSSE	1206 01	2,678,530	08/31/10	07/01/11	11/30/12	L	2.59%	03/01/32
ARGONIA	1782 01	641,992	09/24/10	06/05/12	02/20/13	L	2.55%	09/01/32
TROY	1913 01	270,800	03/30/11	12/12/12	03/15/13	L	3.06%	03/01/33
MORAN	1909 01	353,389	11/03/10	05/14/12	04/10/13	L	2.35%	09/01/32
SOLOMON	1791 01	280,675	09/30/10	09/21/12	05/01/13	L	2.55%	09/01/31
LORRAINE	1958 01	100,000	11/29/12	05/15/13	05/21/13	L	2.16%	09/01/33
Part 1 Total		10,426,914					(a) Includes .25% Service Fee	

EXHIBIT 15 PART 2: SECTION 319 NONPOINT SOURCE MANAGEMENT PROGRAMS

PROJECT NAME COMMUNITIES SERVED	PROJECT NUMBER	ASSISTANCE AMOUNT	BINDING COMMITMENT	CONSTRUCTION START	INITIAL OPER	TYPE (*)	INTEREST RATE (a)	DATE LOAN MATURES
(a)								
NONE								
Part 2 Total		0						

EXHIBIT 15 PART 3: SECTION 320 NATIONAL ESTUARY PROGRAM

PROJECT NAME COMMUNITIES SERVED	PROJECT NUMBER	ASSISTANCE AMOUNT	BINDING COMMITMENT	CONSTRUCTION START	INITIAL OPER	TYPE (*)	INTEREST RATE (a)	DATE LOAN MATURES
NONE								
Part 3 Total		0						

Grand Total **10,426,914**

* L=Loans; A=Administration

Attachment 1

SFY '12 and SY '13 SRF Audit Findings and Responses

A final copy of the Independent Auditors Report of the KWPCRF for the Fiscal Year ended June 30, 2013, is not yet available. The SFY '12 Auditors Report was included in the 2012 Annual Report, and the updated 2012 Annual Report is now posted on the KDHE website at <http://www.kdheks.gov/muni/index.htm> (37 pages).

The KWPCRF is a major program, and so had been reviewed every 3 years in the Single Agency Audit. The KWPCRF was reviewed in the SFY '11 Single Agency Audit. The State of Kansas Single Audit Report for SFY '12 is available on the Kansas Legislative Division of Post Audit website located at <http://www.kslpa.org/docs/reports/R-13-007.pdf>. The findings and recommendations of the SFY '11 Single Agency Audit and Agency responses relevant to the KWPCRF are also provided.

Attachment 2

There were no Federal Financial Reports for the Clean Water SRF Capitalization Grants program for SFY '13.

Attachment 3

The KDFB Independent Audit Report is available on the KDFB website located at <http://kdfb.org/>

Attachment 4

Environmental Benefits Matrix
(6 pages)

CBR Reports Information
(16 pages)

City/ Project	LW	Class	Other Public Health Protection?	Water Reuse?	Groundwater Protection?
Bel Aire	Yes	GP	No	No	No
Ensign			No	No	Yes
Gardner	Yes	GP	No	No	No
Hesston	No	GP	No	Yes	No
Iola	Yes	GP	Yes	No	No
Lincolnville	Yes	GP	Yes	No	No
Lorraine			No	No	No
Minneapolis	Yes	GP	No	No	No
Parsons	Yes	GP	Yes	No	No
Silver Lake	Yes	GP	No	No	No
Woodston			Yes	No	Yes
Glacial Hills RC&D (Del/Muddy)			No	No	No
Galcial Hills (Wolf River)			No	No	No
Kansas Water Office (Cottonwood R)			No	No	No
Kansas Water Office (Smoky Hill)			No	No	No
University of Kansas			No	No	No

included in Attachment 6. In FY 2011, EPA will provide, as needed, additional technical assistance.

D. Reporting Requirements

The conference report (H. Rpt. 111-316, at 166) for the FY 2010 Appropriation directs EPA and the States not only to track how additional subsidies are used, but also "by what types of communities." The language of the FY 2010 conference report carries forward for FY 2011. States shall report quarterly in the CWSRF Benefits Reporting (CBR) and DWSRF Project Benefits Reporting (PBR) systems on the use of all SRF funds. This information will also need to be included in the Annual Report. Quarterly reporting shall include use of the funds for the GPR and Additional Subsidization as described in D1 below, as well as information on the environmental benefits of SRF assistance agreements, as described in D2.

1. Data Elements

For both SRFs, the CBR/PBR and the Annual Report must contain information on the progress made in meeting the GPR and Additional Subsidization requirements.

For all projects that receive additional subsidization, the following data elements must be entered quarterly into CBR/PBR and, a list containing the following information must be included in State Annual Reports. (Additional clarification on the items listed below is provided in CBR/PBR.)

- a. Assistance Recipient Name
- b. Total amount of SRF assistance provided
- c. Project name and identification number
- d. Project Location
- e. Type of additional subsidy (grant, principal forgiveness, negative interest).
- f. Amount of additional subsidy

g. Y/N – Would the recipient have been able to afford a loan without the additional subsidy (Using the States' own criteria for making this determination, such as use of their SRF loan evaluation criteria)?

h. Characteristics of the community served by the project - population of communities (based on NIMS categories)

For projects that receive funding under the Green Project Reserve, a list of projects that includes the following information must be included in the Annual Report and entered into CBR/PBR. (Additional clarification on the items below is provided in CBR/PBR.)

a. Assistance Recipient Name

b. Total amount of SRF assistance provided

c. Project name and identification number

d. Type of project (green infrastructure, water efficiency, energy efficiency, environmentally innovative).

e. Amount of SRF funding for GPR portion of the project

f. Of the total amount of GPR funding, the amount of subsidy provided (if any)

g. A brief description of the project (i.e., rain garden, renewable energy at POTW, water efficient fixtures).

h. Population served by the project (not required for CWSRF nonpoint source projects)

2. Environmental/Public Health Benefits Reporting

In 2005, all 51 CWSRF programs agreed to use a suite of environmental indicators to show how their CWSRF projects impact water quality and public health. The CBR system was developed based on these indicators: States shall report quarterly in CBR on the environmental benefits of all assistance agreements. The specific required data elements are listed in Attachment 7.

In FY 2010, the DWSRF program identified project level data to be reported quarterly to the Drinking Water Project and Benefits Reporting System (PBR) for the base program. These data elements will be used for states' quarterly reporting in FY 2011. The data elements are identified in Attachment 8 and will be used for reporting environmental/public health benefits of DWSRF assistance agreements.

3. Annual Report/ CBR/PBR

The CBR and PBR are the best available means of comprehensively tracking project-level information on a real-time basis. The data elements identified in Section D1 have been added to the existing data elements in the CBR/PBR systems. The CBR/PBR forms must be completed quarterly, starting with the first quarter in which the assistance agreement is made.

The data elements identified in Section D1 and Attachments 7 and 8 must also be reported in the Annual Report. Summary reports, compiling the quarterly data, can be generated by CBR/PBR and may be included as an attachment to the Annual Report to meet this reporting requirement.

Attachments

Attachment 7: CWSRF Benefits Reporting Data Elements

Environmental Benefits Data Fields	Required/Optional
Borrower	required
Loan Execution Date	required
Tracking #	required
Other Tracking Number	optional
Assistance Type	required
Effective Interest Rate	required
Incremental Funding	optional
Phase #	optional
Original Tracking #	optional
CWSRF Assistance Amount	required
Repayment Period	required
Final Amount (checkbox)	required
% Funded by CWSRF	required
Same Environmental Results checkbox	optional
CWSRF Total From All Projects	required
Check if loan funds one or more NPS project(s)	required
Total NPS projects	required
CW Needs Survey Number	optional
If applicable, the number of NPS projects	required
Project Description	required
Facility Name	required
Population Served By the Project	required
Population Served By the System	required
Wastewater Volume (Design Flow) for the project	required
Wastewater Volume (Design Flow) for the system	required
Wastewater Volume (Design Flow) eliminated/conserved by this project	required
Needs Categories	required
Discharge Affected	required
NPDES Permit Number/No NPDES Permit	required
Other Permit Type	optional
Other Permit Number	optional
Waterbody Name (Primary Impacted)	required
Waterbody ID (Primary Impacted)	optional
Waterbody Name (Other Impacted)	optional
Waterbody ID (Other Impacted)	optional
Receiving Waterbody	required
State Waterbody ID	optional
Project Location (lat/long)	optional
Contributes to Water Quality... Improvement/Maintenance	required
Allows the System to... Achieve Compliance/Maintain Compliance	required
Affected Waterbody is... Impaired/Meeting Standards	required
Allows the System to Address... existing TMDL/Projected TMDL/Watershed Management Plan	required
Designated Surface Water Uses	required
Other Uses and Outcomes	optional
Project Comments	optional

Clean Water SRF Reporting Green Project Funding for Kansas - 2012 Cap Grant

Report Generated: 10/3/2013 -- Data Last Modified: 10/3/2013 10:49:00 AM

Tracking Number	Recipient	Initial Loan Date	Amount	GPR Amount	Green Infrastructure	Energy Conservation	Water Efficiency	Green Innovative	Amount of add'l subsidy provided to fund this GPR
EPA Region: 7									
C20 1920 01	JOCO Lake Gardner	3/17/2012	1,190,197	1,190,197	0	0	0	1,190,197	200,030
C20 1942 01	Glacial Hills, Wolf River NPSGPR	9/28/2012	153,948	153,948	153,948	0	0	0	153,948
C20 1944 01	Kansas Water Office, Cottonwood Phase 2 NP	9/24/2012	1,310,652	1,310,652	1,310,652	0	0	0	31,209
C20 1945 01	University of Kansas NPSGPR 2012	9/21/2012	450,000	450,000	450,000	0	0	0	290,000
C20 1946 01	Glacial Hills, Delaware Phase 4 NPSGPR	8/21/2012	388,295	388,295	388,295	0	0	0	388,295
Total for EPA Region: 7 (5 Agreements)			3,493,092	3,493,092	2,302,895	0	0	1,190,197	1,063,482
Total for all 5 Agreements			3,493,092	3,493,092	2,302,895	0	0	1,190,197	1,063,482

Clean Water SRF Reporting Green Project Funding for Kansas - 2011 Cap Grant

Report Generated: 10/3/2013 -- Data Last Modified: 10/3/2013 10:49:00 AM

Tracking Number	Recipient	Initial Loan Date	Amount	GPR Amount	Green Infrastructure	Energy Conservation	Water Efficiency	Green Innovative	Amount of add'l subsidy provided to fund this GPR
EPA Region: 7									
C20 1860 01	Pott. Co. - Innovative	11/3/2011	44,800	44,800	44,800	0	0	0	33,600
C20 1920 01	JOCO Lake Gardner	3/17/2012	6,941,581	6,941,581	0	0	0	6,941,581	1,166,632
C20 1920 02	JOCO Lone Elm LPS Project	3/17/2012	1,452,921	1,452,921	0	0	0	1,452,921	581,168
C20 1943 01	Kansas Water Office, Smoky Hill River NPSG	9/24/2012	300,480	300,480	300,480	0	0	0	300,480
Total for EPA Region: 7 (4 Agreements)			8,739,782	8,739,782	345,280	0	0	8,394,502	2,081,881
Total for all 4 Agreements			8,739,782	8,739,782	345,280	0	0	8,394,502	2,081,881

Clean Water SRF Reporting Green Project Funding for Kansas - 2010 Cap Grant

Report Generated: 10/3/2013 -- Data Last Modified: 10/3/2013 10:49:00 AM

Tracking Number	Recipient	Initial Loan Date	Amount	GPR Amount	Green Infrastructure	Energy Conservation	Water Efficiency	Green Innovative	Amount of add'l subsidy provided to fund this GPR
EPA Region: 7									
C20 1799 01	Pratt	11/8/2010	3,412,637	2,394,999	0	2,394,999	0	0	656,800
C20 1802 01	Stockton	6/16/2011	5,402,300	1,085,920	0	0	1,085,920	0	426,204
C20 1827 01	El Dorado Wind Turbine	2/24/2012	2,106,252	2,106,252	0	2,106,252	0	0	842,501
C20 1881 01	Glacial Hills, Holton	10/26/2011	191,310	191,310	191,310	0	0	0	191,310
C20 1882 01	Glacial Hills, Delaware Phase 2 NPSGPR	12/7/2010	295,776	295,776	295,776	0	0	0	295,776
C20 1921 01	Flint Hills, Cottonwood - Innovative - C20 19	10/28/2011	764,300	764,300	764,300	0	0	0	764,300
C20 1923 01	Glacial Hills, Delaware Phase 3 NPSGPR	10/26/2011	756,000	756,000	756,000	0	0	0	756,000
Total for EPA Region: 7 (7 Agreements)			12,928,575	7,594,557	2,007,386	4,501,251	1,085,920	0	3,932,891
Total for all 7 Agreements			12,928,575	7,594,557	2,007,386	4,501,251	1,085,920	0	3,932,891

Clean Water SRF Reporting Additional Subsidy or GPR for Kansas - 2012 Cap Grant

Report Generated: 10/2/2013 -- Data Last Modified: 10/2/2013 2:29:00 PM

Tracking Number	Recipient	Initial Loan Date	Amount	Grant Amount	Negative Interest Amount	Principal Forgiveness Amount	Recipient Could Afford Project without Subsidy?	Population Served	GPR Amount
C20 1920 01	JOCO Lake Gardner	3/17/2012	1,190,197	0	0	200,030	<input type="checkbox"/>	701	1,190,197
C20 1942 01	Glacial Hills, Wolf River NPSGPR	9/28/2012	153,948	0	0	153,948	<input type="checkbox"/>	250	153,948
C20 1944 01	Kansas Water Office, Cottonwood Phase 2 NPS	9/24/2012	1,310,652	0	0	31,209	<input type="checkbox"/>	75,000	1,310,652
C20 1945 01	University of Kansas NPSGPR 2012	9/21/2012	450,000	0	0	290,000	<input type="checkbox"/>	87,643	450,000
C20 1946 01	Glacial Hills, Delaware Phase 4 NPSGPR	8/21/2012	388,295	0	0	388,295	<input type="checkbox"/>	40,370	388,295
Total for all 5 Agreements			3,493,092	0	0	1,063,482		203,964	3,493,092

Clean Water SRF Reporting Additional Subsidy or GPR for Kansas - 2011 Cap Grant

Report Generated: 10/2/2013 -- Data Last Modified: 10/2/2013 2:29:00 PM

Tracking Number	Recipient	Initial Loan Date	Amount	Grant Amount	Negative Interest Amount	Principal Forgiveness Amount	Recipient Could Afford Project without Subsidy?	Population Served	GPR Amount
C20 1801 01	Hill City	11/16/2011	4,748,540	0	0	1,899,416	<input type="checkbox"/>	1,437	0
C20 1860 01	Pott. Co. - Innovative	11/3/2011	44,800	0	0	33,600	<input type="checkbox"/>	21,604	44,800
C20 1920 01	JOCO Lake Gardner	3/17/2012	6,941,581	0	0	1,166,632	<input type="checkbox"/>	701	6,941,581
C20 1920 02	JOCO Lone Elm LPS Project	3/17/2012	1,452,921	0	0	581,168	<input type="checkbox"/>	163	1,452,921
C20 1931 01	Grainfield	1/25/2012	903,594	0	0	135,539	<input checked="" type="checkbox"/>	294	0
C20 1943 01	Kansas Water Office, Smoky Hill River NPSGP	9/24/2012	300,480	0	0	300,480	<input type="checkbox"/>	103,313	300,480
Total for all 6 Agreements			14,391,916	0	0	4,116,836		127,512	8,739,782

Clean Water SRF Reporting

Additional Subsidy or GPR for Kansas - 2010 Cap Grant

Report Generated: 10/2/2013 -- Data Last Modified: 10/2/2013 2:29:00 PM

Tracking Number	Recipient	Initial Loan Date	Amount	Grant Amount	Negative Interest Amount	Principal Forgiveness Amount	Recipient Could Afford Project without Subsidy?	Population Served	GPR Amount
C20 1206 01	La Crosse	10/22/2010	2,678,530	0	0	1,071,412	<input type="checkbox"/>	1,274	0
C20 1692 01	Viola	12/27/2010	450,000	0	0	67,500	<input type="checkbox"/>	213	0
C20 1745 01	Cherokee County SD #1	12/10/2010	350,000	0	0	33,750	<input checked="" type="checkbox"/>	1,020	0
C20 1747 01	Newton 2008 & 2010 Amdt.	5/16/2008	7,647,208	0	0	465,429	<input checked="" type="checkbox"/>	18,093	0
C20 1784 01	Council Grove	10/6/2010	509,600	0	0	76,440	<input checked="" type="checkbox"/>	2,280	0
C20 1786 01	Pott./Blue SD Manhattan 2010	2/10/2010	4,676,603	0	0	698,227	<input checked="" type="checkbox"/>	1,500	0
C20 1799 01	Pratt	11/8/2010	3,412,637	0	0	656,800	<input type="checkbox"/>	6,408	2,394,999
C20 1801 01	Hill City	11/16/2011	250,570	0	0	100,228	<input type="checkbox"/>	1,437	0
C20 1802 01	Stockton	6/16/2011	5,402,300	0	0	1,848,920	<input type="checkbox"/>	1,439	1,085,920
C20 1822 01	Leoti	5/25/2011	888,149	0	0	296,000	<input type="checkbox"/>	1,339	0
C20 1827 01	El Dorado Wind Turbine	2/24/2012	2,106,252	0	0	842,501	<input type="checkbox"/>	13,021	2,106,252
C20 1881 01	Glacial Hills, Holton	10/26/2011	191,310	0	0	191,310	<input type="checkbox"/>	3,329	191,310
C20 1882 01	Glacial Hills, Delaware Phase 2 NPSGPR	12/7/2010	295,776	0	0	295,776	<input type="checkbox"/>	670,904	295,776
C20 1905 01	Cawker City	7/22/2010	255,669	0	0	38,350	<input checked="" type="checkbox"/>	464	0
C20 1908 01	Grinnell	9/22/2010	522,343	0	0	78,351	<input checked="" type="checkbox"/>	295	0
C20 1915 01	Independence 2010	11/22/2011	4,000,000	0	0	600,000	<input checked="" type="checkbox"/>	9,317	0
C20 1916 01	Shawnee CO P.S. 55, 56, 59 FY2010	3/7/2011	2,650,000	0	0	300,000	<input checked="" type="checkbox"/>	9,500	0
C20 1921 01	Flint Hills, Cottonwood - Innovative - C20 1921	10/28/2011	764,300	0	0	764,300	<input type="checkbox"/>	0	764,300
C20 1923 01	Glacial Hills, Delaware Phase 3 NPSGPR	10/26/2011	756,000	0	0	756,000	<input type="checkbox"/>	40,370	756,000
Total for all 19 Agreements			37,807,248	0	0	9,181,294		782,203	7,594,557

Clean Water SRF Reporting Additional Subsidy for Kansas - 2012 Cap Grant

Report Generated: 10/3/2013 -- Data Last Modified: 10/3/2013 10:49:00 AM

Tracking Number	Recipient	Initial Loan Date	Amount	Grant Amount	Negative Interest Amount	Principal Forgiveness Amount	Recipient Could Afford Project without Subsidy?	Population Served	GPR Amount
C20 1920 01	JOCO Lake Gardner	3/17/2012	1,190,197	0	0	200,030	<input type="checkbox"/>	701	1,190,197
C20 1942 01	Glacial Hills, Wolf River NPSGPR	9/28/2012	153,948	0	0	153,948	<input type="checkbox"/>	250	153,948
C20 1944 01	Kansas Water Office, Cottonwood Phase 2 NPS	9/24/2012	1,310,652	0	0	31,209	<input type="checkbox"/>	75,000	1,310,652
C20 1945 01	University of Kansas NPSGPR 2012	9/21/2012	450,000	0	0	290,000	<input type="checkbox"/>	87,643	450,000
C20 1946 01	Glacial Hills, Delaware Phase 4 NPSGPR	8/21/2012	388,295	0	0	388,295	<input type="checkbox"/>	40,370	388,295
Total for all 5 Agreements			3,493,092	0	0	1,063,482		203,964	3,493,092

Clean Water SRF Reporting Additional Subsidy for Kansas - 2011 Cap Grant

Report Generated: 10/3/2013 -- Data Last Modified: 10/3/2013 10:49:00 AM

Tracking Number	Recipient	Initial Loan Date	Amount	Grant Amount	Negative Interest Amount	Principal Forgiveness Amount	Recipient Could Afford Project without Subsidy?	Population Served	GPR Amount
C20 1801 01	Hill City	11/16/2011	4,748,540	0	0	1,899,416	<input type="checkbox"/>	1,437	0
C20 1860 01	Pott. Co. - Innovative	11/3/2011	44,800	0	0	33,600	<input type="checkbox"/>	21,604	44,800
C20 1920 01	JOCO Lake Gardner	3/17/2012	6,941,581	0	0	1,166,632	<input type="checkbox"/>	701	6,941,581
C20 1920 02	JOCO Lone Elm LPS Project	3/17/2012	1,452,921	0	0	581,168	<input type="checkbox"/>	163	1,452,921
C20 1931 01	Grainfield	1/25/2012	903,594	0	0	135,539	<input checked="" type="checkbox"/>	294	0
C20 1943 01	Kansas Water Office, Smoky Hill River NPSGP	9/24/2012	300,480	0	0	300,480	<input type="checkbox"/>	103,313	300,480
Total for all 6 Agreements			14,391,916	0	0	4,116,836		127,512	8,739,782

Clean Water SRF Reporting Additional Subsidy for Kansas - 2010 Cap Grant

Report Generated: 10/3/2013 -- Data Last Modified: 10/3/2013 10:49:00 AM

Tracking Number	Recipient	Initial Loan Date	Amount	Grant Amount	Negative Interest Amount	Principal Forgiveness Amount	Recipient Could Afford Project without Subsidy?	Population Served	GPR Amount
C20 1206 01	La Crosse	10/22/2010	2,678,530	0	0	1,071,412	<input type="checkbox"/>	1,274	0
C20 1692 01	Viola	12/27/2010	450,000	0	0	67,500	<input type="checkbox"/>	213	0
C20 1745 01	Cherokee County SD #1	12/10/2010	350,000	0	0	33,750	<input checked="" type="checkbox"/>	1,020	0
C20 1747 01	Newton 2008 & 2010 Amdt.	5/16/2008	7,647,208	0	0	465,429	<input checked="" type="checkbox"/>	18,093	0
C20 1784 01	Council Grove	10/6/2010	509,600	0	0	76,440	<input checked="" type="checkbox"/>	2,280	0
C20 1786 01	Pott./Blue SD Manhattan 2010	2/10/2010	4,676,603	0	0	698,227	<input checked="" type="checkbox"/>	1,500	0
C20 1799 01	Pratt	11/8/2010	3,412,637	0	0	656,800	<input type="checkbox"/>	6,408	2,394,999
C20 1801 01	Hill City	11/16/2011	250,570	0	0	100,228	<input type="checkbox"/>	1,437	0
C20 1802 01	Stockton	6/16/2011	5,402,300	0	0	1,848,920	<input type="checkbox"/>	1,439	1,085,920
C20 1822 01	Leoti	5/25/2011	888,149	0	0	296,000	<input type="checkbox"/>	1,339	0
C20 1827 01	El Dorado Wind Turbine	2/24/2012	2,106,252	0	0	842,501	<input type="checkbox"/>	13,021	2,106,252
C20 1881 01	Glacial Hills, Holton	10/26/2011	191,310	0	0	191,310	<input type="checkbox"/>	3,329	191,310
C20 1882 01	Glacial Hills, Delaware Phase 2 NPSGPR	12/7/2010	295,776	0	0	295,776	<input type="checkbox"/>	670,904	295,776
C20 1905 01	Cawker City	7/22/2010	255,669	0	0	38,350	<input checked="" type="checkbox"/>	464	0
C20 1908 01	Grinnell	9/22/2010	522,343	0	0	78,351	<input checked="" type="checkbox"/>	295	0
C20 1915 01	Independence 2010	11/22/2011	4,000,000	0	0	600,000	<input checked="" type="checkbox"/>	9,317	0
C20 1916 01	Shawnee CO P.S. 55, 56, 59 FY2010	3/7/2011	2,650,000	0	0	300,000	<input checked="" type="checkbox"/>	9,500	0
C20 1921 01	Flint Hills, Cottonwood - Innovative - C20 1921	10/28/2011	764,300	0	0	764,300	<input type="checkbox"/>	0	764,300
C20 1923 01	Glacial Hills, Delaware Phase 3 NPSGPR	10/26/2011	756,000	0	0	756,000	<input type="checkbox"/>	40,370	756,000
Total for all 19 Agreements			37,807,248	0	0	9,181,294		782,203	7,594,557

Clean Water SRF Reporting Assistance Provided for Kansas - 2012 Cap Grant

Report Generated: 10/3/2013 -- Data Last Modified: 10/3/2013 10:49:00 AM

Tracking Number	Recipient	Initial Loan Date	Amount	Grant Amount	Negative Interest Amount	Principal Forgiveness Amount	Recipient Could Afford Project without Subsidy?	Population Served	GPR Amount
C20 1920 01	JOCO Lake Gardner	3/17/2012	1,190,197	0	0	200,030	<input type="checkbox"/>	701	1,190,197
C20 1942 01	Glacial Hills, Wolf River NPSGPR	9/28/2012	153,948	0	0	153,948	<input type="checkbox"/>	250	153,948
C20 1944 01	Kansas Water Office, Cottonwood Phase 2 NPS	9/24/2012	1,310,652	0	0	31,209	<input type="checkbox"/>	75,000	1,310,652
C20 1945 01	University of Kansas NPSGPR 2012	9/21/2012	450,000	0	0	290,000	<input type="checkbox"/>	87,643	450,000
C20 1946 01	Glacial Hills, Delaware Phase 4 NPSGPR	8/21/2012	388,295	0	0	388,295	<input type="checkbox"/>	40,370	388,295
Total for all 5 Agreements			3,493,092	0	0	1,063,482		203,964	3,493,092

Clean Water SRF Reporting Assistance Provided for Kansas - 2011 Cap Grant

Report Generated: 10/3/2013 -- Data Last Modified: 10/3/2013 10:49:00 AM

Tracking Number	Recipient	Initial Loan Date	Amount	Grant Amount	Negative Interest Amount	Principal Forgiveness Amount	Recipient Could Afford Project without Subsidy?	Population Served	GPR Amount
C20 1801 01	Hill City	11/16/2011	4,748,540	0	0	1,899,416	<input type="checkbox"/>	1,437	0
C20 1860 01	Pott. Co. - Innovative	11/3/2011	44,800	0	0	33,600	<input type="checkbox"/>	21,604	44,800
C20 1920 01	JOCO Lake Gardner	3/17/2012	6,941,581	0	0	1,166,632	<input type="checkbox"/>	701	6,941,581
C20 1920 02	JOCO Lone Elm LPS Project	3/17/2012	1,452,921	0	0	581,168	<input type="checkbox"/>	163	1,452,921
C20 1931 01	Grainfield	1/25/2012	903,594	0	0	135,539	<input checked="" type="checkbox"/>	294	0
C20 1943 01	Kansas Water Office, Smoky Hill River NPSGP	9/24/2012	300,480	0	0	300,480	<input type="checkbox"/>	103,313	300,480
Total for all 6 Agreements			14,391,916	0	0	4,116,836		127,512	8,739,782

Clean Water SRF Reporting Assistance Provided for Kansas - 2010 Cap Grant

Report Generated: 10/3/2013 -- Data Last Modified: 10/3/2013 10:49:00 AM

Tracking Number	Recipient	Initial Loan Date	Initial Loan Amount	Grant Amount	Negative Interest Amount	Principal Forgiveness Amount	Recipient Could Afford Project without Subsidy?	Population Served	GPR Amount
C20 1206 01	La Crosse	10/22/2010	2,678,530	0	0	1,071,412	<input type="checkbox"/>	1,274	0
C20 1692 01	Viola	12/27/2010	450,000	0	0	67,500	<input type="checkbox"/>	213	0
C20 1745 01	Cherokee County SD #1	12/10/2010	350,000	0	0	33,750	<input checked="" type="checkbox"/>	1,020	0
C20 1747 01	Newton 2008 & 2010 Amdt.	5/16/2008	7,647,208	0	0	465,429	<input checked="" type="checkbox"/>	18,093	0
C20 1784 01	Council Grove	10/6/2010	509,600	0	0	76,440	<input checked="" type="checkbox"/>	2,280	0
C20 1786 01	Pott./Blue SD Manhattan 2010	2/10/2010	4,676,603	0	0	698,227	<input checked="" type="checkbox"/>	1,500	0
C20 1799 01	Pratt	11/8/2010	3,412,637	0	0	656,800	<input type="checkbox"/>	6,408	2,394,999
C20 1801 01	Hill City	11/16/2011	250,570	0	0	100,228	<input type="checkbox"/>	1,437	0
C20 1802 01	Stockton	6/16/2011	5,402,300	0	0	1,848,920	<input type="checkbox"/>	1,439	1,085,920
C20 1822 01	Leoti	5/25/2011	888,149	0	0	296,000	<input type="checkbox"/>	1,339	0
C20 1827 01	El Dorado Wind Turbine	2/24/2012	2,106,252	0	0	842,501	<input type="checkbox"/>	13,021	2,106,252
C20 1881 01	Glacial Hills, Holton	10/26/2011	191,310	0	0	191,310	<input type="checkbox"/>	3,329	191,310
C20 1882 01	Glacial Hills, Delaware Phase 2 NPSGPR	12/7/2010	295,776	0	0	295,776	<input type="checkbox"/>	670,904	295,776
C20 1901 01	Park FY 2010	1/20/2010	313,473	0	0	0	<input type="checkbox"/>	135	0
C20 1905 01	Cawker City	7/22/2010	255,669	0	0	38,350	<input checked="" type="checkbox"/>	464	0
C20 1908 01	Grinnell	9/22/2010	522,343	0	0	78,351	<input checked="" type="checkbox"/>	295	0
C20 1915 01	Independence 2010	11/22/2011	4,000,000	0	0	600,000	<input checked="" type="checkbox"/>	9,317	0
C20 1916 01	Shawnee CO P.S. 55, 56, 59 FY2010	3/7/2011	2,650,000	0	0	300,000	<input checked="" type="checkbox"/>	9,500	0
C20 1921 01	Flint Hills, Cottonwood - Innovative - C20 1921	10/28/2011	764,300	0	0	764,300	<input type="checkbox"/>	0	764,300
C20 1923 01	Glacial Hills, Delaware Phase 3 NPSGPR	10/26/2011	756,000	0	0	756,000	<input type="checkbox"/>	40,370	756,000
Total for all 20 Agreements			38,120,720	0	0	9,181,294		782,338	7,594,557

Attachment 5

Sources and Distribution of Loan Service Fees
(3 pages)

MEMORANDUM

DATE: September 25, 2013
TO: KWPCRF General 2.1 File
FROM: Rodney R. Geisler, P.E., Chief, Municipal Programs
SUBJECT: Supplemental Document for SFY 2013 Annual Report
Sources and Uses of Loan Service fees

Several years ago in a Memo entitled "Guidance on Fees Charged by States to Recipients of Clean Water State Revolving Fund Program Assistance" dated October 20, 2005; EPA presented a policy memo regarding CW SRF service fees to clarify when service fees earned on loans are considered "program income" and when these are not "program income". Determining the amounts of Program Income and Expenses for each of the three categories of service fee income as presented in the EPA Memo is a time consuming and detailed review, and is presented on the attached spreadsheet "Sources and Distribution of Loan Service Fees". This memo serves as an up to date "procedures guideline" being used for the Kansas Water Pollution Control Revolving Fund (KWPCRF).

Service fees are earned on all loans funded by any source of funds in the KWPCRF.

Program Income is any service fee earned on federal funds paid to any loan.

Program Income Earned During the Grant Period is any service fee earned on federal funds paid to any loan in the KWPCRF during the time period the individual Capitalization Grant is still open, that is, up to the date the FFR (Federal Financial Report) is prepared and dated, and signed by the Secretary.

Program Income Earned After the Grant Period is any service fee earned on federal funds paid to any loan in the KWPCRF after the time period the individual Capitalization Grant is still open, that is, after the date the FFR (Federal Financial Report) is prepared and dated, and signed by the Secretary, thru the end of the repayment period of each loan that received federal funds.

Non-Program Income is any service fee earned on the non-federal funds used for payments to loan agreements. This includes state match bond funds, leveraging bond funds, and "recycled" funds from repayments of prior loan agreements.

KDHE staff in conjunction with Department of Administration staff have prepared a spreadsheet of all loans, showing the federal funds (and other source funds) paid to CW SRF loans. A determination is made which loans have received payments with funds from cap grants.

If the cap grant is not yet closed (the FFR is not yet completed) these fees are Program Income Earned During the Grant Period. If the cap grant has been closed (the FFR has been completed) these fees are Program Income Earned After the Grant Period. Sometimes the service fee on cap grant funds can be “½ and ½” during and after the grant period. This spreadsheet up thru SFY 2012 is attached.

Expenses paid with KWPCRF service fees can be and are used first in support of the KWPCRF program, and also then in support of the “106 program” to administer the NPDES water quality program. These expenses may include any typical expense of administering a funding/construction program, and any typical expense of administering an enforcement/technical assistance program such as salaries and fringe benefits, travel, rent, office supplies and equipment, communications, technical and financial association fees and conferences, and technical assistance contracts.

All KWPCRF service fees earned and spent for expenses are reviewed by the KWPCRF program management staff. In accordance with the advice provided by the auditors conducting the Independent Financial Audit of the KWPCRF program many years ago, monthly reports of service fee expense expenditures charged to Program Administration (the 603(d)(7) administrative funds from the cap grants) and to the KWPCRF Service Fees are extracted from the computer accounting database and reviewed. This review is to assure the expense is properly assigned as a KWPCRF expense spent in support of the KWPCRF program administration, or as a Water Quality related 106 Program NPDES expense. Individual expenses paid from CW SRF Service Fee Account 7531 could be either Program Administration (PA) or Water Quality related (WQ), and the printed out reports are marked accordingly.

Salaries are now recorded and paid through a computerized database “SMART” (the Statewide Management, Accounting, and Reporting Tool), and that information is utilized in the Summary. Indirects are also automatically billed, and the info from the SMART database is utilized.

EPA requires the Program Income Earned During the Grant Period be used only for SRF Program Administration, and that is what is happening. EPA also requires the Program Income Earned After the Grant Period be used first for SRF Program Administration, and that is what is happening, although these funds can also be used for Water Quality related expenses with the approval of EPA. Non-Program Income can be used for any Water Quality related expense, similar to “106 Program” funding, and this is what is happening.

Typically, the service fees spent for Program Administration in support of the SRF program exceeds the Program Income Earned During the Grant Period. The remaining service fees spent are Water Quality related expenses in support of the NPDES program. EPA Region 7 has approved the use of SRF service fees for salaries and expenses for Water Quality related expenses in prior written correspondence.

Attachment – Spreadsheet of Service Fees and Expenses

Sources and Distribution of Loan Service Fees SFY 2013 KWPCRF Annual Report				
Service Fee Income*	Program Income Earned During Grant Period	Program Income Earned After Grant Period	Non-Program Income	Totals
10/20/05 - 6/30/06	\$46,890	\$143,845	\$524,277	\$715,012
07/01/06 - 6/30/07	\$89,320	\$250,371	\$902,393	\$1,242,084
07/01/07 - 6/30/08	\$84,052	\$239,001	\$924,217	\$1,247,270
07/01/08 - 6/30/09	\$83,617	\$219,084	\$988,631	\$1,291,332
07/01/09 - 6/30/10	\$71,710	\$211,811	\$1,035,300	\$1,318,821
07/01/09 - 6/30/10 - ARRA	\$517		\$0	\$517
07/01/10 - 6/30/11	\$56,612	\$228,323	\$1,043,186	\$1,328,121
07/01/10 - 6/30/11 - ARRA	\$40,002		\$0	\$40,002
07/01/11 - 6/30/12	\$52,948	\$217,424	\$1,050,154	\$1,320,526
07/01/11 - 6/30/12 - ARRA	\$59,305	\$0	\$0	\$59,305
07/01/12 - 6/30/13 est	\$55,000	\$220,000	\$902,534	\$1,122,534
07/01/12 - 6/30/13 - ARRA est	\$0	\$50,000	\$0	\$50,000
Total	\$639,973	\$1,779,859	\$7,370,692	\$9,735,524
Anticipated 07/01/13 - 06/30/14	\$50,000	\$220,000	\$1,050,000	\$1,320,000
Portion from FY2013 Cap Grant	\$5,000			\$5,000
Anticipated 07/01/14 - 06/30/15	\$70,000	\$220,000	\$1,050,000	\$1,340,000
Portion from FY2013 Cap Grant	\$20,000			\$20,000
Anticipated 07/01/15 - 06/30/16	\$60,000	\$220,000	\$1,050,000	\$1,330,000
Portion from FY2013 Cap Grant	\$27,000			\$27,000
Anticipated 07/01/16 - 06/30/17	\$50,000	\$220,000	\$1,050,000	\$1,320,000
Portion from FY2013 Cap Grant	\$30,000			\$30,000
Anticipated 07/01/17 - 06/30/18	\$40,000	\$220,000	\$1,050,000	\$1,310,000
Portion from FY2013 Cap Grant	\$0			\$0
Anticipated 07/01/18 - 06/30/19	\$0	\$215,000	\$1,050,000	\$1,265,000
Portion from FY2013 Cap Grant	\$0			\$0
Expenses*				
Program Administration				
Act. 10/20/05 - 06/30/06	N/A	N/A	N/A	\$218,547
Act. 07/01/06 - 06/30/07	N/A	N/A	N/A	\$211,483
Act. 07/01/07 - 06/30/08	N/A	N/A	N/A	\$234,571
Act. 07/01/08 - 06/30/09	\$204,899	\$0	\$0	\$204,899
Act. 07/01/09 - 06/30/10	\$252,708	\$0	\$0	\$252,708
Act. 07/01/10 - 06/30/11	\$120,024	\$0	\$0	\$120,024
Act. 07/01/11 - 06/30/12	\$261,866			\$261,866
Act. 07/01/12 - 06/30/13	\$243,972			\$242,972
Est. 07/01/13 - 06/30/14	\$250,000			\$250,000
Est. 07/01/14 - 06/30/15	\$260,000			\$260,000
Water Quality Related				
Act. 10/20/05 - 06/30/06	N/A	N/A	N/A	\$174,242
Act. 07/01/06 - 06/30/07	N/A	N/A	N/A	\$202,221
Act. 07/01/07 - 06/30/08	N/A	N/A	N/A	\$242,630
Act. 07/01/08 - 06/30/09	N/A	\$0	\$203,482	\$203,482
Act. 07/01/09 - 06/30/10	N/A	\$0	\$295,537	\$295,537
Act. 07/01/10 - 06/30/11	N/A	\$0	\$658,635	\$658,635
Act. 07/01/11 - 06/30/12	N/A	\$0	\$318,648	\$318,648
Act. 07/01/12 - 06/30/13	N/A	\$0	\$932,291	\$932,291
Est. 07/01/13 - 06/30/14	N/A	\$0	\$900,000	\$900,000
Est. 07/01/14 - 06/30/15	N/A	\$0	\$920,000	\$920,000
Total				
Act. 10/20/05 - 06/30/06	N/A	N/A	N/A	\$392,789
Act. 07/01/06 - 06/30/07	N/A	N/A	N/A	\$413,704
Act. 07/01/07 - 06/30/08	N/A	N/A	N/A	\$477,201
Act. 07/01/08 - 06/30/09	\$204,899		\$203,482	\$408,381
Act. 07/01/09 - 06/30/10	\$252,708	\$0	\$295,537	\$548,245
Act. 07/01/10 - 06/30/11	\$120,024	\$0	\$658,635	\$778,659
Act. 07/01/11 - 06/30/12	\$261,866	\$0	\$274,449	\$536,315
Act. 07/01/12 - 06/30/13	\$244,213	\$0	\$932,051	\$1,175,263
Est. 07/01/13 - 06/30/14	\$250,000	\$0	\$900,000	\$1,150,000
Est. 07/01/14 - 06/30/15	\$250,000	\$0	\$900,000	\$1,180,000

* - The additional service fee income and administration expenses due to the ARRA funding source are included in amounts presented here.

These administrative expenses include such typical expenses as salaries and benefits, travel, rent, office supplies and equipment, communications, technical and financial association fees and conferences, and technical assistance contracts.

N/A - Not Available
Est. - Estimated
Act. - Actual

Prepared by: Rod Geisler
09/25/13

Attachment 6

Federal Funding Accountability and Transparency Act (FFATA) Report
(7 pages)



Search

Prime Award Advanced Search Sub-award Advanced Search

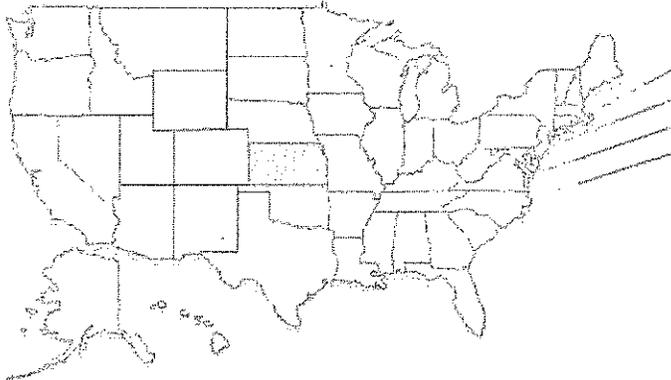
NOTE: You must click [here](#) for very important D&B information.

Sub-award Spending Data

[View Prime Award Data](#)

Filters: Federal Award Identifier: 20000110* [Clear All](#)

Map | Timeline | **Advanced Search**



[List View](#)

\$21.1M

Rhode Island

Sort by: **Dollars Obligated** Transactions/page: **25** [Go](#) [Export](#) [Summary View](#)

1

Total Dollars: **\$21,093,646** Transactions: **1 to 14 of 14**

Transaction # 1
Federal Award ID: 20000110 (Grant)

Recipient:	STOCKTON, CITY OF INC 115 S WALNUT ST, Stockton, UNITED STATES	Award Date:	06-16-2011
Program Source:	Not reported	Award Amount:	\$5,402,300
Department/Agency:	Environmental Protection Agency		
CFDA Program:	68.458 Capitalization Grants for Clean Water State Revolving Funds		
Description:	Construction of a 3-cell non-overflowing wastewater ... (More)		

Transaction # 2
Federal Award ID: 20000110 (Grant)

Recipient:	HILL CITY, CITY OF 205 N POMEROY ST, Hill City, UNITED STATES	Award Date:	11-16-2011
Program Source:	Not reported	Award Amount:	\$4,999,110
Department/Agency:	Environmental Protection Agency: 6800 (Oldcode)		
CFDA Program:	68.458 Capitalization Grants for Clean Water State Revolving Funds		
Description:	Construction new non-discharging wastewater treatm ... (More)		

Transaction # 3
Federal Award ID: 20000110 (Grant)

Recipient:	INDEPENDENCE KANSAS, CITY OF 120 N 6TH ST STE 5, Independence, UNITED STATES	Award Date:	11-22-2011
------------	---	-------------	------------

By Type of Spending

Grants 14

[More/Fewer By Type of Spending](#)

By Agency

Environmental Protec.. 14

[More/Fewer By Agency](#)

By Principal NAICS Description

[More/Fewer By Principal NAICS Description](#)

By Fiscal Year

2011 8

2012 6

[More/Fewer By Fiscal Year](#)

Program Source: Not reported Award Amount:
Department/Agency: Environmental Protection Agency: 6800 (Oldcode) \$4,000,000
CFDA Program: 66.458 Capitalization Grants for Clean Water State Revolving
Funds
Description: Complete replacement of the (3.6 MGD) southeast pu ... (More)

Transaction # 4
Federal Award ID: 20000110 (Grant)

Recipient: LA CROSSE, CITY OF
1119 MAIN ST, La Crosse, UNITED STATES
Program Source: Not reported Award Date:
Department/Agency: Environmental Protection Agency: 6800 (Oldcode) 10-22-2010
CFDA Program: 66.458 Capitalization Grants for Clean Water State Revolving Award Amount:
Funds \$2,678,530
Description: Construction of a three cell discharging lagoon WW ... (More)

Transaction # 5
Federal Award ID: 20000110 (Grant)

Recipient: City Of Leoti
406 S 4th St, Leoti, UNITED STATES
Program Source: Not reported Award Date:
Department/Agency: Environmental Protection Agency 05-25-2011
CFDA Program: 66.458 Capitalization Grants for Clean Water State Revolving Award Amount:
Funds \$888,149
Description: Construction of a project to replace and/or upgrad ... (More)

Transaction # 6
Federal Award ID: 20000110 (Grant)

Recipient: FLINT HILLS RESOURCE CONSERVATION AND
DEVELOPMENT AREA, INC.
3020 W 18TH AVE STE C, Emporia, UNITED STATES Award Date:
Program Source: Not reported 10-28-2011
Department/Agency: Environmental Protection Agency: 6800 (Oldcode) Award Amount:
CFDA Program: 66.458 Capitalization Grants for Clean Water State Revolving \$764,300
Funds
Description: Design and installation of bio-engineered streamba ... (More)

Transaction # 7
Federal Award ID: 20000110 (Grant)

Recipient: GLACIAL HILLS RESOURCE CONSERVATION &
DEVELOPMENT REGION, INC.
318 BROADWAY ST, Valley Falls, UNITED STATES Award Date:
Program Source: Not reported 10-26-2011
Department/Agency: Environmental Protection Agency: 6800 (Oldcode) Award Amount:
CFDA Program: 66.458 Capitalization Grants for Clean Water State Revolving \$756,000
Funds
Description: Design and installation of streambank stabilizatio ... (More)

Transaction # 8
Federal Award ID: 20000110 (Grant)

Recipient: MORAN, CITY OF
339 N CEDAR ST, Moran, UNITED STATES Award Date:
Program Source: Not reported 11-03-2010
Department/Agency: Environmental Protection Agency: 6800 (Oldcode) Award Amount:
CFDA Program: 66.458 Capitalization Grants for Clean Water State Revolving \$353,389
Funds
Description: CCTV inspection of the collection system, manhole ... (More)

Transaction # 9
Federal Award ID: 20000110 (Grant)

Recipient: City Of Glasco
206 E Main St, Glasco, UNITED STATES Award Date:
Program Source: Not reported 01-12-2011
Department/Agency: Environmental Protection Agency Award Amount:
CFDA Program: 66.458 Capitalization Grants for Clean Water State Revolving \$325,600
Funds
Description: Installation of a new lift station and force main, ... (More)

Transaction # 10
Federal Award ID: 20000110 (Grant)

Recipient:	GLACIAL HILLS RESOURCE CONSERVATION & DEVELOPMENT REGION, INC. 318 BROADWAY ST., Vailey Falls, UNITED STATES	Award Date:	11-03-2010
Program Source:	Not reported	Award Amount:	\$295,776
Department/Agency:	Environmental Protection Agency: 6800 (Oldcode)		
CFDA Program:	66.458 Capitalization Grants for Clean Water State Revolving Funds		
Description:	Design and installation of streambank stabilizatio ... (More)		

Transaction # 11
Federal Award ID: 20000110 (Grant)

Recipient:	City Of Solomon 116 W MAIN ST, Solomon, UNITED STATES	Award Date:	11-04-2010
Program Source:	Not reported	Award Amount:	\$280,675
Department/Agency:	Environmental Protection Agency: 6800 (Oldcode)		
CFDA Program:	66.458 Capitalization Grants for Clean Water State Revolving Funds		
Description:	The project will consist of the construction of a ... (More)		

Transaction # 12
Federal Award ID: 20000110 (Grant)

Recipient:	GLACIAL HILLS RESOURCE CONSERVATION & DEVELOPMENT REGION, INC. 318 BROADWAY ST, Vailey Falls, UNITED STATES	Award Date:	10-26-2011
Program Source:	Not reported	Award Amount:	\$191,310
Department/Agency:	Environmental Protection Agency: 6800 (Oldcode)		
CFDA Program:	66.458 Capitalization Grants for Clean Water State Revolving Funds		
Description:	Design and stallation of a bioretention cell and c ... (More)		

Transaction # 13
Federal Award ID: 20000110 (Grant)

Recipient:	TROY, CITY OF 137 W WALNUT ST, Troy, UNITED STATES	Award Date:	04-28-2011
Program Source:	Not reported	Award Amount:	\$113,707
Department/Agency:	Environmental Protection Agency		
CFDA Program:	66.458 Capitalization Grants for Clean Water State Revolving Funds		
Description:	System-wide television inspection of the collectio ... (More)		

Transaction # 14
Federal Award ID: 20000110 (Grant)

Recipient:	POTTAWATOMIE, COUNTY OF 207 N 1ST ST, Westmoreland, UNITED STATES	Award Date:	11-03-2011
Program Source:	Not reported	Award Amount:	\$44,800
Department/Agency:	Environmental Protection Agency		
CFDA Program:	66.458 Capitalization Grants for Clean Water State Revolving Funds		
Description:	Construction of streambank stabilization practices ... (More)		

All prime awardee data as reported by agencies. The assistance prime awardee data includes agency submissions as of 08/29/2013 and the contracts prime awardee data includes procurement data downloaded from FPDS as of 09/04/2013. Please note that availability of DOD contracts prime awardee data is delayed by 90 days to protect operations tempo. All Sub-awardee data is based on prime awardee submissions from FSRS, for sub-contracts as of 08/30/2013 and for sub-grants as of 08/29/2013. For more information about the data, data sources, and data timeliness, please see Learn.

The quality procurement data is maintained by the federal agencies by annual verification and validation of their data in FPDS. For more information on how the quality is maintained and what the government is doing in ensuring the quality please see (PDF).

[About](#) | [Opportunities](#) | [FAQs](#) | [Feedback](#) | [Accessibility](#) | [Privacy Policy](#) | [Disclaimer](#)

IT DASHBOARD

DATA.JOB

RECOVERY.GOV

WWW.WHITEHOUSE.GOV

USA.GOV

PERFORMANCE.GOV



Search

Prime Award Advanced Search Sub-award Advanced Search

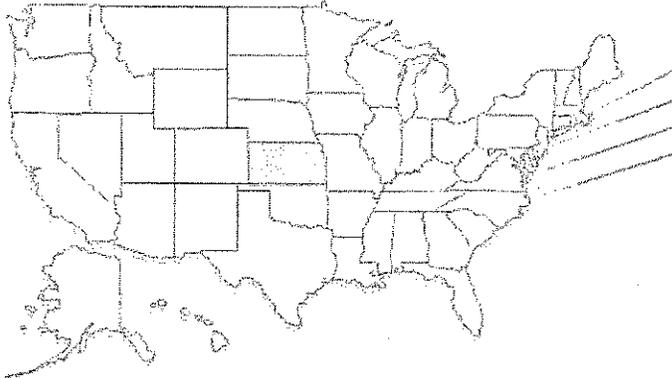
NOTE: You must click [here](#) for very important D&B information.

Sub-award Spending Data

[View Prime Award Data](#)

Filters: Federal Award Identifier: 20000111* [Clear All](#)

Map **Timeline** Advanced Search



[List View](#)

\$14.4M \$14.4M

[Rhode Island](#)

Sort by: [Dollars Obligated](#) Transactions/page: [25](#) [Go](#) [Export](#) [Summary View](#)

1

Total Dollars:
\$14,383,866

Transactions:
1 to 9 of 9

Transaction # 1
Federal Award ID: 20000111 (Grant)

Recipient:	COUNTY OF JOHNSON 111 S CHERRY ST STE 1200, Olathe, UNITED STATES	Award Date:	03-17-2012
Program Source:	Not reported	Award Amount:	\$8,131,778
Department/Agency:	Environmental Protection Agency		
CFDA Program:	66.458 Capitalization Grants for Clean Water State Revolving Funds		
Description:	Provide public sanitary sewer service to homes and ... (More)		

Transaction # 2
Federal Award ID: 20000111 (Grant)

Recipient:	EL DORADO, CITY OF 220 E 1ST AVE, El Dorado, UNITED STATES	Award Date:	02-24-2012
Program Source:	Not reported	Award Amount:	\$2,108,252
Department/Agency:	Environmental Protection Agency		
CFDA Program:	66.458 Capitalization Grants for Clean Water State Revolving Funds		
Description:	This project involves the installation of a one me ... (More)		

Transaction # 3
Federal Award ID: 20000111 (Grant)

Recipient:	COUNTY OF JOHNSON 111 S CHERRY ST STE 1200, Olathe, UNITED STATES	Award Date:	03-17-2012
------------	--	-------------	------------

By Type of Spending

Grants 9
[More/Fewer By Type of Spending](#)

By Agency

Environmental Protec.. 9
[More/Fewer By Agency](#)

By Principal NAICS Description

[More/Fewer By Principal NAICS Description](#)

By Fiscal Year

2012 7
 2010 1
 2013 1

[More/Fewer By Fiscal Year](#)

Program Source: Not reported Award Amount: \$1,452,921
 Department/Agency: Environmental Protection Agency
 CFDA Program: 66.458 Capitalization Grants for Clean Water State Revolving Funds
 Description: This project is to provide public sanitary sewer s ... (More)

Transaction # 4
 Federal Award ID: 20000111 (Grant)

Recipient: City Of Grainfield
 232 MAIN ST, Grainfield, UNITED STATES
 Program Source: Not reported Award Date: 01-25-2010
 Department/Agency: Environmental Protection Agency Award Amount: \$903,594
 CFDA Program: 66.458 Capitalization Grants for Clean Water State Revolving Funds
 Description: Complete replacement of the existing 0.03 MGD sani ... (More)

Transaction # 5
 Federal Award ID: 20000111 (Grant)

Recipient: City Of Plains
 404 GRAND AVE, Plains, UNITED STATES
 Program Source: Not reported Award Date: 06-20-2012
 Department/Agency: Environmental Protection Agency Award Amount: \$756,588
 CFDA Program: 66.458 Capitalization Grants for Clean Water State Revolving Funds
 Description: The project will consist of desludging of the exis ... (More)

Transaction # 6
 Federal Award ID: 20000111 (Grant)

Recipient: LEON, CITY OF
 111 S MAIN ST, Leon, UNITED STATES
 Program Source: Not reported Award Date: 11-13-2012
 Department/Agency: Environmental Protection Agency Award Amount: \$732,253
 CFDA Program: 66.458 Capitalization Grants for Clean Water State Revolving Funds
 Description: improvements to the public sanitary sewer collecti ... (More)

Transaction # 7
 Federal Award ID: 20000111 (Grant)

Recipient: KANSAS WATER OFFICE
 901 S KANSAS AVE FL 1, Topeka, UNITED STATES
 Program Source: Not reported Award Date: 09-24-2012
 Department/Agency: Environmental Protection Agency Award Amount: \$300,480
 CFDA Program: 66.458 Capitalization Grants for Clean Water State Revolving Funds
 Description: The project includes the design and installation o ... (More)

Transaction # 8
 Federal Award ID: 20000111 (Grant)

Recipient: CHANUTE, CITY OF
 101 S LINCOLN, Chanute, UNITED STATES
 Program Source: Not reported Award Date: 02-15-2012
 Department/Agency: Environmental Protection Agency Award Amount: \$0
 CFDA Program: 66.458 Capitalization Grants for Clean Water State Revolving Funds
 Description: Abandon the North and South lift stations and repl ... (More)

Transaction # 9
 Federal Award ID: 20000111 (Grant)

Recipient: CITY OF COLONY
 339 CHERRY ST, Colony, UNITED STATES
 Program Source: Not reported Award Date: 04-10-2012
 Department/Agency: Environmental Protection Agency Award Amount: \$0
 CFDA Program: 66.458 Capitalization Grants for Clean Water State Revolving Funds
 Description: Rehabilitation of portions of the wastewater colle ... (More)

All prime awardee data as reported by agencies. The assistance prime awardee data includes agency submissions as of 08/29/2013 and the contracts prime awardee data includes procurement data downloaded from FPDS as of 09/04/2013. Please note that availability of DOD contracts prime awardee data is delayed by 90 days to protect operations tempo. All Sub-awardee data is based on prime awardee submissions from FRS, for sub-contracts as of 08/30/2013 and for sub-grants as of 08/29/2013. For more information about the data, data sources, and data timeliness,



Search

Prime Award Advanced Search Sub-award Advanced Search
NOTE: You must click [here](#) for very important D&B information.

Sub-award Spending Data

[View Prime Award Data](#)

Filters: Federal Award Identifier: 20000112* Clear All

Map **Timeline** Advanced Search



List View

\$14.3M \$14.3M

[Rhode Island](#)

Sort by: Transactions/page: Export Summary View

1

Total Dollars:
\$14,291,513

Transactions:
1 to 5 of 5

Transaction # 1
Federal Award ID: 20000112 (Grant)

Recipient:	GARDNER, CITY OF 120 E MAIN ST, Gardner, UNITED STATES	Award Date:	09-27-2012
Program Source:	Not reported	Award Amount:	\$9,584,000
Department/Agency:	Environmental Protection Agency		
CFDA Program:	66.458 Capitalization Grants for Clean Water State Revolving Funds		
Description:	Construction of the City of a pump stations, gravi ... (More)		

Transaction # 2
Federal Award ID: 20000112 (Grant)

Recipient:	OTTAWA, CITY OF 101 S HICKORY ST, Ottawa, UNITED STATES	Award Date:	07-31-2012
Program Source:	Not reported	Award Amount:	\$4,707,513
Department/Agency:	Environmental Protection Agency		
CFDA Program:	66.458 Capitalization Grants for Clean Water State Revolving Funds		
Description:	The project is to replace and rehabilitate the ent ... (More)		

Transaction # 3
Federal Award ID: 20000112 (Grant)

Recipient:		Award Date:	10-21-2012
------------	--	-------------	------------

By Type of Spending

Grants 5

[More/Fewer By Type of Spending](#)

By Agency

Environmental Protec.. 5

[More/Fewer By Agency](#)

By Principal NAICS Description

[More/Fewer By Principal NAICS Description](#)

By Fiscal Year

2013 3

2012 2

[More/Fewer By Fiscal Year](#)

GLACIAL HILLS RESOURCE CONSERVATION & DEVELOPMENT REGION, INC.
 318 BROADWAY ST, Valley Falls, UNITED STATES
Award Amount:
 \$0

Program Source: Not reported
Department/Agency: Environmental Protection Agency
CFDA Program: 66.458 Capitalization Grants for Clean Water State Revolving Funds
Description: The project will provide for the design and instal ... (More)

Transaction # 4
Federal Award ID: 20000112 (Grant)

Recipient: CITY OF SILVER LAKE
 218 RAILROAD ST, Silver Lake, UNITED STATES
Program Source: Not reported
Department/Agency: Environmental Protection Agency
CFDA Program: 66.458 Capitalization Grants for Clean Water State Revolving Funds
Description: The proposed system improvement is the complete re ... (More)

Award Date: 02-25-2013
Award Amount: \$0

Transaction # 5
Federal Award ID: 20000112 (Grant)

Recipient: CITY OF ENSIGN
 302 BENT ST, Ensign, UNITED STATES
Program Source: Not reported
Department/Agency: Environmental Protection Agency
CFDA Program: 66.458 Capitalization Grants for Clean Water State Revolving Funds
Description: This project involves the rehabilitation of the ex ... (More)

Award Date: 10-11-2012
Award Amount: \$0

All prime awardee data as reported by agencies. The assistance prime awardee data includes agency submissions as of 08/29/2013 and the contracts prime awardee data includes procurement data downloaded from FPDS as of 09/04/2013. Please note that availability of DOD contracts prime awardee data is delayed by 90 days to protect operations tempo. All Sub-awardee data is based on prime awardee submissions from FSRS, for sub-contracts as of 08/30/2013 and for sub-grants as of 08/29/2013. For more information about the data, data sources, and data timeliness, please see Learn.

The quality procurement data is maintained by the federal agencies by annual verification and validation of their data in FPDS. For more information on how the quality is maintained and what the government is doing in ensuring the quality please see (PDF).

[About](#) | [Opportunities](#) | [FAQs](#) | [Feedback](#) | [Accessibility](#) | [Privacy Policy](#) | [Disclaimer](#)

IT DASHBOARD

DATA.GOV



RECOVERY.GOV

WWW.WHITEHOUSE.GOV



PERFORMANCE.GOV