

# Kansas Public Water Supply Loan Fund Annual Report for Fiscal Year 2016



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## **I. Introduction**

This is the Kansas Public Water Supply Loan Fund (KPWSLF, Loan Fund, or Fund) Annual Report for fiscal year 2016 (July 1, 2015 through June 30, 2016). This is the 19th year of operation for the Fund. The KPWSLF helps protect the health of Kansans by financing infrastructure improvements which provide safe drinking water.

The Fund is a revolving loan fund program that provides financial assistance in the form of loans to Kansas municipalities, at below market interest rates, for the construction of public water supply system infrastructure. The Annual Report is required by KSA 65-163k to describe how the state met the goals and objectives for the previous year as identified in the Intended Use Plan (IUP) required by KSA 65-163h. The federal Safe Drinking Water Act (SDWA) also requires a report, although on a biennial basis. Additional Environmental Protection Agency (EPA) requirements for the contents of this report are contained at 40 CFR Part 35, Subpart L.

## **II. Program Description**

Although the Loan Fund uses multiple sources for its operation, it was made possible by receipt of capitalization grants from the EPA. Kansas must provide 20% matching funds to receive the capitalization grant. The Fund has provided matching funds by issuing state match revenue bonds and by a \$5,000,000 allocation in accordance with K.S.A. 74-8203. As of June 30, 2016, \$41,667,388 has been deposited in the Fund as state match which is 20.23% of the \$205,955,122 of awarded capitalization grants that require state match. The state match bonds are repaid with the interest portion of the municipalities' loan repayments and other interest earnings of the Fund.

In the early years of the program, state match bonds were issued for a specific grant year. But as the program grew, bond issues were structured to obtain excess state match bond proceeds which are then credited towards match requirements of subsequent capitalization grants. On December 18, 2014 \$2,200,000 of State Match bond proceeds were deposited into the fund to provide state match requirement of \$370,963.60 towards the 2016 capitalization grant. On December 21, 2015 \$2,000,000 of State Match bond proceeds were deposited into the fund to provide the remaining \$1,523,636.40 state match requirement for the 2016 capitalization grant and also providing \$476,364.60 for future capitalization grants. Exhibit 11 shows state match deposits associated with recent grants.

Another significant source of funds used in the KPWSLF is leveraged bond proceeds. The KPWSLF's first leveraged bond issue was in 1997 and the most recent issue was in 2011. All KPWSLF bonds issued since 2004 have been AAA rated.

The KPWSLF is operating as both a leveraged reserve loan program and a cash flow leveraged loan program. In a leveraged reserve program, the EPA capitalization grant is not loaned to public water supply systems. Instead, the capitalization grant is deposited in a reserve account, and pledged as security for repayment of state issued revenue bonds (leveraged bonds). The revenue bond proceeds are loaned to municipalities. Investment earnings from the reserve account are combined with loan repayments from municipalities, thus allowing loans to be offered at interest

rates less than the market rate.

In a cash flow leveraged loan program the EPA capitalization grant is directly loaned out and the repayments of those loans are pledged as security for repayment of state issued revenue bonds (leveraged bonds). The revenue bond proceeds are also loaned to municipalities and such loans are pledged as security for repayment of the state issued revenue bonds. Since a portion of loans are funded with EPA capitalization grant funds which the program does not pay interest on, the pool of loans can be offered at interest rates less than the market rate.

The pool of potential borrowers from the Loan Fund includes two distinct types of municipalities, cities and water districts (rural and wholesale). Water districts lack the general taxing powers of cities, and are perceived in credit markets as a greater financial risk. The Loan Fund provides equal access to both types of borrowers, but requires different pledges of security to receive a loan.

Cities are required to pledge their taxing authority as a backstop to water system revenues in order to receive a loan; or as an alternative to pledging their taxing authority, may pledge system revenues only and purchase a bond insurance policy. Water districts are required to either purchase an insurance policy or choose one of two debt service coverage (DSC) ratio options. The first option is to maintain a 125% DSC with a 10% reserve account. The other alternative is to maintain a 140% DSC with no reserve account. The required reserve amount may be included in the loan, and is held by the Loan Fund. Interest earnings from the reserve account that do not exceed the loan rate are credited to the borrower resulting in a reduced interest cost for the amount of the required reserve. All water districts, and some cities, are required to enter into a Financial Integrity Assurance Contract (FIAC) with the Kansas Rural Water Finance Authority (KRWFA) as a condition of receiving a loan. The FIAC requires the loan recipient to submit annual budget and quarterly financial and operating data reports to KRWFA for review. The intent of the FIAC is to make professional financial and management assistance available to the recipient, to assure debt obligations are satisfied and to identify problems in daily operations so they can be corrected before they lead to financial problems.

The KRWFA also reviews financial information from all loan applicants to assess the ability of the applicant to take on additional debt and meet loan repayment obligations. These financial reviews and the FIAC arrangement, provide strong assurances to the financial markets that the Loan Fund will operate on a sound financial basis.

Funding to implement and administer the Loan Fund is available through a set-aside from the federal capitalization grant and from a service fee built into the loan interest rate. No state general funding is needed for this program.

The KPWSLF and Kansas Water Pollution Control Revolving Fund (KWPCRF) have two cross collateralization mechanisms that are explained in detail in the Operating Agreement between KDHE and EPA and are also described in the Intended Use Plan. These cross collateralization mechanisms did not require any funds to be transferred from one program to the other during this annual report period.

### **III. Goals and Objectives**

The state must prepare an Intended Use Plan (IUP) on an annual basis. The IUP lists projects anticipated to be funded, the criteria used to determine which projects receive funding, and short term and long term goals for the program. This section of the annual report discusses the progress that has been made in meeting those short and long term goals.

#### **A. Short Term Goals and Objectives**

1. Provide funding options for systems to correct problems that have caused enforcement actions.

*Loan applications were sent out for 3 projects that would correct problems that have caused enforcement actions. One system, Public Wholesale Water Supply District #27, has completed an application.*

2. Provide funding for replacement of deteriorating infrastructure.

*Loans made to Galena, Atchison, Butler Co. RWD #6, Junction City, Miltonvale, Ottawa, Manhattan, and Moline will replace infrastructure that has reached its useful life or is no longer functioning effectively.*

3. Provide funding for technical assistance to small systems.

*KDHE provides technical assistance for small systems through third party contracts. These contracts have provided assistance to at least 114 small systems to help achieve compliance with the Safe Drinking Water Act, develop and maintain proper operation and maintenance procedures, develop appropriate financial and management procedures and provide technical assistance to systems using surface water as their source of supply. KDHE also manages a Small System Grant program which provides 50% matching funds for preliminary engineering studies for small systems that have an ETT (Enforcement Targeting Tool) score of 11 or above.*

4. Encourage projects that consolidate or interconnect in a regional manner which would reduce public health risks or make more efficient use of source water capacity and treatment processes.

*KDHE manages a Regional PWS Planning Grant Program (funded from the Other Authorized Activities set-aside) which provides 50% matching funds for preliminary engineering studies that evaluate regional solutions to address public water supply system needs and challenges. Brown Co. RWD #2 was awarded a regional planning grant during the program year. The KPWSLF also encourages interconnection projects through the IUP ranking procedure. Seven projects for interconnections were on the 2016 Project Priority List, and loan applications for 4 of these projects were completed.*

5. Assure small public water supply systems are included in the loan program by providing at least 20% of available loan funds to systems serving a population of less than 5,000.

*State law requires KDHE to make 20% of the total dollar amount in loans to be made available from the Fund to public water supply systems with populations less than 5000 people. The SDWA requires 15% of the total amount available for assistance from the Fund each year to be made available to systems serving less than 10,000 people. These requirements were established to ensure small systems have access to loan funds. Historically, no small system has been denied loan funding due to lack of available funds and KDHE does not anticipate that to change.*

*Systems that serve less than 10,000 have received 220 of the 292 loans made since the program began, amounting to \$282,354,628.26, or 43.82% of the total dollar amount of loans. Systems in this category received committed funds of \$27,706,830.10 or 55.10% of the loan funds awarded during the fiscal year.*

*Municipalities with less than 5,000 population have received 210 of the 292 loans since the program began, amounting to \$263,262,344.32 or 40.85% of the total dollar amount of loans. Municipalities in the category received \$27,741,851.06 or 55.17% of the loan funds awarded during the fiscal year. Populations of systems are shown on Exhibits 5a and 8a.*

6. Spend down grant funds within 2 years from the date of the grant award for all open and future capitalization grants.

*As of June 30, 2016, the KPWSLF had 2 unexpended grants, the 2015 grant awarded April 14, 2015 (unexpended funds \$727,444) and the 2016 grant awarded June 9, 2016 (unexpended funds \$9,473,000). Both of these grants were less than 2 years old. The 2015 grant is expected to be fully expended prior to the end of FY 2017 and the 2016 grant is expected to be fully expended prior to the end of FY 2018.*

7. Complete capitalization grant applications within 3 months of the establishment of final allotment amounts by EPA.

*The 2016 capitalization grant allotment was provided to the KPWSLF on February 11, 2016. The complete application was submitted to EPA on April 19, 2016.*

8. Deposit and spend any required state match prior to capitalization grant award.

*The needed state match for the 2016 grant was deposited into the Fund on December 21, 2015, and disbursed for project costs among 12 projects between December 28, 2015 and February 29, 2016. The 2016 capitalization grant was awarded on June 9, 2016.*

## **B. Long Term Goals and Objectives**

1. Maintain a well-managed perpetual program to allow a source of funds to be available to

systems in need.

*The most recent financial audit and EPA program evaluation presented no significant issues with the management of the program and the most recent financial models show the program can continue to make funds available to systems for the foreseeable future.*

2. Encourage systems to choose projects with the most cost effective solutions.

*During the application process, projects are reviewed and alternatives analyzed to assure the proposed solution is cost effective.*

3. Encourage systems to implement projects that have little, if any, significant impact to the environment.

*There were 3 loans that were issued under a categorical exclusion due to the project consisting of existing infrastructure replacement. The remaining 8 loans were issued under a Finding of No Significant Impact.*

4. Continue to implement and expand the Capacity Development Program.

*The Capacity Development program provided assistance to at least 262 public water supply systems during the year. For details and additional information, the Capacity Development annual report can be downloaded from the internet at [www.kdheks.gov/pws/capdev/reports.html](http://www.kdheks.gov/pws/capdev/reports.html).*

5. Explore ways to make the program more affordable/desirable to systems.

*KDHE continues to keep the application and approval process less complicated than other funding alternatives in an effort to make the program more desirable to use. Utilizing the internet as a source of current information and downloadable documents also makes the process easier to use and understand.*

6. Comply with state and federal laws and the state/EPA capitalization grant agreement.

*KHDE has complied with all applicable laws and agreements. EPA's most recent program evaluation did not indicate any compliance issues. All loans executed during the program year included Davis Bacon provisions and all loans executed after January 16, 2014 have included American Iron and Steel requirements.*

7. Assist water suppliers in meeting SDWA requirements.

*Projects needed by municipalities to comply with SDWA primary drinking water regulations receive more priority ranking points than projects needed to meet any other ranking category.*

8. Protect public health.

*The loan for Hiawatha will specifically fund a project that will return the system to compliance*

with drinking water regulations by resolving MCL violations.

#### IV. Loan Fund Activity

Eleven new loans, 3 increase amendments, and 21 decrease amendments, were processed during FY2016 for a net amount of \$48,770,852. The new loans are listed in Exhibit 5a and are shown on a Kansas map in Exhibit 5b. As of June 30, 2016, 292 loan commitments, for a total of \$644,419,459 have been made since the Program’s inception. Exhibit 8a contains summary information for these 292 loans and their locations by county are shown on a Kansas map in Exhibit 8b. Figure 1 shows the last 5 years of loan and amendment amounts by fiscal year and Figure 2 shows the last 5 years of agreements by fiscal year. Table 1 describes projects funded during the fiscal year and Table 2 lists projects that were removed from the Project Priority List.

**KPWSLF Loan Amounts  
(5 Year Trend)**

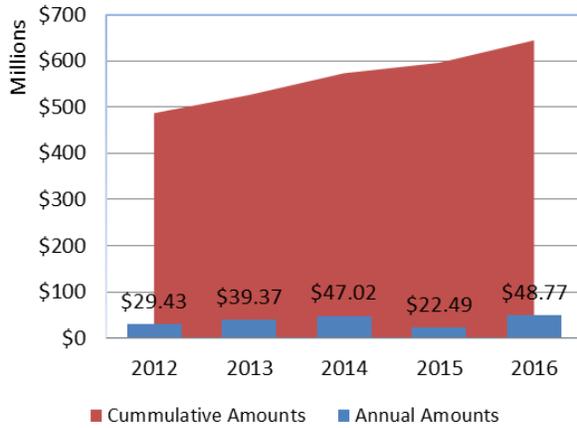


Figure 1

**KPWSLF Loan Agreements  
(5 Year Trend)**

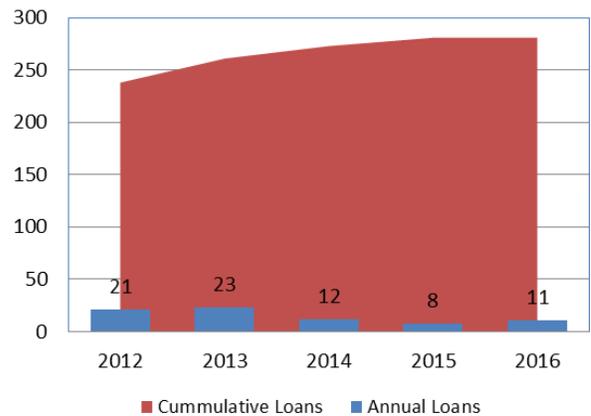


Figure 2

New Loan Descriptions

Municipality	Population	Population Served	Loan Amount	Loan Effective Date (Binding Commitment)	Loan Execution Date	Project Description
Galena	2,994	2,994	\$487,616	July 1, 2015	July 15, 2015	Meter replacement and upgrade to automated meter reading system
Belvue	202	202	\$300,751	July 1, 2015	August 6, 2015	Interconnection with Pottawatomie Co. RWD #4
Atchison	10,925	16,181	\$759,000	July 1, 2015	August 3, 2016	Water treatment plant filter improvements
Hiawatha	3,178	5,079	\$670,000	July 27, 2015	September 11, 2015	New water treatment plant to reduce nitrates
Butler Co. RWD #6	2,586	4,095	\$2,100,000	October 28, 2015	December 8, 2015	Replace waterlines, meters, and pumpstations
Junction City	24,665	26,551	\$13,235,000	December 4, 2015	May 20, 2016	Water treatment plant improvements
Miltonvale	526	526	\$510,134	December 21, 2015	March 19, 2016	Waterline replacement
Ottawa	12,403	15,768	\$3,262,500	February 22, 2016	April 19, 2016	Redundant raw waterlines
Manhattan	56,078	59,622	\$7,326,270	February 22, 2016	May 11, 2016	Water treatment plant and pumpstation improvements
Moline	344	344	\$2,100,000	May 5, 2016	June 29, 2016	Waterline replacement and tower rehabilitation
Public Wholesale Water Supply District # 25	2	7,542	\$19,878,195	May 9, 2016	June 21, 2016	New public water supply creation including water treatment plant, tower, and waterlines

Table 1

### Projects Removed from Project Priority List

System	Project Description	Reason for Removal
Brown Co. RWD #2	New Wells to Meet Compliance	Abandoned Project
Coldwater	New Pumpstation	Abandoned Project
Coffeyville	Replace Transmission Main	Abandoned Project
Aurora	Well House Improvements	Abandoned Project

Table 2

Projects are considered unresponsive when loan application submittal deadlines are exceeded and the system makes no effort to contact KDHE. All systems have the opportunity to resubmit projects for the next Intended Use Plan or the semi-annual amendment to the existing Intended Use Plan.

## V. Set-Aside Activities

The SDWA includes several provisions allowing states to reserve a portion of the capitalization grant for specific purposes. KDHE reserved a total of \$19,910,920 for set-asides from the FFY1997–FFY 2016 capitalization grants equaling \$225,300,056. Figures 3 thru 7 show the amount of set-asides available and expended for the latest 5 year period (the area between the amounts available and expended represents federal grant unliquidated obligations). Exhibit 10 contains detailed information about the amount of set-asides reserved and drawn to cover expenses. Exhibit 6 shows a quarterly summary of when these set-asides were recorded as an expenditure as well as when money was drawn from capitalization grants (which can include expenditures recorded in a previous year).

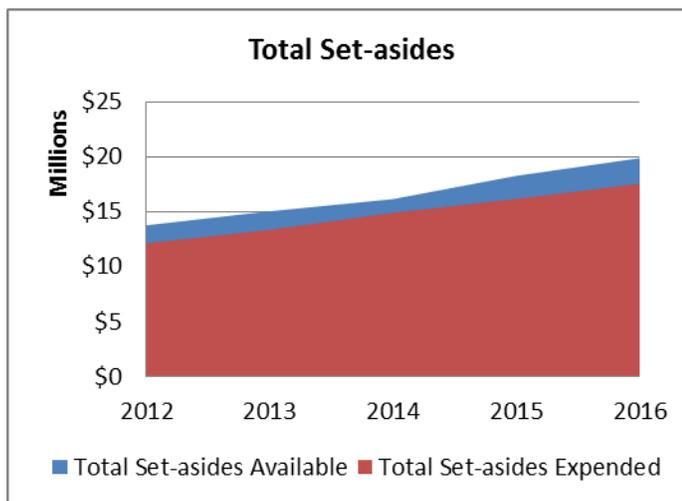


Figure 3

The following paragraphs discuss the set-asides taken by KDHE and work performed.

**A. Program Administration.** The SDWA allows the state to reserve 4% of each capitalization grant to administer the loan program. As of June 30, 2016 KDHE has reserved \$8,060,838 from all capitalization grants and expended \$7,318,907. Recorded fiscal year expenditures for this set-

aside were \$470,499 and \$471,260 was drawn from capitalization grants, leaving \$732,306 available to draw beginning July 1, 2016.

Funds are used support approximately 6.2 FTE's who perform SRF duties. Work performed included 15 loan application reviews, 113 financial audit reviews, 10 environmental reviews, loan program marketing, design review and approval of 12 projects, project ranking for the IUP, priority list management for the IUP, tracking of over 284 loan repayments, 107 construction inspection site visits, processing 94 loan disbursements, National Information Management System (NIMS) and

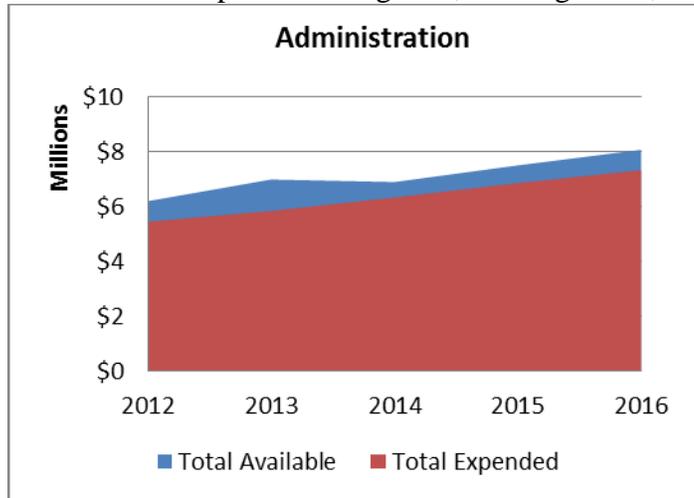


Figure 4

Project Benefits Reporting (PBR) data maintenance, Federal Funding Accountability and Transparency Act (FFATA) reporting, and data gathering, reporting, and training for the needs survey. This set aside also pays for general office supplies, and any other costs associated with the operation of the KPWSLF.

**B. Technical Assistance to Small Systems.** The SDWA allows the state to reserve 2% of each capitalization grant to provide technical assistance to systems serving populations less than 10,000. As of June 30, 2016 KDHE has reserved \$4,198,057 from all Capitalization Grants and expended \$3,856,425. Recorded fiscal year expenditures for this set-aside were \$147,082 and \$199,546 was drawn from capitalization grants, leaving \$347,298 available to draw beginning July 1, 2016. KDHE contracted with the Kansas Rural Water Association to provide this assistance. The contract is managed as part of the Capacity Development Program and provides for a minimum of 2,000 hours of on-site technical assistance for resolving compliance issues, operation and maintenance problems, and improving performance of surface water treatment plants. Assistance was provided to 114 small water supply systems. All funds spent from this set aside were used to pay contract invoices, no funds were used to support KDHE FTE's.

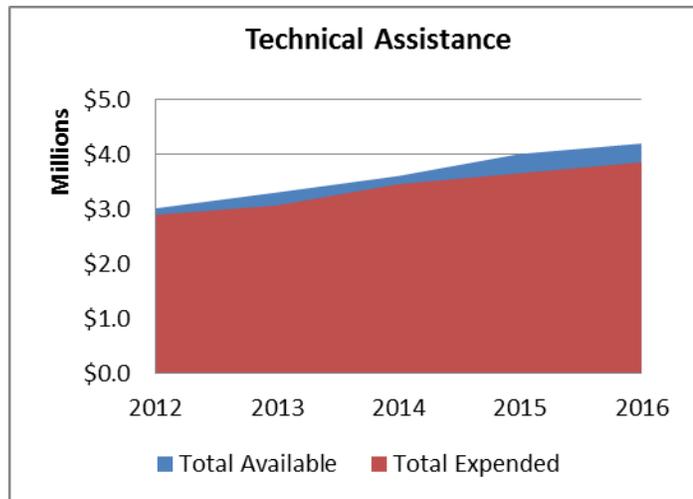


Figure 5

C. **State Program Management.** Another provision of the SDWA allows states to reserve up to 10% of the capitalization grant to supplement the public water supply supervision grant provided by EPA to the state to implement the national drinking water program. This set-aside can also be used to fund programs required by the SDWA, including operator certification and capacity development. States must match any funds from this set-aside on a dollar for dollar basis, and are allowed to use State funds expended on its PWSS (Public Water Supply Supervision ) program in fiscal year 1993 (including PWSS match) as a credit toward meeting its match requirement. The value of this credit can be up to, but not greater than, 50 percent of the amount of match that is required. As of June 30, 2016 KDHE has reserved \$3,019,717 from all capitalization grants and expended \$2,448,611. Recorded fiscal year expenditures for this set-aside were \$292,665 and \$282,981 was drawn from capitalization grants, leaving \$570,560 available to draw beginning July 1, 2016.

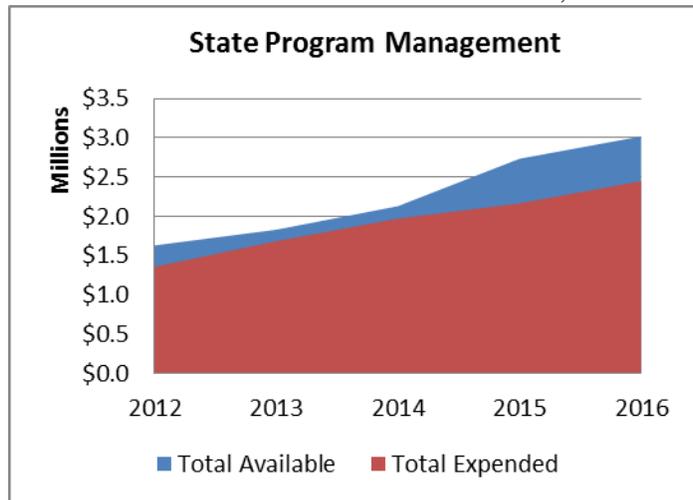


Figure 6

Money from this set-aside has funded approximately 3.5 FTE’s and costs for the Public Water Supply Supervision Program (PWSS) which includes the Capacity Development Program and the Operator Certification Program. The PWSS Program’s general goal is to ensure drinking water is safe by implementation of drinking water standards and providing technical assistance. Funding for the program comes from several sources; this set-aside only funds a small portion of overall program costs. One FTE is 100% funded from this set-aside, the manager of the Capacity Development Program. The remaining FTE funding is supplemental funding for salaries of 3 positions that have responsibilities in implementing the Operator Certification Program, supplemental salary funding for 1 position that reviews the impact of waste streams due public water supply infrastructure projects, and supplemental salary funding for positions that have responsibility for drinking water compliance monitoring.

The manager of the Capacity Development Program administers the small system technical assistance contract (paid from the 2% Technical Assistance set-aside), coordinates the Public Water Supply Fee Fund Advisory Group, coordinates environmental reviews for PWS projects, and administers several contracts that are part of the Capacity Development Program (small systems operator training, KanCap training, emergency planning assistance, Technical Financial Managerial (TFM) survey support, financial planning and rate-setting assistance).

KDHE reports PWSS activities to EPA through the Performance Partnership Grant (PPG) work plans. Related activities partially supported from spending from this set aside during the fiscal year include coordinating over 200 operator certification training sessions, administering 8

operator certification examination sessions, tracking and review of enforcement data for all public water supply systems in Kansas, and quarterly SDIWS data submittal to EPA.

The match requirement for this set aside was funded from \$197,349 spent from the Public Water Supply Fee Fund (see Table 3 which shows the last 5 years of historic values). The Public Water Supply Fee Fund spending included \$190,000 of salary costs for PWSS staff (supplemental funding divided among 9 positions) and the remaining funds were spent on office supplies and communication services. The PWSS staff that are partially supported by these funds are responsible for providing administrative assistance services, tracking PWS system monitoring compliance, providing technical assistance for disinfection byproducts and lead and copper issues, reviewing consumer confidence reports, reviewing monitoring compliance, providing technical assistance for chemical and surface water treatment issues, providing technical assistance for bacteriological issues, and PWS data management.

**State Program Management Match Calculation**

State Fiscal Year	PWSS Match	New Money PWS Fee Fund	State Program Management Set Aside Spent	Value of credit from 1993 PWSS Match	Percent of credit from 1993 PWSS Match (must be no greater than 50%)	
1993	\$211,767	\$0				
2012		\$234,496	\$140,329	\$0	0%	Matched 100% from new funds
2013		\$242,320	\$327,390	\$85,070	26%	
2014		\$208,580	\$287,587	\$79,006	27%	
2015		\$203,745	\$193,912	\$0	0%	Matched 100% from new funds
2016		\$197,349	\$282,981	\$85,632	30%	

Table 3

**D. Other Authorized Activity.** The SDWA allows states to reserve up to 15% of the capitalization grant to fund other authorized activities including awarding loans for source water protection purposes, providing assistance as part of a capacity development strategy, and establishment of well head protection programs. As of June 30, 2016 KDHE has reserved \$4,591,880 from all capitalization grants and expended \$3,915,604. Recorded fiscal year expenditures for this set-aside were \$315,082 and \$463,144 was drawn from capitalization grants, leaving \$687,690 available to draw beginning July 1, 2016. All funds spent from this set-aside are paid to service contractors or grant recipients, no KDHE FTE's are funded. The 2015 capitalization grant

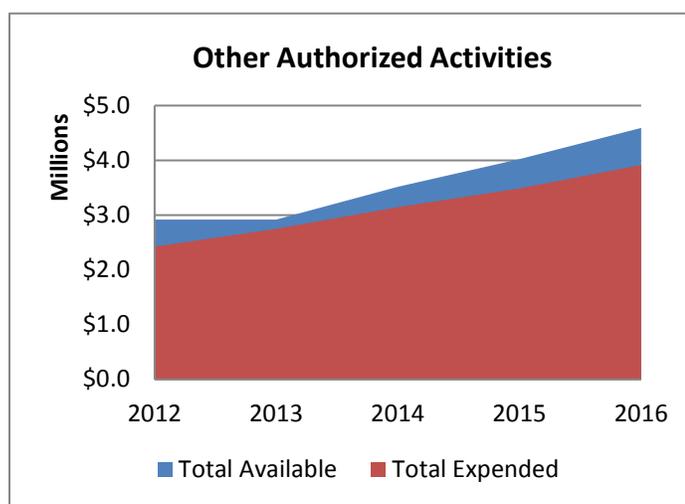


Figure 7

was amended during the program year to provide \$155,066 of EPA in-kind assistance which came from this set aside. In-kind services are not reported as receipts or expenditures in the Fund accounting system.

Service contracts paid under this set-aside include small systems operator training, emergency planning assistance, asset management training, KanCap training, and on-site financial planning assistance including rate setting, budget review and capital improvement planning. Planning grant programs which provide matching funds for regional water system feasibility studies and small system individual planning grants are also funded from this set aside. Payments for 4 planning grant projects were made during the fiscal year. Through these contracts and grants, over 262 systems received assistance through the Capacity Development Program. The Capacity Development program submits an annual report to EPA that details its activities.

## VI. Fund Financial Status

### A. Available Funds

In addition to the regular collection of SRF fees and revolved funds, KDHE was awarded the 2016 capitalization grant and obtained State Match Bond proceeds during the program year. Figure 8 shows these new sources.

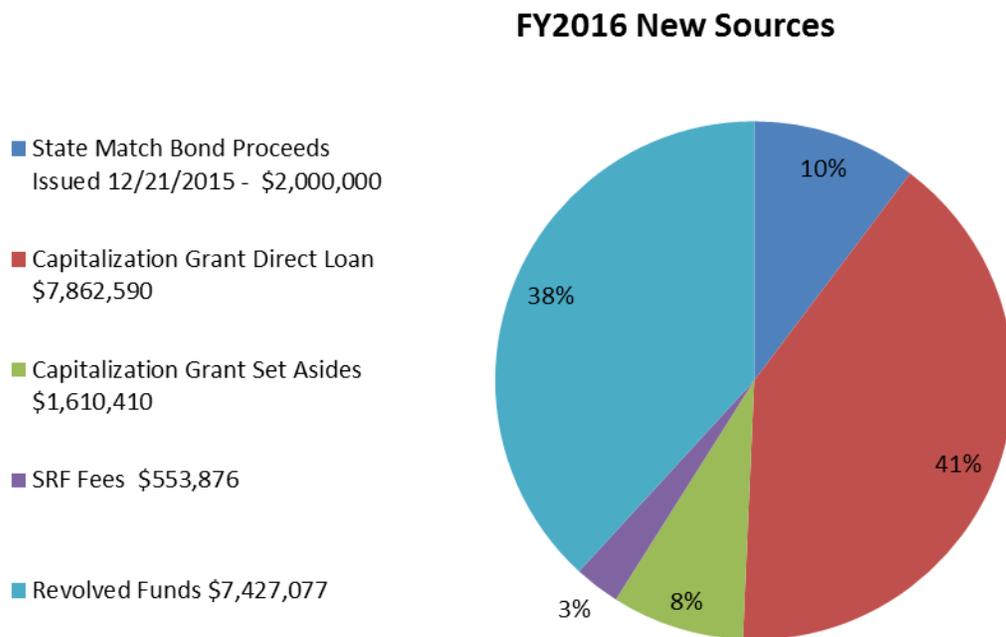


Figure 8

Figure 9 shows the sources of funding that have been provided cumulatively through FY 2016. The capitalization grant is divided into 3 different categories, funds that were deposited in the

leveraged reserve, funds used for set-asides, and funds made available to direct loan to systems. State match funds are listed separately as state match bond proceeds which are loaned directly to projects and state match from K.S.A. 74-8203 which was used as part of the leveraged reserve. The leveraged bond proceeds represent bonds that are leveraged from the KPWSLF bond

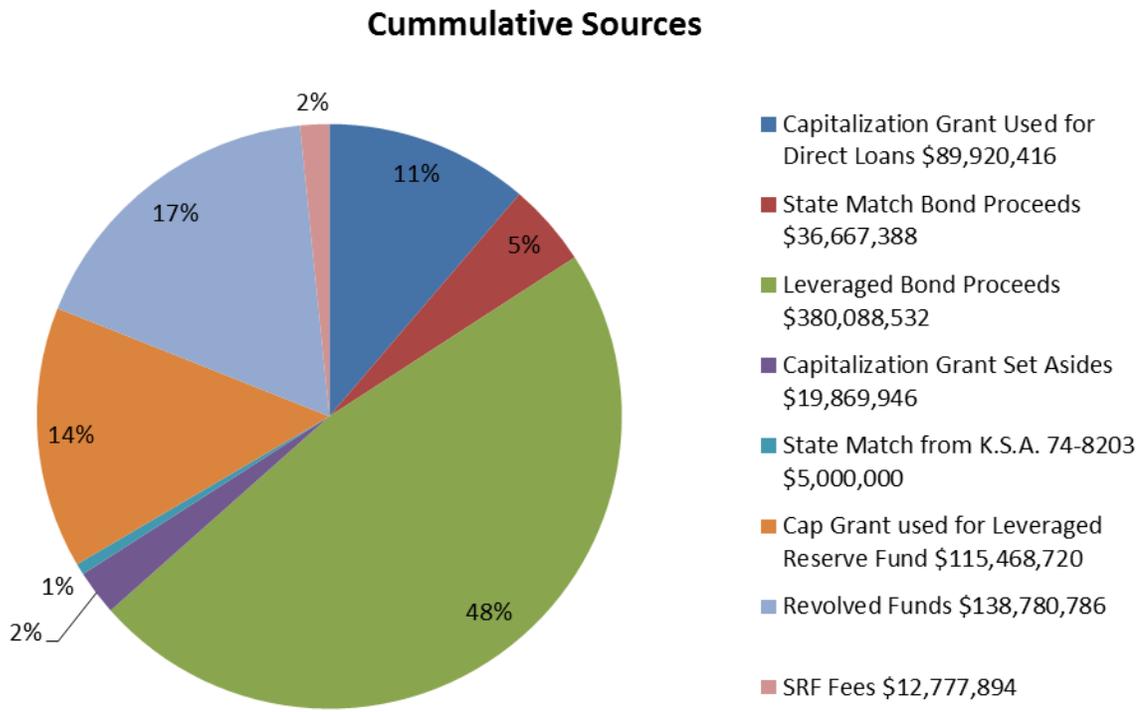


Figure 9

indentures; these proceeds are loaned to systems for project funding. As loans are repaid to the KPWSLF and revenue bonds are paid off, any funds left over are revolved funds and become a source to make new loans with.

Exhibit 6 shows the amount of loan disbursements and recorded expenses of set-asides by quarter as well as ACH draws of set-aside funds. The differences between the set-aside expenses and draws are due to the time between the expenses being recorded and draws being made.

### **B. Assets, Liabilities, and Net Position**

As illustrated by the Kansas Public Water Supply Loan Fund Statement of Net Position (Exhibit 1), the program accumulated assets of \$337,435,844 in its 19 years of operation including cash and investments of \$183,957,859, project loans receivable of \$150,653,890, and other assets of \$2,824,095. Liabilities of \$143,123,536 were incurred including bonds payable of \$137,609,224, and other liabilities of \$5,514,312 leaving a Net Position of \$203,594,497.

Net position represents the difference between assets, deferred outflows, liabilities and deferred inflows. Net investment in capital assets consists of capital assets, net of accumulated

depreciation, reduced by the outstanding balances of any borrowings used for the acquisition, construction or improvement of those assets. Currently the fund has no investment in capital assets. Net position is reported as restricted when there are external limitations imposed on their use. All assets of the program have been determined to be restricted assets in accordance with the conditions of the Public Water Supply EPA capitalization grants and bond covenants. Restricted net position includes EPA capitalization grants restricted for the 1) bond reserve fund, 2) loans to municipalities, 3) program administration expenses, 4) technical assistance, 5) state program management, 6) and other authorized activities 1452K (1)b. The amount of capitalization grants restricted for program administration, technical assistance, state program management, and other authorized activities 1452K (1)b is being recognized as revenue when earned. Also included in restricted net position is an account titled "Contributed Capital – State of Kansas". The account consists of monies contributed to the Fund per K.S.A. 74-8203, as amended and supplemented.

### **C. Revenues and Expenses**

According to the Statement of Revenues, Expenses and Changes in Fund Net Position (Exhibit 2), the changes in Net Position of \$3,309,018 shows the KPWSLF experienced revenues over expenses in FY 2016. Revenues consist of \$14,292,911 in operating and non-operating revenues. Operating revenue includes interest earned on loans of \$4,248,846, EPA capitalization grant administration receipts (Grant Revenue) of \$1,225,328, service fee revenue of \$541,652, and other revenue totaling \$6,990. Non-operating revenue includes interest of \$642,535 earned on the bond reserve account, \$1,939,220 from other investments, \$5,172,231 drawn down from federal capitalization grants for loan disbursements, and other non-operating revenues of \$516,109. Expenses consist of \$10,983,893 in operating and non-operating expenses. Operating expenses include program administration federal expenses of \$470,499, other set-asides of \$754,829, other program administration expenses of \$750,481 paid from loan origination and service fees, and loan principal forgiveness of \$2,077,439. Non-operating expenses include bond interest of \$6,930,645.

### **D. Statement of Cash Flows**

The Statement of Cash Flows (Exhibit 3) identifies the sources and the uses of cash during the fiscal year and demonstrates that the Fund had sufficient cash to meet its obligations.

### **E. Supplemental Schedules**

Exhibit 4 provides detailed information regarding Investments, Accounts Receivable, and Accounts Payable included on the Statement of Net Position (Exhibit 1).

### **F. Binding Commitments**

As shown in Exhibit 5a, the KPWSLF entered into binding commitments (loan agreements) with 11 municipalities for a total \$56,659,466. Seven of the municipalities have a population less than 5,000 and serve less than 10,000 persons. Exhibit 5a also shows 24 amendments to previous commitments for a net amendment total of -\$7,888,613.71. Loan agreement activity increased commitment amounts by \$48,770,852.29 for FY 2016.

## **G. Projects Completed and Started**

Projects in Belvue, Beverly, Bird City, Chanute, Dickinson Co. RWD #2, Easton, Marysville, Moundridge, North Newton, Oberlin, Public Wholesale Water Supply District # 4, Richmond, Scranton, and White Cloud were completed in fiscal year 2016. Details are provided in Exhibit 7a. Projects in Atchison, Belvue, Cowley Co. RWD #3, Herndon, Nemaha Co. RWD #3, Ulysses were started in fiscal year 2016. Details are provided in Exhibit 7b.

## **H. Financial Integrity Assurance Contracts**

The Financial Integrity Assurance Contract (FIAC) is a contract between the borrower and the Kansas Rural Water Finance Authority (KRWFA). The FIAC was developed as a program enhancement to assure borrowers without general obligation taxing authority could access the Loan Fund. Under a FIAC, the municipality is required to provide quarterly operating reports, an annual budget and an audit to the KRWFA. Municipalities required to enter into a FIAC are identified in Exhibit 8a. KRWFA has monitored the quarterly reports and reviewed the annual budgets of the FIAC borrowers. For the reporting period covering calendar year 2015, 1 system was found to be below the required debt service coverage and has received assistance in reviewing user rates which were later raised based on the review.

## **I. Quarterly loan activity**

Quarterly loan activity, including loan disbursements and principal and interest repayments, is shown in Exhibit 9. At the end of FY16, \$536,095,434.91 had been disbursed to loan recipients (Project Payments, Interest Accrued, and Fees Accrued) with \$15,468,439.59 disbursed during the year. Cumulatively, \$369,523,052.64 in principal and \$99,541,162.30 in interest had been repaid with \$24,016,316.76 of principal and \$4,266,196.14 of interest being repaid during the fiscal year.

## **VII. Compliance with Assurances and Grant Conditions**

The EPA guidelines and grant conditions suggest several other areas for this annual report to address. This section of the report will address those areas not already addressed in other sections of the report.

- A.** The state must establish in the report that it has reviewed all funded projects in accordance with the approved state environmental review procedure.

*Each of the 11 projects receiving assistance during fiscal year 2016 was reviewed in accordance with the “Environmental Review Procedure for the Kansas Public Water Supply Loan Fund”, dated July 1997. All reviews resulted in preparation of a categorical exclusion or a Finding of No Significant Impact. All increase amendments were due to increased construction costs without a scope change, so additional environmental assessments were not performed.*

- B.** The state must establish that it deposited its match on or before the date on which each grant payment was made.

*The \$1,894,600 of State Match required for the 2016 capitalization grant came from \$370,963.60 of the state match funds deposited in December 2014 and \$1,523,636.40 of the state match funds deposited in December 2015. The first scheduled 2016 capitalization grant payment is July 2016.*

- C.** The state must establish that it made binding commitments to provide assistance equal to the federal capitalization grant, less set-aside funds, plus the State Match funds within one year after receiving the grant payment.

*As of June 30, 2016 total binding commitments were \$644,419,459.97 and total grant payments and state match (excluding set asides) were \$246,580,160. The KPWSLF has far exceeded this requirement, in fact the requirement was met over 12 years ago (June 30, 2004 binding commitments totaled \$258,995,533).*

- D.** The state must establish that it managed the program in a fiscally prudent manner and adopted policies and processes to promote the long-term financial health of the fund.

*Exhibit 2 indicates the program had sufficient resources to cover expenses during the fiscal year. The Loan Fund charges a 0.25% loan origination fee to pay for the financial reviews, and all recipients required to enter into a FIAC are charged an additional 1% fee. A 0.35% service fee, which is included in the loan interest rate, will help to generate income to pay for continued administration of the program.*

*The financial audit for 2016 can be found in Exhibit 16 and it does not presented any concerns regarding financial management.*

- E.** The state must establish that it complies with EPA grant regulations (40 CFR Part 31) and specific conditions of the capitalization grant.

*KDHE is complying with EPA grant regulations and with the specific conditions of the capitalization grant. The most recent EPA Program Evaluation report supports this statement.*

- F.** The state must establish that it complied with federal cross-cutting authorities that apply to the state as a Federal grantee and those which flow through to assistance recipients.

*No problems with cross-cutting authorities were encountered during the fiscal year.*

- G.** The state must demonstrate that it provided assistance only to eligible water systems and for eligible purposes under the EPA Guidelines.

*Kansas law allows for loans to municipalities, which are defined as political or taxing subdivisions of the state authorized to construct, operate, and maintain a public water supply. As defined, municipalities are eligible for assistance under the SDWA and federal regulations. All projects receiving assistance during the fiscal year are listed in Exhibit 5a and the need for the loan is described in Section IV of this report. KDHE identified these projects as eligible for assistance from the program.*

- H.** The state must demonstrate that it funded only the highest priority projects listed on the IUP, according to their priority and readiness to proceed, and have documented any procedures for by-passing priority projects on the IUP.

*Exhibit 12 shows the Project Priority List from the 2016 Intended Use Plan (IUP) and 11 projects from the list that were ready to proceed were funded during the program year. All projects on the IUP were given an opportunity to apply. No IUP projects seeking SRF funding were denied. Several projects informed KDHE they were no longer interested in the Loan Fund and were removed from the list. Ranking and by-passing procedures are included in the 2016 IUP.*

- I.** The state must establish that it has used fees only for eligible purposes and must submit information on the total dollar amount in fee accounts.

*Three types of fees are collected by the Fund; a Loan Origination Fee (LOF) of 0.25% of the loan amount; a fee for the Financial Integrity Assurance Contract (FIAC) of 1% of the loan amount; and a service fee of 0.35% of the outstanding balance (payments are made with the semi-annual loan repayments). The LOF and FIAC fee are typically capitalized into the loan as principal and the service fee is typically paid directly by the loan recipient; however, loan recipients are allowed to pay for the LOF and FIAC directly and are allowed to capitalize the service fees that accrue during construction before repayments begin, if desired. Fees may be used for program administration or other purposes allowed by section 1452 of the Safe Drinking Water Act (including set-aside uses). Fees that are paid directly by the loan recipient are also eligible to be used as state match or combined financial administration of the DWSRF and CWSRF program funds.*

*During the fiscal year, the Fund received Loan Origination Fees of \$7,685.68 and spent \$14,171.87, leaving an ending account balance of \$287,162.09. Financial Integrity Assurance Contract Fees collected were -\$530.62 and expenditures were \$54,996.43, leaving an ending account balance of \$16,201.77. The service fees collected were \$546,721.47 with expenditures of \$660,523.50 leaving an ending account balance of \$4,097,612.08.*

*Loan origination fees were used to pay for costs of financial analysis of loan applications and technical assistance in completing loan applications. FIAC fees were used for costs of financial monitoring for loans secured only by revenues or for high risk municipalities. Service fees were used to pay the costs of program bond servicing; arbitrage rebate analysis; program accounting; Trustee services; software, hardware, office supplies, travel, salaries for PWS staff; record storage; PWS operator technical assistance; software maintenance and updates for SDWIS; ASDWA dues; and the KPWSLF annual audit. None of these fee funds were used to meet state match requirements and the KPWSLF and KWPCRF do not combine the financial administration of the Funds. Use of all fee income complies with EPA requirements.*

- J.** The State must agree to commit and expend all funds as efficiently as possible and in an expeditious and timely manner.

*The structure of the KPWSLF promotes efficiency in regards to committing and expending funds. Loan commitments are made based on loan fund capacity, but the funds to support expenditures of those loans are generated based on program cash flow needs. Because there can be a delay of 1 to*

*2 years between loan commitment and the first disbursement of that loan, this prevents the buildup of excessive idle cash in the fund as would happen if funds were required at time of commitment. KDHE discontinued the practice of committing specific types of funds for specific loans at loan execution; instead, the source of funds used for disbursements are determined at the time of each disbursement request.*

*As of June 30, 2016 the KPWSLF grant Unliquidated Obligations (ULO's) were 4.5% of all capitalization grants received to date. The 2013 and 2014 grant were completely expended and closed during FY 2016. As of June 30, 2016 \$10,200,444 of grant funds remained unexpended (\$727,444 from the 2015 grant, and \$9,473,000 from the 2016 grant). The 2015 grant was awarded on April 14, 2015 and by June 30, 2016 92% of those funds were expended. The 2016 grant was awarded June 9, 2016 and no funds were expended during the fiscal year. KDHE expects the 2015 grant to be 100% expended during the 2017 fiscal year.*

- K.** The state must draw cash from the capitalization grant in the amount of the proportionate federal share of eligible incurred project costs (Proportionality).

*All grant funds drawn for incurred project costs during the fiscal year were disbursed to loan recipients (cap grants were direct loaned). The required state match for the 2015 grant (only grant disbursed to loans during the year) was deposited and disbursed prior to the grant award and therefore the proportionate federal share for incurred costs was 100% (40 CFR 35.3560 (g)(2)(ii)). State Match deposits and draw ratios were documented in capitalization grant applications.*

- L.** The state must show that it adopted and implemented procedures consistent with the requirements of 40 CFR 35.3530(d) and 40 CFR 35.3555(c)(9) if fund assets of the DWSRF program and CWSRF program were cross-collateralized.

*An Attorney General certification that state law permits cross-collateralization was submitted to EPA in November of 2010. The DWSRF Operating Agreement was amended to provide detail of the methods utilized for cross-collateralization and proportionality. This amendment was executed by both EPA and KDHE in December of 2010. Cross collateralization was also described in the 2016 IUP.*

- M.** The state must designate a group of loans equal to the capitalization grant amount that will be required to submit an audit that complies with the Single Audit Act requirements.

*Exhibit 13 shows loans that have been designated as receiving federal funds for Single Audit purposes related to the 2010 thru 2016 capitalization grants(excluding the 2011 grant as all associated loans were finalized in SFY 2015). The loan amounts listed exceed the actual capitalization grant amounts; however, it is possible that the actual funds disbursed will be less than the current loan commitment amount. If the total loan commitment amount is reduced below the capitalization grant amount, KDHE will designate new loans as receiving federal funds until the requirement is met.*

*For municipal fiscal year 2015 (for most municipalities the calendar year is the fiscal year) Girard, Moundridge, and the Kansas City Board of Public Utilities were disbursed enough designated federal funds to trigger a Single Audit (assuming KPWSLF was their only source of federal funds). The Single Audit for Girard and Moundridge was submitted prior to the release of this report and had no*

findings that required follow-up from KDHE. The remaining Single Audit report will be reviewed by KDHE once it is received.

Starting with the 2014 capitalization grant, the Single Audit and Federal Funding Accountability and Transparency Act (FFATA) listing consist of the same loans and are referred to as equivalency projects. The 2015 and 2016 capitalization grant equivalency project is the loan to the Public Wholesale Water Supply District # 25 (PWWSO # 25) with a loan amount of \$19,878,195).

N. Capitalization grant conditions require KDHE to provide information in the annual report regarding additional subsidy compliance.

Loans that are scheduled to receive additional subsidy (in the form of principal forgiveness) from the 2010 thru 2015 grants are listed in Exhibit 14. KDHE does not officially award principal forgiveness until all loan disbursements are made and the loan is finalized; therefore the amounts listed for loans that are not finalized are estimates (this is also indicated in the loan agreements). The final principal forgiveness is calculated as a percentage of qualifying disbursements. Because these projects are not complete, or in some cases not even advertised for bids yet, the exact additional subsidy amount will be determined in the future and Exhibit 14 will be updated accordingly. The estimated principal forgiveness amounts for existing loans exceed the minimum amounts required by the 2010 thru 2016 grants. During FY 2017, KDHE expects to execute loans with additional subsidy associated with the 2016 grant requirement. KDHE expects that the minimum additional subsidy requirement for the 2010 and 2011 grants will be officially awarded during the 2017 fiscal year.

Grant Year	Additional Subsidy Requirements			
	Required Additional Subsidy Amount	Allowed Additional Subsidy amount	Projected Additional Subsidy (Includes Loans that are not Finalized)	Awarded Additional Subsidy (Finalized Loans)
2010	\$4,981,500.00	\$16,605,000.00	\$4,948,067.46	\$4,852,067.46
2011	\$3,456,600.00	\$11,522,000.00	\$4,112,011.90	\$0.00
2012	\$2,196,200.00	\$3,294,300.00	\$3,294,300.00	\$1,229,016.59
2013	\$2,060,400.00	\$3,090,600.00	\$3,090,600.00	\$0.00
2014	\$2,016,000.00	\$3,024,000.00	\$3,024,000.00	\$0.00
2015	\$2,002,800.00	\$3,004,200.00	\$1,791,945.49	\$0.00
2016	\$1,894,600.00	\$1,894,600.00	\$0.00	\$0.00
Totals	\$18,608,100.00	\$42,434,700.00	\$20,260,924.85	\$6,081,084.05

Table 4

KDHE will execute additional loans that qualify for additional subsidy or increase additional subsidy amounts for existing loans as needed to meet this requirement. Because principal forgiveness cannot be awarded until final loan disbursements are made, compliance with this requirement cannot be determined at this time. All the loans listed in Exhibit 14, excluding PWWSO #25, are for projects that repaired, replaced, or upgraded infrastructure in existing communities, which follows EPA's sustainability policy. The loan for PWWSO # 25 was for new system creation.

*EPA's guidance for implementing grant provisions related to additional subsidy encourages states to give additional subsidy to systems that could not otherwise afford a KPWSLF loan. These communities are generally referred to as disadvantaged communities. The Safe Drinking Water Act Amendments and resulting Federal regulations that created the drinking water state revolving funds allow states to independently develop affordability criteria and define disadvantaged systems as being the entire service area of a public water system that meets such state criteria. These disadvantaged systems are then eligible for loans with principal forgiveness or negative interest rate loans which would in effect give away a portion of the federal grant. When the KPWSLF was being developed, stakeholders did not support the use of these types of loan subsidies as they were thought to conflict with the technical, financial, and managerial requirements of the program, and allowing the grant to be given away would erode the ability of the KPWSLF to generate funds to meet demand. As a result, affordability criteria were not developed for the program. However, the KDHE project priority system includes a scoring criterion based on the applicant's median household income compared to the statewide median household income. This helps assure lower income communities have access to the Loan Fund. KDHE is also member of the Kanas Interagency Advisory Committee (KIAC) which provides project funding information to systems seeking Community Development Block Grants (CDBG). The additional subsidy required by grant conditions is not limited to disadvantaged systems.*

- O.** The state must designate a group of loans equal to the capitalization grant amount that will be reported in compliance with the Federal Funding Accountability and Transparency Act (FFATA).

*Exhibit 15 shows loans and set-aside contracts that have been assigned to FFATA reporting in relation to the 2010, 2012, 2013, 2014, 2015, and 2016 capitalization grants. The loans and contracts reported for the 2011 grant have been finalized and closed so they will no longer be reported. KDHE expects the loans and contracts reported for the 2010, 2012, and 2013 capitalization grants will be finalized and closed during FY 2017.*

*Starting with the 2014 capitalization grant the FFATA and Single Audit listing consist of the same loans and are referred to as equivalency projects. The 2015 and 2016 capitalization grant equivalency project is the loan to the Public Wholesale Water Supply District # 25 for \$19,878,195.*

- P.** The state must indicate whether green projects were funded and what criteria were used.

*The KPWSLF did not execute any loans in FY 2016 that were considered green projects.*

# EXHIBITS

**KANSAS PUBLIC WATER SUPPLY LOAN FUND**  
**(AN ENTERPRISE FUND OF THE STATE OF KANSAS)**

**STATEMENTS OF NET POSITION**  
**UNAUDITED**

	<b>June 30,</b>	
	<b>2016</b>	<b>2015</b>
<b>Assets</b>		
Current assets		
Cash	\$ 73,585,965	\$ 57,200,108
Investment interest receivable	786,494	861,831
Investments	71,217,699	36,289,553
Loan interest receivable	1,691,007	1,774,608
Loans	10,085,806	9,688,738
Other receivables	346,594	521,655
Total current assets	<u>157,713,565</u>	<u>106,336,493</u>
Noncurrent assets		
Restricted cash - loan reserve earnings	7,170	2,614
Investments	26,529,887	70,485,707
Loans	140,568,084	151,590,470
Loan reserve deposits	3,364,638	3,176,550
Debt service reserve funds - investments	9,252,500	9,252,500
Total noncurrent assets	<u>179,722,279</u>	<u>234,507,841</u>
<b>Total Assets</b>	<u>337,435,844</u>	<u>340,844,334</u>
<b>Deferred Outflows of Resources</b>		
Deferred amounts on refunding	9,282,189	10,925,716
<b>Liabilities</b>		
Current liabilities		
Accounts payable and accrued expenses	320,674	495,883
Bond interest payable	1,820,499	1,907,952
Revenue bonds payable, current	9,454,944	10,291,914
Total current liabilities	<u>11,596,117</u>	<u>12,695,749</u>
Noncurrent liabilities		
Loan reserve deposits	3,373,139	3,179,598
Revenue bonds payable, long-term, net	128,154,280	135,609,224
Total noncurrent liabilities	<u>131,527,419</u>	<u>138,788,822</u>
<b>Total Liabilities</b>	<u>143,123,536</u>	<u>151,484,571</u>
<b>Total Net Position</b>	<u>\$ 203,594,497</u>	<u>\$ 200,285,479</u>

**KANSAS PUBLIC WATER SUPPLY LOAN FUND**  
**(AN ENTERPRISE FUND OF THE STATE OF KANSAS)**

**STATEMENTS OF REVENUES, EXPENSES, AND CHANGES IN NET POSITION**  
**UNAUDITED**

	<b>For the Years</b>	
	<b>Ended June 30,</b>	
	<b>2016</b>	<b>2015</b>
<b>Operating Revenues</b>		
Interest on loans	\$ 4,248,846	\$ 4,697,338
Grant revenue	1,225,328	1,511,662
Service fee revenue	541,652	563,559
Other revenue	6,990	162,764
<b>Total Operating Revenues</b>	<b>6,022,816</b>	<b>6,935,323</b>
<b>Operating Expenses</b>		
Program administration - federal	470,499	521,133
Program administration - other federal set-asides	754,829	880,157
Program administration - other	750,481	827,994
Loan principal forgiveness	2,077,439	3,975,907
<b>Total Operating Expenses</b>	<b>4,053,248</b>	<b>6,205,191</b>
<b>Operating Income</b>	<b>1,969,568</b>	<b>730,132</b>
<b>Nonoperating Revenues (Expenses)</b>		
Investment Income		
Bond reserve fund	642,535	694,137
Other investment income	1,939,220	1,506,062
Capital contributions - capitalization grants net of recognized administrative grants	5,172,231	18,799,837
Bond issuance costs	-	(14,196)
Other nonoperating revenues	516,109	514,998
Interest expense - bonds	(6,930,645)	(7,170,990)
<b>Total Nonoperating Revenues (Expenses)</b>	<b>1,339,450</b>	<b>14,329,848</b>
<b>Change in Net Position</b>	<b>3,309,018</b>	<b>15,059,980</b>
<b>Net Position - Beginning of Year</b>	<b>200,285,479</b>	<b>185,225,499</b>
<b>Total Net Position - End of Year</b>	<b>\$ 203,594,497</b>	<b>\$ 200,285,479</b>

**KANSAS PUBLIC WATER SUPPLY LOAN FUND**  
**(AN ENTERPRISE FUND OF THE STATE OF KANSAS)**

**STATEMENTS OF CASH FLOWS**  
**UNAUDITED**

	For the Years Ended June 30,	
	2016	2015
<b>Cash Flows From Operating Activities</b>		
Program administration expenses	\$ (1,225,328)	\$ (1,401,290)
Other revenue	6,845	(61,377)
Service fees received	541,652	562,626
Service fees paid	(750,481)	(624,233)
Capitalization grant for administrative costs	1,225,328	1,511,662
<b>Net Cash Used In Operating Activities</b>	<b>(201,984)</b>	<b>(12,612)</b>
<b>Cash Flows From Noncapital Financing Activities</b>		
Bond principal paid	(9,510,000)	(7,290,000)
Bond interest paid	(6,156,485)	(6,471,938)
Capitalization grant for loans	5,172,231	18,799,837
Bond proceeds, including premium	2,000,000	2,200,000
Payment to bond escrow agent	-	(1,165,000)
Bond issuance costs	-	(14,196)
Other nonoperating expenses	516,109	514,998
<b>Net Cash Provided By (Used In) Noncapital Financing Activities</b>	<b>(7,978,145)</b>	<b>6,573,701</b>
<b>Cash Flows From Investing Activities</b>		
Loan principal collected	\$ 24,016,317	\$ 23,939,387
Loans disbursed	(15,468,440)	(34,694,597)
Interest received on loans	4,332,448	4,862,673
Proceeds from sales and maturities of investments	55,952,086	68,785,820
Purchase of investments	(46,872,263)	(79,098,082)
Interest received on investments and debt service reserve funds	2,604,942	2,085,327
Net change in loan reserve accounts	5,452	1,178
Loan reserve deposits	188,088	(320,827)
<b>Net Cash Provide By (Used In) Investing Activities</b>	<b>24,758,630</b>	<b>(14,439,121)</b>
<b>Net Increase (Decrease) In Cash</b>	<b>16,578,501</b>	<b>(7,878,032)</b>
<b>Cash - Beginning Of Year</b>	<b>60,379,272</b>	<b>68,257,304</b>
<b>Cash - End Of Year</b>	<b>\$ 76,957,773</b>	<b>\$ 60,379,272</b>
<b>Cash consists of:</b>		
Cash	\$ 73,585,965	\$ 57,200,108
Restricted cash - loan reserve earnings	7,170	2,614
Loan reserve deposits	3,364,638	3,176,550
	<b>\$ 76,957,773</b>	<b>\$ 60,379,272</b>
<b>Reconciliation of Operating Income To Net Cash Provided By Operating Activities</b>		
Operating income	\$ 1,969,568	\$ 730,132
Adjustments to reconcile operating income to net cash provided by operating activities:		
Loans receivable	10,625,316	(6,779,303)
Loan interest receivable	83,601	165,335
Other receivables	175,065	(254,173)
Accounts payable and accrued expenses	(175,209)	232,860
Effect of changes in operating assets and liabilities		
Loan principal collected	(24,016,317)	(23,939,387)
Loans disbursed	15,468,440	34,694,597
Interest received on loans	(4,332,448)	(4,862,673)
<b>Net Cash (Used In) Operating Activities</b>	<b>\$ (201,984)</b>	<b>\$ (12,612)</b>

**STATE OF KANSAS  
PUBLIC WATER SUPPLY LOAN FUND  
Supplemental Schedules  
June 30, 2016**

*Schedule of Investments*

Purchase Date	Maturity Date	Provider	Amount	Interest Rate
07/14/2000	04/01/2023	AIG Matched Funding Corporation	\$ 13,375,036	4.866%
05/02/2002	04/01/2024	Citigroup	9,651,214	6.971%
03/09/2016	09/06/2016	Abbey National NA LLC	1,415,745	0.000%
05/19/2016	02/13/2017	Abbey National NA LLC	1,978,579	0.000%
05/19/2015	04/01/2017	Anchorage Alaska	58,292	3.897%
12/19/2014	07/01/2016	Arizona Brd Regents Ariz St	259,495	4.012%
04/07/2015	07/01/2017	Arizona Brd Regents Ariz St	234,208	1.119%
05/15/2016	11/01/2016	Arkansas Univ	400,144	0.870%
08/14/2014	10/01/2016	Augusta Maine	430,413	1.000%
04/30/2014	05/01/2017	Avondale MI School District	2,520,225	1.750%
12/08/2015	01/15/2017	Camden County NJ Impr Auth	474,003	1.370%
07/24/2015	01/01/2017	Colorado River Tx Muni Water District	1,010,500	2.625%
10/24/2014	05/15/2017	Colorado St Housing & Fin Auth	368,803	1.850%
09/29/2014	12/01/2017	Cook County IL Community Cons 12/17	208,580	4.191%
04/26/2016	11/22/2016	Credit Suisse First NY	977,206	0.000%
04/26/2016	01/18/2017	Credit Suisse First NY	1,624,260	0.000%
03/17/2014	07/01/2016	Florida Hurricane Catastrophe Fund	3,062,335	0.130%
05/27/2014	07/01/2016	Florida Hurricane Catastrophe Fund	410,000	0.130%
05/28/2015	12/01/2016	Grain Valley Missouri	702,648	1.000%
02/11/2016	07/15/2016	Indiana St Bond Bank	134,995	0.600%
03/08/2016	07/08/2016	ING Funding LLC	5,986,783	0.000%
03/09/2016	09/06/2016	ING Funding LLC	1,413,329	0.000%
09/28/2015	07/01/2016	Iowa Fin Ath Single Family Mtg Rev	890,000	0.740%
09/28/2015	01/01/2017	Iowa Fin Ath Single Family Mtg Rev	1,850,295	1.272%
09/28/2015	07/01/2017	Iowa Fin Ath Single Family Mtg Rev	1,888,328	1.372%
10/22/2014	10/01/2017	Jacksonville Florida	406,292	2.327%
11/19/2015	04/01/2017	Kentucky Asset/Liability	74,829	1.408%
04/14/2015	12/01/2016	Lexington SC School District	322,057	1.150%
03/18/2014	12/01/2016	Massachusetts St Hsg Fin Agy	149,757	1.018%
07/16/2014	07/01/2017	Memphis Tennessee	2,057,520	3.716%
03/25/2015	10/01/2016	Mission Health System	200,917	0.900%
06/26/2014	08/01/2016	Napa Valley Community College	1,000,130	0.711%
06/26/2014	08/01/2017	Napa Valley Community College	1,758,978	1.221%
01/25/2016	11/01/2017	New Jersey St Housing	1,506,450	1.360%
06/11/2014	06/15/2017	New Mexico St Fin A	787,386	1.155%
07/17/2014	11/01/2016	New York City NY Hsg Dev	502,255	0.890%
04/29/2015	11/01/2016	New York City NY Hsg Dev	262,263	0.915%
07/17/2014	05/01/2017	New York City NY Hsg Dev	500,235	1.260%
01/06/2014	10/01/2016	New York NY	3,037,052	2.840%
09/22/2014	12/01/2016	New York State Dorm Auth Rev	500,365	0.874%
06/16/2014	03/15/2017	New York State Urban Dev Corp Rev	6,051,300	1.700%
10/28/2015	10/01/2016	North Dakota State Finance	92,644	0.721%
05/20/2014	08/01/2016	Norwalk LA Mirada CA Unif	1,000,400	1.080%
03/03/2016	03/01/2017	Oklahoma City OK Econ Dev	1,155,658	0.900%
01/13/2016	11/01/2016	Orange Cnty Calif Pension Ob	3,007,770	0.938%
01/13/2016	02/01/2017	Orange Cnty Calif Pension Ob	3,002,220	1.088%
01/13/2016	05/01/2017	Orange Cnty Calif Pension Ob	3,005,400	1.188%
01/13/2016	01/01/2017	Oregon St Hsg & Cmnty Svs Dept Mtg	551,139	1.691%
04/23/2015	06/01/2017	Penn Manor Sch Dist PA	116,948	3.807%
05/13/2015	07/01/2036	Puerto Rico Highway	523,460	0.705%
05/12/2015	07/01/2016	Purdue Univ Indiana Univ	219,915	3.158%
12/16/2015	01/01/2017	Renewable Wtr Resources SC	182,947	4.320%
12/19/2013	10/01/2016	Rhode Island St Housing & Mtge Fin	617,969	1.270%

Schedule of Investments

Purchase Date	Maturity Date	Provider	Amount	Interest Rate
12/30/2014	10/01/2016	Rhode Island St Housing & Mtge Fin	328,942	1.064%
10/30/2014	04/01/2017	Rhode Island St Housing & Mtge Fin	301,203	1.490%
12/30/2014	10/01/2017	Rhode Island St Housing & Mtge Fin	236,011	1.645%
05/29/2014	08/01/2016	Riverside CA Community College	2,165,563	0.868%
05/24/2016	10/01/2016	Salt Lake City UT Sales & Excise Tax Rev	108,051	0.600%
04/08/2014	08/01/2016	Solano California Community College Dist	2,020,255	0.862%
10/21/2014	08/01/2016	South Dakota Conservancy Dist	1,000,260	1.013%
12/15/2015	08/01/2016	South Dakota Conservancy Dist	1,000,120	0.700%
10/21/2014	08/01/2017	South Dakota Conservancy Dist	754,950	1.150%
04/30/2015	11/01/2016	South Dakota Housing Dev Auth	262,119	0.900%
04/30/2015	05/01/2017	South Dakota Housing Dev Auth	988,931	1.028%
04/30/2015	11/01/2017	South Dakota Housing Dev Auth	1,157,239	1.128%
01/27/2015	05/15/2017	Texas A&M University	3,011,910	1.025%
12/16/2014	10/01/2016	Texas Children's Hospital	1,471,797	0.870%
03/09/2016	12/02/2016	Toyota Motor Credit Corp	1,411,656	0.000%
02/19/2014	04/01/2017	University of Illinois	376,214	1.285%
08/12/2015	12/01/2016	University of NC Chapel Hill	380,707	1.225%
07/02/2014	05/01/2017	Van Buren MI Public Schools	788,899	0.478%
10/21/2014	05/01/2017	Van Buren MI Public Schools	515,620	0.478%
05/26/2016	02/01/2017	Virginia State Clg Bldg Auth	242,921	0.800%
03/19/2015	07/01/2016	Virginia State Port Authority	92,635	1.019%
03/19/2015	07/01/2017	Virginia State Port Authority	1,190,885	1.119%
09/17/2014	08/01/2016	Virginia State Public Bldg Auth	1,200,132	0.600%
09/17/2014	08/01/2017	Virginia State Public Bldg Auth	1,222,375	1.150%
05/28/2016	11/01/2016	Virginia State Resources	211,086	0.915%
11/20/2013	11/01/2016	Virginia State Resources	93,897	1.000%
11/20/2013	11/01/2016	Virginia State Resources	38,887	1.150%
11/20/2013	11/01/2017	Virginia State Resources	91,675	1.600%
11/20/2013	11/01/2017	Virginia State Resources	42,646	1.750%
03/30/2015	06/30/2017	Washington Multnomah Counties	372,831	1.266%
<b>Total Investments</b>			<u>\$ 107,000,086</u>	

Schedule of Accounts Receivable

ADB Earnings	\$ 28,174	
Accrued Loan Principal Repayments	10,085,806	
Accrued Interest on Loans	1,691,007	
Accrued Service Fees on Loans	217,902	
ACH Takedowns Receivable	100,518	
		12,123,407
<i>Investment Earnings:</i>		
AIG Matched Funding Corp.	308,201	
Citigroup	155,238	
Program Equity - Investments	323,055	
		786,494
<b>Total Accounts Receivable</b>		<b>\$ 12,909,901</b>

Schedule of Accounts Payable

Payroll	87,975	
Bond Interest	1,820,499	
FASA Fees & Training & Technical Assistance	84,125	
Service Fee Expenses	97,698	
		2,090,297
<i>Set-aside Payables:</i>		
Other Authorized Activities 1452K (1)b	50,876	
		50,876
<i>Loan Reserve Accounts Payable:</i>		
Clay Co. RWD #2	25,601.32	
Clay Co. RWD #2 - Earnings	65.53	
Cloud Co. RWD #1	43,586.65	
Cloud Co. RWD #1 - Earnings	111.59	
Cowley Co. RWD #3	103,645.21	
Cowley Co. RWD #3 - Earnings	125.46	
Crawford Co. RWD #5	57,965.14	
Crawford Co. RWD #5 - Earnings	148.39	
Dickinson County RWD #1	23,751.71	
Dickinson County RWD #1 - Earnings	60.81	
Dickinson County RWD #2	103,772.35	
Dickinson County RWD #2 - Earnings	265.65	
Franklin County RWD #5	57,507.94	
Franklin County RWD #5 - Earnings	130.83	
Geary Co. RWD #4	38,396.54	
Geary Co. RWD #4 - Earnings	98.31	
Harper Co. RWD #2	54,906.39	
Harper Co. RWD #2 - Earnings	140.58	
Jackson Co. RWD #3	97,511.06	
Jackson Co. RWD #3 - Earnings	249.63	
Lyon County RWD #1.2	19,788.66	
Lyon County RWD #1.2 - Earnings	50.68	
Marion Co. Improvement District #2	52,679.91	
Marion Co. Improvement District #2 - Earnings	134.87	
Marion Co. RWD #1	32,852.20	
Marion Co. RWD #1 - Earnings	84.10	
Marshall Co. RWD #3.3	30,269.50	
Marshall Co. RWD #3.3 - Earnings	212.29	
Osage Co. RWD #7	82,927.83	
Osage Co. RWD #7 - Earnings	77.50	
Ottawa Co. RWD #2	73,075.83	
Ottawa Co. RWD #2 - Earnings	209.26	
Public Wholesale Water Supply Dist. #4.4	134,112.61	
Public Wholesale Water Supply Dist. #4.4 - Earnings	364.92	
Public Wholesale Water Supply Dist. #17	333,445.50	

*Loan Reserve Accounts Payable: cont.*

Public Wholesale Water Supply Dist. #17 - Earnings	853.62	
Reno Co. RWD #1	5,131.27	
Reno Co. RWD #1 - Earnings	13.13	
Sedgwick Co. RWD #2	105,037.61	
Sedgwick Co. RWD #2 - Earnings	268.88	
Sedgwick Co. RWD #2.2	36,093.57	
Sedgwick Co. RWD #2.2 - Earnings	92.40	
Smith Co. RWD #1	14,005.14	
Smith Co. RWD #1 - Earnings	35.86	
Topeka 1	782,372.45	
Topeka 1 - Earnings	2,002.85	
Topeka 2	205,593.29	
Topeka 2 - Earnings	526.33	
Topeka 3	312,735.25	
Topeka 3 - Earnings	800.60	
Topeka 4	154,502.53	
Topeka 4 - Earnings	395.53	
Topeka 5	180,662.83	
Topeka 5 - Earnings	462.48	
Topeka 6	202,707.38	
Topeka 6 - Earnings	518.92	3,373,139

**Total Accounts Payable**

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**\$ 5,514,312**

STATE OF KANSAS  
KANSAS PUBLIC WATER SUPPLY LOAN FUND

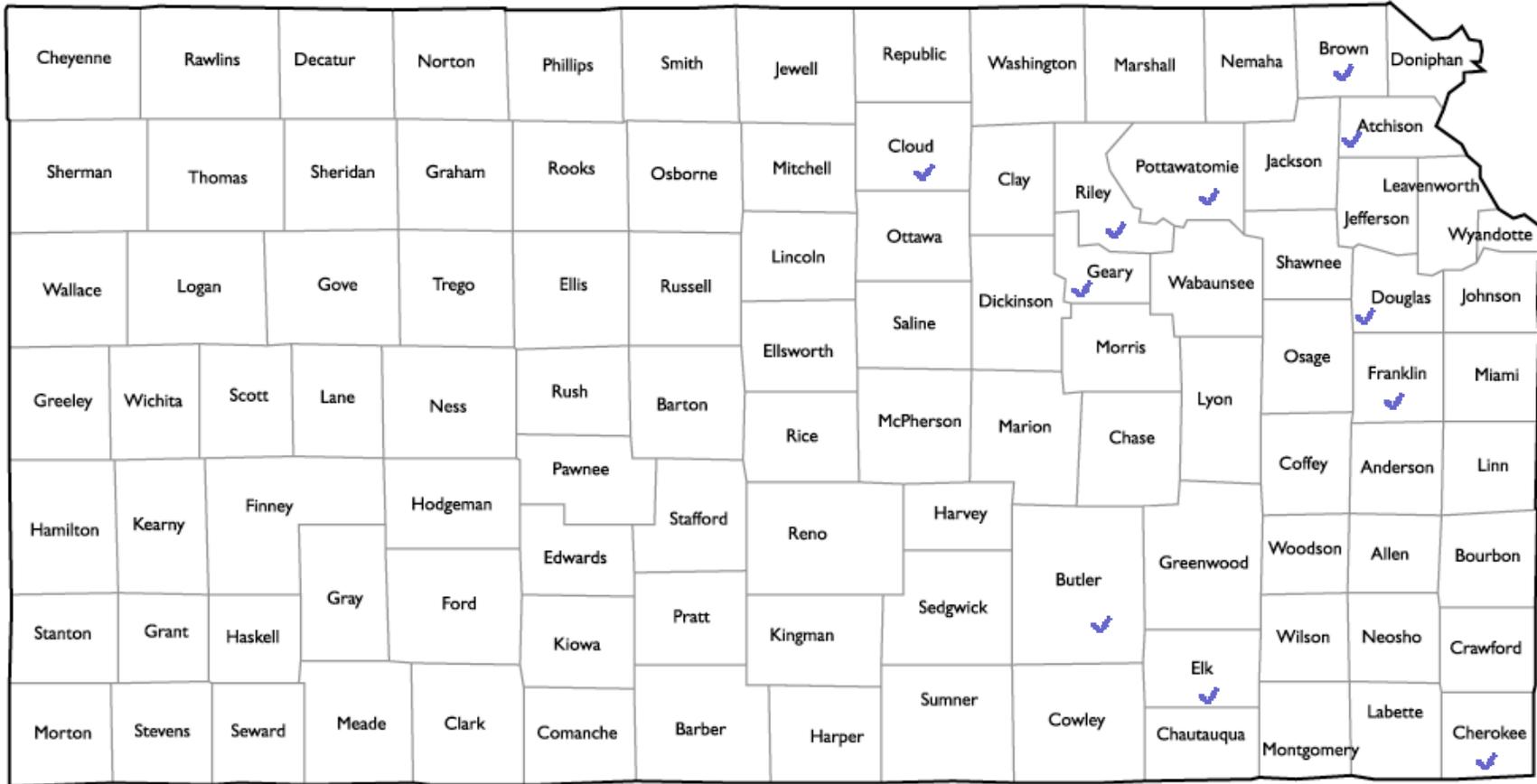
BINDING COMMITMENTS

EXHIBIT 5A

COMMUNITIES SERVED	POPULATION/ POPULATION SERVED		PROJECT NUMBER	ASSIST AMOUNT	BINDING COMMIT DATE	INT RATE	DATE LOAN MATURES	BINDING COMMITMENTS - FY 16 BY QUARTER			
								QTR 1	QTR 2	QTR 3	QTR 4
New Loans											
Galena	2,994	/ 2,994	2886	\$487,616.00	01-Jul-15	2.17%	01-Feb-26	\$487,616.00			
Belvue	202	/ 202	2858	\$300,751.00	01-Jul-15	2.17%	01-Aug-16	\$300,751.00			
Atchison	10,925	/ 16,181	2890	\$759,000.00	01-Jul-15	2.17%	01-Aug-36	\$759,000.00			
Hiawatha	3,178	/ 5,079	2849	\$6,700,000.00	27-Jul-15	2.21%	01-Aug-17	\$6,700,000.00			
Butler Co. RWD #6	2,586	/ 4,095	2815	\$2,100,000.00	28-Oct-15	2.26%	01-Feb-37		\$2,100,000.00		
Junction City	24,665	/ 26,551	2893	\$13,235,000.00	04-Dec-15	2.22%	01-Aug-37		\$13,235,000.00		
Miltonvale	526	/ 526	2901	\$510,134.00	21-Dec-15	2.22%	01-Feb-37		\$510,134.00		
Ottawa	12,403	/ 15,768	2877	\$3,262,500.00	22-Feb-16	2.13%	01-Feb-37			\$3,262,500.00	
Manhattan	56,078	/ 59,622	2895	\$7,326,270.00	22-Feb-16	2.13%	01-Feb-38			\$7,326,270.00	
Moline	344	/ 344	2892	\$2,100,000.00	05-May-16	2.00%	01-Feb-18				\$2,100,000.00
Public Wholesale WSD #25	2	/ 7,542	2894	\$19,878,195.00	09-May-16	2.00%	01-Aug-18				\$19,878,195.00
Amendments to Existing Loans											
Lyon County RWD #1	1,595	/ 1,595	2710	(\$1,475,352.42)	01-Jul-15	2.23%	01-Aug-34	(\$1,475,352.42)			
Smith Center	1,656	/ 1,656	2774	(\$307,629.46)	01-Jul-15	2.16%	01-Aug-34	(\$307,629.46)			
Harper	1,463	/ 1,800	2772	(\$765,840.18)	01-Jul-15	2.23%	01-Aug-34	(\$765,840.18)			
Osage Co. RWD #7	1,430	/ 2,031	2652	(\$69,932.72)	01-Jul-15	2.74%	01-Feb-35	(\$69,932.72)			
Pittsburg	20,360	/ 20,360	2794	(\$445,407.85)	01-Jul-15	2.49%	01-Feb-30	(\$445,407.85)			
Cottonwood Falls	911	/ 911	2792	\$70,000.00	08-Jul-15	2.25%	01-Aug-34	\$70,000.00			
Geneseo	267	/ 267	2754	(\$134,684.52)	17-Jul-15	2.42%	01-Feb-33	(\$134,684.52)			
Oberlin	1,760	/ 1,760	2775	(\$2,641,485.58)	27-Jul-15	2.37%	01-Feb-35	(\$2,641,485.58)			
Russell	4,497	/ 4,497	2791	(\$141,365.26)	02-Sep-15	2.23%	01-Feb-34	-141365.26			
Dickinson Co. RWD #2	1,560	/ 1,560	2518	(\$63,018.49)	02-Sep-15	2.43%	01-Feb-24	(\$63,018.49)			
Atchison	10,925	/ 16,181	2890	\$94,339.00	16-Sep-15	2.17%	01-Aug-36	\$94,339.00			
Bonner Springs	7,346	/ 7,346	2453	(\$19,973.70)	30-Sep-15	2.25%	01-Feb-34	(\$19,973.70)			
North Newton	1,759	/ 1,759	2805	(\$74,980.53)	01-Oct-15	2.78%	01-Aug-35		(\$74,980.53)		
Cottonwood Falls	911	/ 911	2792	(\$3,123.63)	19-Oct-15	2.25%	01-Aug-34		(\$3,123.63)		
Bel Aire	6,806	/ 6,806	2790	(\$15,047.26)	19-Oct-15	2.16%	01-Feb-34		(\$15,047.26)		
Richmond	463	/ 463	2857	(\$2,774.44)	03-Dec-15	2.65%	01-Aug-35		(\$2,774.44)		
Public Wholesale Water Supply District	11,490	/ 11,490	2768	(\$1,520,507.91)	03-Dec-15	2.23%	01-Aug-34		(\$1,520,507.91)		
Marysville	3,294	/ 3,294	2734	(\$104,131.66)	03-Dec-15	2.42%	01-Feb-33		(\$104,131.66)		
Easton	255	/ 255	2809	(\$16,627.03)	04-Jan-16	2.70%	01-Feb-36			(\$16,627.03)	
Scranton	703	/ 703	2854	(\$32,445.92)	04-Jan-16	2.65%	01-Aug-35			(\$32,445.92)	
Lakin	2,123	/ 2,123	2564	(\$314,111.11)	14-Jan-16	3.50%	01-Feb-32			(\$314,111.11)	
Atchison	10,925	/ 16,181	2890	\$183,216.73	13-Apr-16	2.17%	01-Aug-36				\$183,216.73
Belvue	202	/ 202	2858	(\$13,727.66)	25-Apr-16	2.17%	01-Aug-16				(\$13,727.66)
Bird City	446	/ 446	2803	(\$74,002.11)	26-Apr-16	2.25%	01-Feb-35				(\$74,002.11)

Total Binding Commitments	\$48,770,852.29	\$2,347,015.82	\$14,124,568.57	\$10,225,585.94	\$22,073,681.96
Cumulative Binding Commitments	\$644,419,459.97	\$597,995,623.50	\$612,120,192.07	\$622,345,778.01	\$644,419,459.97

Total Grant Payments and State Match (excluding set asides) as of June 30, 2016 \$246,580,160



KPWSLF  
 Projects Funded in FY2016  
 11 New Projects—\$56,659,466

**STATE OF KANSAS**  
**PUBLIC WATER SUPPLY LOAN FUND**  
**SCHEDULE OF LOAN DISBURSEMENTS, RECORDED EXPENSES FOR SET ASIDES, AND ACH DRAWS FOR SET ASIDES**  
For the Year Ended June 30, 2016

DESCRIPTION	BEGINNING AVAILABLE BALANCE	1ST Q -FY16	2ND Q -FY16	3RD Q -FY16	4TH Q -FY16	FY16 TOTAL	ADDITIONS/ SUBTRACTIONS	ENDING AVAILABLE BALANCE
LOAN ACCOUNT DISBURSEMENTS								
STATE MATCH LOAN ACCOUNT	-	-	237,469	1,762,531	-	2,000,000	2,000,000	-
DIRECT LOAN ACCOUNT	5,434,271	5,172,231	-	-	-	5,172,231	7,600,550	7,862,590
PROGRAM EQUITY LOAN ACCOUNT	99,276,915	3,341,529	2,399,045	1,189,297	1,366,338	8,296,209	7,561,545	98,542,251
GENERAL LOAN ACCOUNT	3,000,000	-	-	-	-	-	-	3,000,000
<b>TOTAL LOAN ACCOUNT DISBURSEMENTS</b>		<b>8,513,760</b>	<b>2,636,514</b>	<b>2,951,828</b>	<b>1,366,338</b>	<b>15,468,440</b>		
RECORDED EXPENSES FOR SET ASIDES								
ADMINISTRATION - FEDERAL		96,830	139,423	99,622	134,624	470,499		
TECHNICAL ASSISTANCE		-	63,981	38,872	44,229	147,082		
STATE PROGRAM MANAGEMENT		32,965	40,460	23,799	195,441	292,665		
OTHER AUTHORIZED ACTIVITIES 1452K		50	80,355	195,243	39,434	315,082		
<b>TOTAL RECORDED EXPENSES FOR SET ASIDES</b>		<b>129,845</b>	<b>324,219</b>	<b>357,536</b>	<b>413,728</b>	<b>1,225,328</b>		
ACH DRAWS FOR SET ASIDES								
ADMINISTRATION - FEDERAL	637,825	124,843	139,423	99,465	107,528	471,259	565,740	732,306
TECHNICAL ASSISTANCE	358,704	52,464	63,981	38,872	44,229	199,546	188,140	347,298
STATE PROGRAM MANAGEMENT	569,351	45,671	40,460	23,799	173,051	282,981	284,190	570,560
OTHER AUTHORIZED ACTIVITIES 1452K	537,520	55,219	192,044	195,243	20,638	463,144	613,314	687,690
<b>TOTAL ACH DRAWS FOR SET ASIDES</b>		<b>278,197</b>	<b>435,908</b>	<b>357,379</b>	<b>345,446</b>	<b>1,416,930</b>		

STATE OF KANSAS  
 KANSAS PUBLIC WATER SUPPLY LOAN FUND  
 SCHEDULE OF COMPLETED PROJECTS - FY2016

EXHIBIT 7A

Communities Served	Project Number	Assistance Amount	Binding Commitment Date	Construction Start Date	Initiation of Operation Date	(a) Interest Rate on Loan	Date Loan Matures
Belvue	2858	\$300,751.00	7/1/2015	31-Aug-15	14-Mar-16	2.17%	01-Aug-16
Beverly	2781	\$154,782.00	12/10/2012	10-Oct-14	07-Nov-15	2.16%	01-Aug-35
Bird City	2803	\$510,000.00	10/8/2012	13-Feb-15	17-Nov-15	2.25%	01-Feb-35
Chanute	2837	\$424,000.00	9/3/2013	21-Oct-14	17-May-16	2.74%	01-Aug-34
Dickinson Co. RWD #2	2518	\$1,037,723.51	12/21/2011	17-Oct-14	15-Jul-15	2.43%	01-Feb-24
Easton	2809	\$651,772.97	4/1/2014	27-Apr-15	16-May-16	2.70%	01-Feb-36
Marysville	2734	\$547,952.34	1/9/2012	10-Oct-12	15-Dec-15	2.42%	01-Feb-33
Moundridge	2795	\$1,060,000.00	10/3/2013	15-Sep-14	01-Dec-15	2.49%	01-Aug-35
North Newton	2805	\$3,097,000.00	1/13/2014	11-Aug-14	12-Oct-15	2.78%	01-Aug-35
Oberlin	2775	\$4,758,514.42	7/18/2013	15-Oct-13	26-Apr-16	2.37%	01-Feb-35
Public Wholesale Water Supply District #4	2768	\$1,341,126.09	11/26/2012	20-Jul-14	22-Dec-15	2.23%	01-Aug-34
Richmond	2857	\$741,080.56	5/5/2014	13-Apr-15	29-May-16	2.65%	01-Aug-35
Scranton	2854	\$1,199,627.08	5/5/2014	04-May-15	10-Feb-16	2.65%	01-Aug-35
White Cloud	2784	\$245,745.00	12/10/2012	15-Sep-14	10-Nov-15	2.16%	01-Aug-34

Total

\$16,070,074.97

(a) Includes .35% Service Fee

STATE OF KANSAS  
 KANSAS PUBLIC WATER SUPPLY LOAN FUND  
 SCHEDULE OF PROJECTS STARTED - FY2016

EXHIBIT 7B

Communities Served	Project Number	Assistance Amount	Binding Commitment Date	Construction Start Date	(a) Interest Rate on Loan	Date Loan Matures
Atchison	2890	\$1,036,555.73	7/1/2015	01-Dec-15	2.17%	01-Aug-36
Belvue	2858	\$300,751.00	7/1/2015	31-Aug-15	2.17%	01-Aug-16
Cowley Co. RWD #3	2852	\$1,995,944.00	7/11/2014	01-Sep-15	2.60%	01-Feb-36
Herndon	2838	\$379,549.00	10/28/2013	27-Apr-16	2.49%	01-Aug-35
Nemaha Co RWD #3	2856	\$2,092,744.00	1/5/2015	17-Aug-15	2.31%	01-Feb-36
Ulysses	2874	\$2,373,922.00	3/6/2015	14-Mar-16	2.13%	01-Feb-36
Total		\$8,179,465.73				

(a) Includes .35% Service Fee

STATE OF KANSAS  
PUBLIC WATER SUPPLY LOAN FUND  
Cumulative Project Status  
As of June 30, 2016

Borrower Name	Project No.	Loan Agreement Commitment	Total Loan Payments	Balance of Loan Commitment	Project Description	Municipal* Population / Population Served	Small* System State/Fed	County	Financial Integrity Assurance Contract
Abilene	2001	\$ 1,400,000.00	\$ 1,400,000.00	\$ -	Construction of a water treatment plant to remove nitrate and excess iron and manganese from the source water	6,468 / 7,700	no / yes	Dickinson	no
Alma	2002	\$ 481,206.39	\$ 481,206.39	\$ -	Construction of approximately 1 mile of 8 inch pipe and a 200,000 gallon elevated storage tank	872 / 872	yes / yes	Wabaunsee	no
Almena	2392	\$ 615,500.00	\$ 615,500.00	\$ -	Installation of approximately 2,800 linear feet of 6 inch pipe for system looping, and appurtenances	469 / 469	yes / yes	Norton	no
Argonia	2702	\$ 2,076,718.00	\$ 43,432.10	\$ 2,033,285.90	Construction of a new water treatment plant to resolve nitrate and arsenic issues	494 / 814	yes / yes	Sumner	no
Arkansas City	2649	\$ 1,226,071.25	\$ 1,226,071.25	\$ -	Install approximately 26,000 feet of waterline and all related appurtenances.	11,416 / 12,516	no / no	Cowley	no
Arkansas City 2	2813	\$ 22,000,000.00	\$ -	\$ 22,000,000.00	Construction of a new water treatment facility.	12,340 / 13,617	no / no	Cowley	no
Arlington	2385	\$ 526,728.52	\$ 526,728.52	\$ -	Construction of two new wells, the associated connecting pipe, and replace deteriorated distribution pipe	440 / 440	yes / yes	Reno	no
Assaria	2182	\$ 489,000.00	\$ 489,000.00	\$ -	Construction of a new water supply well, 75,000 gallon elevated storage tank, approximately 5,650 linear feet of 6 inch pipe, and appurtenances	380 / 380	yes / yes	Saline	no
Atchison	2552	\$ 3,751,111.57	\$ 3,751,111.57	\$ -	Replacement of over 24,000 feet of deteriorated water line and construction of a new pump station with emphasis on energy efficiency	10,145 / 11,230	no / no	Atchison	no
Atchison 2	2745	\$ 3,224,692.17	\$ 3,224,692.17	\$ -	Replace an existing pumpstation with increased pumping capacity, and construct a new elevated water treatment plant site.	11,021 / 15,926	no / no	Atchison	no
Atchison 3	2566	\$ 9,594,373.00	\$ 8,888,891.84	\$ 705,481.16	Construction of an additional treatment process in order to lower crypto & TOC concentrations, the replacement of approximately 1,000 feet of existing main with larger size, and construction of a 1.5 million gallon elevated storage tank on the water treatment plant site.	11,021 / 15,926	no / no	Atchison	no
Atchison 4	2890	\$ 1,036,555.73	\$ 112,780.12	\$ 923,775.61	This project will rehabilitate the existing water treatment plant filters to accommodate air scouring and conversion to biologically active filters.	10,925 / 16,181	no / no	Atchison	no
Baldwin City	2321	\$ 1,599,236.21	\$ 1,599,236.21	\$ -	Construction of 2 elevated .75 MG storage tanks, 7,115 linear feet of 6, 8, and 12 inch water main, demolition of ground storage tank, and installation of telemetry for new tanks	3,503 / 9,044	yes / yes	Douglas	no
Baxter Springs	2009	\$ 2,900,000.00	\$ 2,900,000.00	\$ -	Renovation and expansion of existing water treatment plant, adding one clarifying flocculator, one chlorine contact basin, renovating filters, chemical feed, electrical controls and a laboratory	4,351 / 4,351	yes / yes	Cherokee	no
Bel Aire	2790	\$ 843,894.74	\$ 843,894.74	\$ -	Water line improvements around Edgemorr Street between 41st and 45th Streets North. The project also consists of the replacement of 2,378 water meters with new radio-read meters.	6,806 / 6,806	no / yes	Sedgwick	no

STATE OF KANSAS  
PUBLIC WATER SUPPLY LOAN FUND  
Cumulative Project Status  
As of June 30, 2016

Borrower Name	Project No.	Loan Agreement Commitment	Total Loan Payments	Balance of Loan Commitment	Project Description	Municipal* Population / Population Served	Small* System State/Fed	County	Financial Integrity Assurance Contract
Beloit	2760	\$ 515,000.00	\$ 515,000.00	\$ -	Replace approximately 2,000 residential and commercial water meters and upgrade them to have automated meter reading capabilities.	3,835 / 4,180	yes / yes	Mitchell	no
Belvue	2858	\$ 287,023.34	\$ 287,023.34	\$ -	This project will construct a 4" PVC transmission main to connect to Pottawatomie County Rural Water District No. 4. The loan will also fund the associated connection fee.	202 / 202	yes yes	Pottawatomie	no
Bentley	2531	\$ 370,333.11	\$ 370,333.11	\$ -	Construction of approximately 3,100 feet of pipeline, a new pump station, and disinfection treatment facility	496 / 496	yes / yes	Sedgwick	no
Beverly	2781	\$ 154,782.00	\$ 154,782.00	\$ -	Development of a new well field, which has been proven adequate through test drills to yield a much better quality of water and will also have sufficient capacity for the city's relatively small usage.	161 / 161	yes / yes	Lincoln	yes
Bird City	2803	\$ 435,997.89	\$ 435,997.89	\$ -	Replace existing waterlines along Burr St. and 1st St, replace all meters with meters that have automatic reading capabilities, install valves within the existing distribution system, install variable frequency drives at existing wells, and rehabilitate the existing elevated storage tank.	446 / 446	yes / yes	Cheyenne	no
Blue Rapids	2544	\$ 211,584.79	\$ 211,584.79	\$ -	Construction of a ground storage water tank that will replace an existing tank	1,041 / 1,041	yes / yes	Marshall	no
Bonner Springs	2453	\$ 880,026.30	\$ 880,026.30	\$ -	Construction of a settling tank for water treatment plant backwash water and replacement of well #5, including the well house.	7,346 / 7,346	no / yes	Wyandotte	no
Brown Co. RWD #2	2487	\$ 130,046.61	\$ 130,046.61	\$ -	Construction of a booster pump station and connection to an existing distribution system	724 / 1,083	yes / yes	Brown	yes
Buhler	2701	\$ 1,330,171.92	\$ 1,330,171.92	\$ -	Expand the water treatment plant to add treatment processes to remove arsenic, iron, and manganese, rehabilitate the existing water tower, replace the existing storage pumps, and construct a sewer line to transport the waste from the new treatment processes	1,340 1,340	yes / yes	Reno	no
Burlington	2231	\$ 5,059,982.26	\$ 5,059,982.26	\$ -	Construction of a water treatment plant	2,765 / 5,640	yes / yes	Coffey	no
Butler County RWD #5	2500	\$ 400,000.00	\$ 400,000.00	\$ -	Establish a new pressure zone by installing a booster pump station, valve by-pass connections for pressure relief, and loops to resolve pressure issues outside of the new pressure zone, and replacement of residential meters	4,000 / 5,355	yes / yes	Butler	yes
Butler County RWD #6	2815	\$ 2,100,000.00	\$ -	\$ 2,100,000.00	The project consists of a booster pumpstation upgrade, installation of new pipelines associated with the booster pumpstation, installation of an automated meter reading system, and water tower rehabilitation.	2,586 / 4,095	yes yes	Butler	yes
Butler County RWD #8	2018	\$ 816,871.25	\$ 816,871.25	\$ -	Construction of a 200,000 gallon elevated storage tank and 7 miles of 6 and 10 inch water lines	928 / 928	yes / yes	Butler	yes

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Caney	2491	\$ 1,344,166.58	\$ 1,344,166.58	\$ -	Rehabilitate the existing chlorine contact basin into a presettling basin, recommission an abandoned chlorine contact basin, change various chemical feed points, and reroute the water treatment plant yard piping	1,975 / 1,975	yes / yes	Montgomery	no
Carbondale	2186	\$ 1,350,459.61	\$ 1,350,459.61	\$ -	Replacement of 34,040 feet of 4 and 8 inch water mains, installation of 45 fire hydrants and 139 gate valves, and a new water tower	1,526 / 4,666	yes / yes	Osage	no
Carbondale 2	2413	\$ 2,617,293.70	\$ 2,617,293.70	\$ -	Construction of a new membrane filter water treatment system and replacement of the 6 inch asbestos cement finished water transmission main with 8 inch PVC	1,439 / 4,839	yes / yes	Osage	yes
Chanute	2022	\$ 1,750,000.00	\$ 1,750,000.00	\$ -	Construction of a 1 MG elevated water storage tank, approximately 2,900 linear feet of 10 and 12 inch waterlines, plant upgrades and automation	9,082 / 11,714	no / no	Neosho	no
Chanute 2	2364	\$ 632,556.99	\$ 632,556.99	\$ -	Replacement of a 3,400 foot section of 10 inch cast iron water line with PVC	9,217 / 11,714	no / no	Neosho	no
Chanute 3	2722	\$ 112,638.60	\$ 112,638.60	\$ -	Replace windows, raw water meters, and boiler at the water treatment plant as recommended by energy audit	8,843 / 10,875	no / no	Neosho	no
Chanute 4	2837	\$ 424,000.00	\$ 404,359.11	\$ 19,640.89	Replace the existing intake structure screens, pipe and valves with materials resistant to the accumulation of zebra mussels and also install a bridge crane system to access the pumps for servicing.	9,161 / 9,931	no / yes	Neosho	no
Cheney	2556	\$ 601,493.73	\$ 601,493.73	\$ -	Replacement of approximately 8,500 feet of water distribution pipe	1,963 / 1,963	yes / yes	Sedgwick	no
Cherokee County RWD #3	2444	\$ 1,738,382.00	\$ 1,738,382.00	\$ -	Construction of a new aeration and detention basin, filters, polymer feed system, high service pumps, new controls, two sludge lagoons and repair an existing basin.	1,625 / 1,773	yes / yes	Cherokee	yes
Cherryvale	2553	\$ 877,525.65	\$ 877,525.65	\$ -	Replacement of approximately one mile of water line, including valves and hydrants, and installation of an automated meter reading system	2,371 / 2,371	yes / yes	Montgomery	no
Chetopa	2188	\$ 1,624,416.46	\$ 1,624,416.46	\$ -	Water treatment plant expansion and renovation including raw water intake, solids contact unit, basin modifications, chemical feeders, filter renovation, plant piping, and controls	1,257 / 1,257	yes / yes	Labette	no
Cimarron	2024	\$ 1,511,421.15	\$ 1,511,421.15	\$ -	Replacement and upgrade of existing deteriorated and undersized water lines with approximately 16,500 feet of new 6, 8 and 10 inch water lines	1,675 / 1,675	yes / yes	Gray	no
Clay Center	2479	\$ 9,689,510.05	\$ 9,689,510.05	\$ -	Construction of a 3.0 MGD reverse osmosis water treatment plant on new property purchased by the city, including transmission and distribution mains needed to connect the plant to the existing wells and distribution system	4,378 / 4,378	yes / yes	Clay	no

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Clay Co. RWD # 2	2530	\$ 256,013.16	\$ 256,013.16	\$ -	Construction of two new wells and approximately 16,000 feet of pipeline to connect to the existing distribution system	950 / 950	yes / yes	Clay	yes
Cloud Co. RWD #1	2327	\$ 435,866.54	\$ 435,866.54	\$ -	Construction of 2 new water supply wells and chlorination building, install telemetry controls, and rehabilitate two standpipes	450 / 450	yes / yes	Cloud	yes
Coffeyville 1	2250	\$ 2,443,456.33	\$ 2,443,456.33	\$ -	Upgrade of present water treatment plant including construction of a rapid mix unit, two automatic sludge blowdowns, holding basin, discharge pump structures, pump, process piping, chemical feed system, and appurtenances	12,031 / 16,755	no / no	Montgomery	no
Coffeyville 2	2026	\$ 418,390.61	\$ 418,390.61	\$ -	Repair existing 190,000 gallon elevated water storage tank, install a recirculating pump and motor operated valve at the base of the tower, construction of 9,500 linear feet of 8 inch water line, and appurtenances	12,031 / 16,755	no / no	Montgomery	no
Coffeyville 3	2267	\$ 467,435.78	\$ 467,435.78	\$ -	Replace approximately 6,200 linear feet of 8 and 12 inch water lines, and related appurtenances	11,021 / 17,314	no / no	Montgomery	no
Coffeyville 4	2583	\$ 663,935.07	\$ 663,935.07	\$ -	Install security cameras and fences, replace valves in the yard piping of the water treatment plant, and replace the components of the up flow clarifier	10,387 / 14,028	no / no	Montgomery	no
Colwich	2204	\$ 3,576,878.83	\$ 3,576,878.83	\$ -	Construction of water supply wells, transmission line, a distribution system, and an elevated storage tank	1,134 / 1,134	yes / yes	Sedgwick	no
Conway Springs	2844	\$ 2,324,310.00	\$ -	\$ 2,324,310.00	Construct a new centralized water treatment plant and connecting pipeline to reduce nitrates.	1,248 / 2,831	yes / yes	Sumner	no
Copeland	2477	\$ 53,824.06	\$ 53,824.06	\$ -	Purchase of automated meter reading system including meters	308 / 308	yes / yes	Gray	yes
Cottonwood Falls	2792	\$ 1,166,876.37	\$ 1,166,876.37	\$ -	Replace approximately 13,000 feet of waterlines and associated service lines and meters.	911 / 911	yes / yes	Chase	yes
Council Grove	2378	\$ 2,258,480.94	\$ 2,258,480.94	\$ -	Construction of a clarification basin, rapid mix basin, and ozone disinfection system, installation of a raw water meter, high service pumps, and modifications to the chemical feed systems and filters, and appurtenances	2,328 / 3,418	yes / yes	Morris	no
Cowley County RWD #3	2852	\$ 1,995,944.00	\$ 1,036,452.09	\$ 959,491.91	Construction of a new water storage facility, demolish exiting storage facilities, replace an existing disinfection facility, and replace approximately 4,000 feet of water lines.	2,500 / 2,500	yes / yes	Cowley	yes
Crawford County RWD #5	2032	\$ 579,651.35	\$ 579,651.35	\$ -	Construction of a new water supply well and treatment plant and approximately 9,000 feet of 2, 2.25, and 4 inch water lines	1,500 / 1,658	yes / yes	Crawford	yes
Crawford County RWD #7	2358	\$ 99,466.33	\$ 99,466.33	\$ -	Installation of approximately 10,900 linear feet of 4 inch water line, construction of a booster pump station, and a 12 foot diameter standpipe	497 / 497	yes / yes	Crawford	yes
Delphos	2763	\$ 83,592.50	\$ 83,592.50	\$ -	Replace water meters and upgrade to have radio read capabilities.	359 / 359	yes / yes	Ottawa	no

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Dickinson County RWD #1	2751	\$ 237,517.07	\$ 237,517.07	\$ -	Installation of 5 main line meters with radio read capabilities to help with leak detection and water loss analysis.	879 / 974	yes / yes	Dickinson	yes
Dickinson County RWD #2	2518	\$ 1,037,723.51	\$ 1,037,723.51	\$ -	Construction of approximately 8 miles of 8 inch pipeline and install new pumps in the existing pump station.	1,560 / 1,560	yes / yes	Dickinson	yes
Dodge City	2229,2230	\$ 4,394,239.21	\$ 4,394,239.21	\$ -	Upgrade the existing water system including the construction of a 1.5 MG elevated storage tank, approximately 700 feet of 12 inch water line, and 11,460 linear feet of 12 and 16 inch water lines.	22,023 / 22,023	no / no	Ford	no
Doniphan County RWD #5	2234	\$ 46,303.60	\$ 46,303.60	\$ -	Replacement of approximately 61,000 linear feet of 1 to 4 inch in diameter water lines	1,320 / 1,487	yes / yes	Doniphan	yes
Douglas County RWD #2	2656	\$ 749,183.41	\$ 749,183.41	\$ -	Construction of approximately 14,000 feet of water transmission line and a 500 gpm booster pumpstation	955 / 955	yes / yes	Douglas	yes
Douglas County RWD #3	2516	\$ 4,987,566.91	\$ 4,987,566.91	\$ -	Construction of 3 public water supply wells and an elevated water storage tank, installation of 5 miles of distribution main, and expand the existing reverse osmosis water treatment plant	3,834 / 6,834	yes / yes	Douglas	yes
Douglas County RWD #4	2274	\$ 857,696.30	\$ 857,696.30	\$ -	Construction of 8 miles of 8 inch water line, booster pump and metering facility	1,663 / 1,663	yes / yes	Douglas	yes
Douglas County RWD #5	2249	\$ 1,158,094.71	\$ 1,158,094.71	\$ -	Construction of approximately 124,650 linear feet of 4, 6, 8, and 10 inch water line, booster pump station, second connection with the City of Lawrence water system, and installation of a master meter with vault	1,580 / 1,580	yes / yes	Douglas	yes
Douglass	2405	\$ 65,843.00	\$ 65,843.00	\$ -	Construction of a well house and purchase of approximately 2,200 linear feet of 8 inch PVC and associated appurtenances	1,797 / 1,797	yes / yes	Butler	no
Downs	2388	\$ 436,872.65	\$ 436,872.65	\$ -	Installation of new resin cells, brine pumps, booster pumps, sand filter, nitrate analyzer, and all related appurtenances to improve nitrate removal in the water treatment plant	1,017 / 1,017	yes / yes	Osborne	no
Easton	2809	\$ 651,772.97	\$ 651,772.97	\$ -	Construction of a new water supply well and improvements to the water treatment plant	255 / 255	yes / yes	Leavenworth	no
Edgerton	2039	\$ 1,141,617.03	\$ 1,141,617.03	\$ -	Construction of a 200,000 gallon elevated storage tank, booster pump station, and approximately 3 miles of 8 inch water line	1,424 / 1,424	yes / yes	Johnson	no
Effingham	2461	\$ 740,812.69	\$ 740,812.69	\$ -	Rehabilitation of existing wells including a new chlorination building, replace deteriorated distribution piping, service lines, and valves, add distribution loops, and construction of a new elevated storage tank	585 / 585	yes / yes	Atchison	no
Ellsworth	2255	\$ 2,041,438.15	\$ 2,041,438.15	\$ -	Upgrade of the existing water treatment plant including installation of draft aerator, and construction of a new solid contact basin	2,600 / 2,600	yes / yes	Ellsworth	no

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Emporia	2041	\$ 4,739,010.72	\$ 4,739,010.72	\$ -	Modification and upgrade of present water treatment facility including a new 5 MGD water softening treatment process, chemical feed system and operation center	24,462 / 31,933	no / no	Lyon	no
Emporia 2	2590	\$ 2,423,971.49	\$ 2,423,971.49	\$ -	Rehabilitate and expand the existing water treatment plant to meet system demands	26,662 / 33,848	no / no	Lyon	no
Eureka	2045	\$ 450,000.00	\$ 450,000.00	\$ -	Construction of a 56 foot diameter solids contact basin and rapid mix with chemical feed system, repair and rehabilitation of the existing contact and rapid mix basin	2,974 / 5,441	yes / yes	Greenwood	no
Eureka 2	2463	\$ 2,106.27	\$ 2,106.27	\$ -	Repair and rehabilitate existing infrastructure to create a secondary source for the City. Modifications will be made to the intake structure on the city lake including valves, pipes, the pump station, and the transmission line	2,739 / 5,002	yes / yes	Greenwood	no
Finney County RWD #1	2047	\$ 2,511,216.86	\$ 2,511,216.86	\$ -	Construction of approximately 14 miles of water line and a new water supply well, purchasing an existing water supply well and standpipe to serve the existing 11 mobile home parks booster pump station, and a master meter	2,200 / 2,200	yes / yes	Finney	yes
Florence	2254	\$ 155,316.08	\$ 155,316.08	\$ -	Installation of slow sand filters to eliminate turbidity failures and excessive operating costs associated with cartridge filtration units	678 / 678	yes / yes	Marion	no
Fort Scott	2189	\$ 2,169,246.82	\$ 2,169,246.82	\$ -	Construction of a 750,000 gallon elevated water storage tank, 9,900 linear feet of 12 and 16 inch water line, and appurtenances	8,400 / 13,722	no / no	Bourbon	no
Fort Scott 2	2277	\$ 5,480,085.58	\$ 5,480,085.58	\$ -	Installation of a ozone disinfection system, construction of two clearwells and a high service pump station, replacement of filter media, and appurtenances	8,297 / 13,722	no / no	Bourbon	no
Franklin Co. RWD #5	2869	\$ 699,718.00	\$ 575,079.42	\$ 124,638.58	Construction on an elevated water storage tank, including controls, and demolish the existing standpipe.	855 / 855	yes / yes	Franklin	yes
Frontenac	2434	\$ 600,339.65	\$ 600,339.65	\$ -	Replacement and upgrade of deteriorating treatment plant equipment and controls, rehabilitation and repair of existing water storage tanks, and replacement and looping of distribution pipe to solve pressure and flow problems	1,301 / 1,301	yes / yes	Crawford	no
Galena	2678	\$ 155,535.41	\$ 155,535.41	\$ -	Installation of approximately 4,000 feet of 8-inch waterline to provide service to a medical facility while meeting pressure requirements in the area	3,171 / 4,471	yes / yes	Cherokee	no
Galena 2	2886	\$ 487,616.00	\$ -	\$ 487,616.00	Convert existing meter system to an automated meter reading system.	2,994 / 2,994	yes yes	Cherokee	no
Garden City	2055, 2056,2057	\$ 5,678,099.58	\$ 5,678,099.58	\$ -	Construction of 1 MG and 2 MG ground storage tanks, 7,000 feet of 20 inch water transmission connecting lines, chlorination facilities, pump stations, and standby generators	26,039 / 31,451	no / no	Finney	no

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Garden City 2	2316	\$ 2,614,133.46	\$ 2,614,133.46	\$ -	Construction of 3 water supply wells, a 5 MG water storage tank, and approximately 8,000 linear feet of 12 and 20 inch water line	26,039 / 31,451	no / no	Finney	no
Gardner	2446	\$ 7,582,910.00	\$ 7,582,910.00	\$ -	Expansion and modification of the existing treatment plant with new or upgraded equipment including pumps, basins, a clearwell, a rapid mix/chemical feed system, filters and all appurtenances	16,000 / 25,037	no / no	Johnson	no
Garnett	2242	\$ 1,200,694.36	\$ 1,200,694.36	\$ -	Construction of a .5 MG elevated storage tank and rehabilitation of the existing .1 MG elevated storage tank	3,224 / 5,112	yes / yes	Anderson	no
Garnett 2	2587	\$ 944,702.44	\$ 944,702.44	\$ -	Rehabilitate the existing raw water pumpstation, update the controls and valves at the treatment plant, and replace the existing raw water transmission main	3,280 / 3,317	yes / yes	Anderson	no
Geary Co. RWD #4	2319	\$ 383,965.36	\$ 383,965.36	\$ -	Construction of a 230 gpm filtration plant, sludge reclaim tank, and upgrade of 2 well pumps	450 / 450	yes / yes	Geary	yes
Geneseo	2754	\$ 343,511.48	\$ 343,511.48	\$ -	Construction of a new well, replace a water transmission main, and replace meters and upgrade them to have automated reading capabilities.	267 / 267	yes / yes	Rice	no
Girard	2508	\$ 3,228,900.00	\$ 2,913,961.49	\$ 314,938.51	Construction of two 250,000 gallon water storage towers, a booster pump station, emergency power generator at the water treatment plant site, installation of an automated meter reading system, and demolition of the existing water storage towers.	2,789 / 2,789	yes / yes	Crawford	no
Glen Elder	2449	\$ 139,896.34	\$ 139,896.34	\$ -	Construction of two new wells and the required transmission line to connect to the distribution system	399 / 399	yes / yes	Mitchell	no
Goddard	2512	\$ 2,675,922.92	\$ 2,675,922.92	\$ -	Construction of approximately 33,000 feet of water transmission main.	4,344 / 4,344	yes / yes	Sedgwick	no
Goessel	2059	\$ 340,902.26	\$ 340,902.26	\$ -	Construction of a 100,000 gallon elevated water storage tank, connecting water line, and appurtenances	506 / 506	yes / yes	Marion	no
Goodland	2061	\$ 2,480,050.31	\$ 2,480,050.31	\$ -	Construction of a .5 MG elevated storage tank, three new water supply wells, and construction and replacement of 12.5 miles of water line	4,669 / 4,669	yes / yes	Sherman	no
Goodland 2	2591	\$ 5,711,606.85	\$ 5,711,606.85	\$ -	Construction of an ion exchange nitrate removal plant, non discharging evaporative ponds, and transmission pipelines	4,361 / 4,361	yes / yes	Sherman	no
Gove	2759	\$ 276,148.20	\$ 276,148.20	\$ -	Construct a new well, a blending vault, and booster pump station as well as install a variable frequency drive on an existing well.	88 / 88	yes / yes	Gove	yes
Grainfield	2478	\$ 84,663.13	\$ 84,663.13	\$ -	Installation of 3,400 feet of PVC transmission line to connect existing well and constructions of a new chlorination facility	298 / 298	yes / yes	Gove	no
Hanover	2395	\$ 71,766.37	\$ 71,766.37	\$ -	Construction of an elevated water storage tank, demolition of existing tank, and all associated connections.	605 / 605	yes / yes	Washington	no

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Harper	2772	\$ 1,239,422.82	\$ 1,239,422.82	\$ -	Construction of a new water treatment plant utilizing an ion exchange process, evaporative lagoons for waste disposal, and transmission mains for raw and treated water.	1,463 / 1,463	yes / yes	Harper	no
Harper Co. RWD #2	2351	\$ 549,064.00	\$ 549,064.00	\$ -	Installation of approximately 256,200 linear feet of 1.5, 2, and 2.5 inch PVC pipe and appurtenances	287 / 287	yes / yes	Harper	yes
Harvey County RWD #1	2193	\$ 539,676.88	\$ 539,676.88	\$ -	Construction of a 75,000 gallon elevated storage tank and approximately 16 miles of 2, 3, 4, 6, and 8 inch water lines to provide looping for pressure equalization and connecting unserved areas	1,650 / 3,067	yes / yes	Harvey	yes
Harvey County RWD #1-2	2426	\$ 3,703,631.52	\$ 3,703,631.52	\$ -	Construction of 3 public water supply wells, a chlorination facility, transmission pipelines, extended distribution pipelines to connect over 100 new users, and appurtenances	2,565 / 3,068	yes / yes	Harvey	yes
Herington	2357	\$ 1,222,806.46	\$ 1,222,806.46	\$ -	Construction of chemical building and feed room, modification of flash mixing basin, and plant piping, rehabilitation of contact basins, and installation of turbidity monitoring and lab testing equipment	2,517 / 3,096	yes / yes	Dickinson/Morris	no
Herndon	2838	\$ 379,549.00	\$ 122,349.28	\$ 257,199.72	Replace distribution waterlines and install controls for the water tower and well pumps	132 / 132	yes / yes	Rawlins County	no
Hesston	2495	\$ 1,631,805.86	\$ 1,631,805.86	\$ -	Construction of a 500,000 gallon elevated water tower and connecting pipe line, replace existing high service pumps, rehabilitate general building conditions at the pump station, and upgrade system telemetry	3,631 / 3,631	yes / yes	Harvey	no
Hiawatha	2066	\$ 702,736.49	\$ 702,736.49	\$ -	Construction of 2 new wells, super chlorination of two existing wells, and construction of 6, 8 and 12 inch distribution loop, and appurtenances	3,578 / 4,834	yes / yes	Brown	no
Hiawatha 2	2849	\$ 6,700,000.00	\$ -	\$ 6,700,000.00	Construct a new ion exchange water treatment plant, new well field, and transmission mains.	3,187 / 5,079	yes yes	Brown	no
Hill City	2442	\$ 2,360,730.54	\$ 2,360,730.54	\$ -	Replacement of existing distribution system pipes and all associated meters, valves, hydrants, borings, and pavement	1,511 / 1,511	yes / yes	Graham	no
Hill City 2	2608	\$ 342,961.42	\$ 342,961.42	\$ -	Construction of a new public water supply well, approximately 2.5 miles of water transmission main and all related appurtenances	1,437 / 1,437	yes / yes	Graham	no
Hillsboro	2408	\$ 146,351.73	\$ 146,351.73	\$ -	Installation of approximately 3,700 linear feet of 8 inch PVC including valves, service connections, fire hydrants, and pavement removal and replacement	2,833 / 2,833	yes / yes	Marion	no
Holton	2436	\$ 357,605.44	\$ 357,605.44	\$ -	Replace deteriorating waterlines with approximately 3,000 linear feet of 8 inch PVC pipe	3,341 / 3,341	yes / yes	Jackson	no
Holyrood	2068	\$ 262,259.15	\$ 262,259.15	\$ -	Installation of approximately 2,710 linear feet of 6 inch PVC pipe, 2,041 linear feet of 4 inch PVC pipe, flushing hydrants, ventilation system, and appurtenances	453 / 453	yes / yes	Ellsworth	no

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Hoyt	2139	\$ 814,696.74	\$ 814,696.74	\$ -	Construction of a 200,000 gallon elevated water storage tank and approximately 13,000 linear feet of 8 inch water line, replace fire hydrants, telemetry controls, and appurtenances	533 / 533	yes / yes	Jackson	no
Hutchinson	2280	\$ 1,403,529.82	\$ 1,403,529.82	\$ -	Installation of water transmission mains and booster pump station	40,787 / 40,787	no / no	Reno	no
Hutchinson 2	2423	\$ 6,666,667.00	\$ 6,666,667.00	\$ -	Construction of a reverse osmosis water treatment plant and the connecting raw and waste water transmission mains and some distribution mains	41,048 / 41,348	no / no	Reno	no
Independence	2630	\$ 1,774,234.15	\$ 1,774,234.15	\$ -	Construction of a 750,000 gallon elevated water storage tank and associated connecting pipelines and access roads, and demolition of existing tower	9,317 / 11,811	no / no	Montgomery	no
Ingalls	2356	\$ 155,346.14	\$ 155,346.14	\$ -	Testing, drilling, and connection of a new water supply well and plugging the existing well	331 / 331	yes / yes	Gray	no
Iola	2281	\$ 10,000,000.00	\$ 10,000,000.00	\$ -	Construction of a river intake structure, pre-sedimentation and ozone disinfection facilities, conventional water softening facilities, storage and pumping facilities, and all controls	6,171 / 7,743	no / yes	Allen	no
Jackson County RWD #1	2214	\$ 2,170,441.17	\$ 2,170,441.17	\$ -	Construction and replacement of approximately 177,500 linear feet of 4, 6, 8, and 12 inch water lines, 200,000 gallon elevated water storage tank, two new pump stations with buildings, 2nd connection with the City of Topeka, installation of new telemetry, and appurtenances	1,300 / 4,371	yes / yes	Jackson	yes
Jackson County RWD #3	2464	\$ 975,110.60	\$ 975,110.60	\$ -	Installation of 40,000 feet of 6" PVC pipe with 9 valves and valve boxes along with one highway crossing and eight road crossings, installation of 7,600 feet of 4" PVC pipe with 4 valves and valve boxes with one stream crossing, install a master meter, connect to the existing system (12 places), upgrade the pump station, install 4 cleanouts, construct the Netawaka Control Vault, and repaint 4 tanks	4,060 / 5,162	yes / yes	Jackson	yes
Jefferson County RWD #13	2557	\$ 2,360,113.00	\$ 2,360,113.00	\$ -	Replacement of approximately 30,000 feet of waterline, construction of a 200,000 gallon elevated water storage tank, and installation of new telemetry controls	2,115 / 3,446	yes / yes	Jefferson	yes
Jewell County RWD #1	2080	\$ 27,488.44	\$ 27,488.44	\$ -	Construction of a public water supply well, 150,000 gallon elevated water storage tank, chlorination facility, and water transmission line	959 / 1,224	yes / yes	Jewell and Smith	yes
Johnson County Consolidated RWD #6	2081	\$ 1,239,437.00	\$ 1,239,437.00	\$ -	Construction and replacement of approximately 41,000 feet of 1.5, 2, 4 and 6 inch water main with 8 and 12 inch lines	1,350 / 1,350	yes / yes	Johnson	yes

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Johnson County RWD #7	2082,2083	\$ 1,578,591.00	\$ 1,578,591.00	\$ -	Construction of approximately 8.5 miles of 12 and 16 inch water main to connect unserved areas, and participation in upgrade and rehabilitation of Miami Co. RWD #2 (the source of water supply)	4,537 / 4,537	yes / yes	Johnson	yes
Johnson County RWD #7-2	2333	\$ 635,641.91	\$ 635,641.91	\$ -	Installation of approximately 33,000 linear feet of 16 and 20 inch pipe lines and all valves, connections, and appurtenances	4,537 / 4,537	yes / yes	Johnson	yes
Johnson County RWD #7-3	2283	\$ 957,746.92	\$ 957,746.92	\$ -	Installation of approximately 2.5 miles of 12 inch water mains along 175th St. from Dillie Rd west to Edgerton Rd then south to connect to an existing line, and along 215th St from Gardner Rd west to connect to an existing line	4,537 / 4,537	yes / yes	Johnson	yes
Johnson County RWD #7-4	2729	\$ 171,700.00	\$ 171,700.00	\$ -	Replace existing district water meters with new meters that use Automatic Meter Reading technology and associated hardware and software for the operation of the new meters	4,537 / 4,537	yes / yes	Johnson	yes
Junction City	2084	\$ 744,292.92	\$ 744,292.92	\$ -	Replacement of filter media, valves, operators, and controls, underdrains, piping, removal of existing surface wash system, installation of an air-assisted backwash system, and appurtenances	18,063 / 20,604	no / no	Geary	no
Junction City 2	2494	\$ 406,516.29	\$ 406,516.29	\$ -	Construction of a public water supply well and all related appurtenances	16,106 / 17,339	no / no	Geary	no
Junction City 3	2893	\$ 13,235,000.00	\$ -	\$ 13,235,000.00	This project will rehabilitate the existing water treatment plant and construct new wells.	24,665 / 26,551	no / no	Geary	no
Kansas City Board of Public Utilities 1	2263	\$ 12,308,750.00	\$ 12,308,750.00	\$ -	Construction of a 25 MGD horizontal collector well, and installation of approximately 12,500 linear feet of 42 inch prestressed concrete cylinder pipe	164,464 / 181,727	no / no	Wyandotte	no
Kansas City Board of Public Utilities 2	2265	\$ 5,118,465.29	\$ 5,118,465.29	\$ -	Construction of approximately 22,000 linear feet of 48 inch prestressed concrete cylinder pipe	164,464 / 181,727	no / no	Wyandotte	no
Kansas City Board of Public Utilities 3	2379	\$ 9,000,000.00	\$ 9,000,000.00	\$ -	Construction of a 18MGD basin train (expansion) for the existing treatment plant and all related appurtenances.	164,462 / 184,579	no / no	Wyandotte	no
Kansas City Board of Public Utilities 4	2570	\$ 12,230,500.00	\$ 12,230,500.00	\$ -	Construction of a 4 MG treated water storage reservoir, and replacement of deteriorated water lines.	145,786 / 168,620	no / no	Wyandotte	no
Kansas City Board of Public Utilities 5	2823	\$ 13,000,000.00	\$ 2,770,998.67	\$ 10,229,001.33	Rehabilitation of the filters, backwash pump and high service pump at the Nearman Water Treatment Plant and replacement of deteriorated water lines throughout the distribution system.	147,268 / 173,629	no / no	Wyandotte	no
Kinsley	2752	\$ 703,063.97	\$ 703,063.97	\$ -	Replace approximately 7,500 linear feet of distribution line and approximately 30 fire hydrants.	1,457 / 1,457	yes / yes	Edwards	no
Kirwin	2643	\$ 218,996.43	\$ 218,996.43	\$ -	Install individual home water treatment units to comply with nitrate drinking water standards and rehabilitate an existing water tower	211 / 211	yes / yes	Phillips	yes

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Kismet	2485	\$ 159,713.84	\$ 159,713.84	\$ -	Construct a replacement well within 65 feet of the existing collapsed well which includes necessary connections to the distribution system and a well house	521 / 521	yes / yes	Seward	no
LaCrosse	2572	\$ 326,318.16	\$ 326,318.16	\$ -	Replacement of approximately 1,000 feet of waterline along Eighth Street	1,274 / 1,274	yes / yes	Rush	no
Lakin	2564	\$ 5,230,888.89	\$ 5,230,888.89	\$ -	Planning, design, and construction of a reverse osmosis water treatment plant and underground injection wells	2,123 / 2,123	yes / yes	Kearny	no
Lane	2407	\$ 133,997.25	\$ 133,997.25	\$ -	Construction of a membrane treatment plant, well house modifications, chlorination system modifications, new high service pumps, and a wastewater transmission line	258 / 258	yes / yes	Franklin	yes
Larned	2746	\$ 391,004.51	\$ 391,004.51	\$ -	Replace approximately 2,700 feet of deteriorated cast iron pipe and related appurtenances.	4,054 / 5,119	yes / yes	Pawnee	no
Lawrence	2285	\$ 6,167,307.38	\$ 6,167,307.38	\$ -	Construction of filters, addition of transfer pumps, improvements to the chemical feed system, replacement of the existing washwater return pump, and improvements to the electrical, mechanical, instrumentation and controls at Clinton	79,979 / 124,456	no / no	Douglas	no
Lawrence 2	2087	\$ 5,562,864.03	\$ 5,562,864.03	\$ -	Construction of water treatment plant lime residuals facility	79,979 / 124,456	no / no	Douglas	no
Leavenworth County Consolidated RWD #1	2092	\$ 2,964,360.53	\$ 2,964,360.53	\$ -	Construction of approximately 9 miles of 10 and 12 inch water main, 750,000 gallon elevated water storage tank, booster pump station, and appurtenances	2,605 / 2,605	yes / yes	Leavenworth	yes
Leavenworth County Consolidated RWD #1-2	2468	\$ 430,236.53	\$ 430,236.53	\$ -	Construction of approximately 12,620 feet of 12 inch PVC pipe	3,200 / 3,200	yes / yes	Leavenworth	yes
Leavenworth County RWD #7	2225	\$ 1,652,957.00	\$ 1,652,957.00	\$ -	Construction of 2 new wells with pumping facility, chlorination and fluoridation facilities, booster pump station, and 5.25 miles of 12 inch water transmission lines, and appurtenances	2,614 / 2,614	yes / yes	Leavenworth	yes
Leavenworth County RWD #9	2093	\$ 809,347.20	\$ 809,347.20	\$ -	Construction of approximately 6.5 miles of 6, 8 and 10 inch water lines, 2 booster pump stations, chlorination facility, 20,000 and 60,000 gallon clearwells, upgrade of 7 existing well pumps	1,302 / 1,302	yes / yes	Leavenworth	yes
Leavenworth Waterworks Board	2326	\$ 6,972,442.02	\$ 6,972,442.02	\$ -	Upgrade of water treatment plant 1 and 2, and the raw water intake and pumping station	39,471 / 54,601	no / no	Leavenworth	no
Lebo	2769	\$ 409,863.64	\$ 409,863.64	\$ -	Replace approximately 7,000 feet of aging asbestos cement waterlines with PVC waterlines and install an altitude valve on the elevated storage tank.	940 / 940	yes / yes	Coffey	no
Leoti	2777	\$ 40,100.16	\$ 40,100.16	\$ -	Construction of a new water treatment plant to reduce nitrate levels and install transmission mains and other system improvements to disperse the treated water to the distribution system.	1,563 / 1,563	yes / yes	Wichita	no

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Liberal	2290	\$ 5,607,992.07	\$ 5,607,992.07	\$ -	Construction of a well and well house with 24 inch transmission main, approximately 54,440 linear feet of 2, 6, 8, 10, 12, and 16 inch distribution line, upgrade booster pump station, and replacement of gate valves	19,562 / 19,562	no / no	Seward	no
Liebenthal	2755	\$ 75,059.50	\$ 75,059.50	\$ -	Install individual home water treatment units (approximately 61) in each livable household and business to allow the system to return to compliance with fluoride maximum contaminate levels.	103 / 103	yes / yes	Rush	yes
Little River	2514	\$ 507,393.98	\$ 507,393.98	\$ -	Construction of a new water transmission main, replace distribution system piping and electrical distribution equipment at the well field, construct new well manifold piping, and add a new telemetry system	527 / 527	yes / yes	Rice	no
Logan	2194	\$ 650,000.00	\$ 650,000.00	\$ -	Construction of 3 new water wells with chlorination facilities, 66,000 gallon ground level storage tank, and approximately 9 miles of 6 and 10 inch water lines	568 / 568	yes / yes	Phillips	no
Long Island	2256	\$ 188,740.65	\$ 188,740.65	\$ -	Development and construction of 2 water supply wells, chlorination facility, 20,000 gallon ground level storage tank, procurement of a standby generator, and appurtenances	165 / 165	yes / yes	Phillips	no
Lyon County RWD #1	2213	\$ 445,408.45	\$ 445,408.45	\$ -	Construction of a 250,000 gallon elevated storage tank and approximately 800 linear feet of 8 inch connecting water main	825 / 1,317	yes / yes	Lyon	yes
Lyon County RWD #1	2710	\$ 197,886.58	\$ 197,886.58	\$ -	Installation of approximately 23 miles of PVC waterlines in various sizes between 2 and 8 inches.	1,595 / 1,595	yes / yes	Lyon	yes
Lyons	2757	\$ 4,046,167.98	\$ 4,046,167.98	\$ -	Replace approximately 22,000 linear feet of distribution pipe and upgrade approximately 1,800 meters to have radio read capabilities.	3,739 / 4,639	yes / yes	Rice	no
Maize	2292	\$ 5,300,000.00	\$ 5,300,000.00	\$ -	Construction of 2 water supply wells, .5 MG elevated storage tank, chlorination facility, 17,220 linear feet of 12 inch water transmission line, 71,355 linear feet of 4, 6, 8, and 10 inch water distribution main, and appurtenances	1,833 / 1,833	yes / yes	Sedgwick	no
Manhattan	2462	\$ 17,975,861.36	\$ 17,975,861.36	\$ -	Construction 4 new wells and an additional raw water transmission line, rehabilitate the water treatment plant and well field, and expand the capacity of the system	48,668 / 52,233	no / no	Riley	no
Manhattan 2	2720	\$ 506,898.65	\$ 506,898.65	\$ -	Construction of approximately 10,200 linear feet of 16-inch water transmission line to serve as the source of public water supply for the Konza Valley Water Benefit District.	52,281 / 55,874	no / no	Riley	no
Manhattan 3	2743	\$ 3,091,960.00	\$ 2,433,277.35	\$ 658,682.65	Replace approximately 4,700 service meters and upgrade them to have a radio read capabilities.	52,281 / 55,874	no / no	Riley	no

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Manhattan 4	2895	\$ 7,326,270.00	\$ -	\$ 7,326,270.00	This project is for the rehabilitation of water treatment plant components including electrical improvements, emergency power installation, and chlorine contact basin construction.	56,078 / 59,622	no no	Riley	no
Marion	2739	\$ 180,751.88	\$ 180,751.88	\$ -	Replace approximately 1,000 existing water meters with meters that have automated reading capabilities.	1,927 / 2,161	yes / yes	Marion	no
Marion Co. Improvement District # 2	2529	\$ 526,799.10	\$ 526,799.10	\$ -	Construction of a 75,000 gallon elevated tower with appurtenances and installation of approximately 1,000 feet of water line to connect the tower to the existing distribution system	234 / 234	yes / yes	Marion	yes
Marion County RWD #1	2332	\$ 328,522.00	\$ 328,522.00	\$ -	Construction of a 200,000 gallon water tower and associated connections	620 / 620	yes / yes	Marion	yes
Marion County RWD #4	2105	\$ 1,844,780.82	\$ 1,844,780.82	\$ -	Construction and replacement of approximately 67 miles of 1.5, 2, 3, 4, 6 and 8 inch water lines to connect unserved areas, construction of a 200,000 gallon elevated water storage tank, interconnection with the City of Goessel, and installation of master meter	1,398 / 2,300	yes / yes	Marion	yes
Marshall Co. RWD #3.3	2773	\$ 302,695.01	\$ 302,695.01	\$ -	Construction of a chlorination and pumpstation facility	1,900 / 2,658	yes / yes	Marshall	yes
Marshall County RWD #3	2106	\$ 575,465.11	\$ 575,465.11	\$ -	Construction of 2 new water supply wells with wellhouse, pumping facilities, chlorination facility, and approximately 7 miles of 6 inch water line	1,900 / 5,282	yes / yes	Marshall	yes
Marshall County RWD #3-2	2336	\$ 580,336.06	\$ 580,336.06	\$ -	Construction of a 400,000 gallon elevated water storage tank and all related connections and appurtenances	1,900 / 5,282	yes / yes	Marshall	yes
Marysville	2294	\$ 301,746.58	\$ 301,746.58	\$ -	Construction of approximately 8,500 linear feet of 6 and 8 inch water line, upgrade existing pumping station, purchase emergency power generator, and miscellaneous piping, valves, hydrants, and related appurtenances	3,104 / 3,104	yes / yes	Marshall	no
Marysville 2	2435	\$ 2,008,008.00	\$ 2,008,008.00	\$ -	Construction of a new 500,000 gallon elevated water tower, new public water supply well and control system, and rehabilitation of an existing ground storage tank	3,143 / 3,143	yes / yes	Marshall	no
Marysville 3	2734	\$ 547,952.34	\$ 547,952.34	\$ -	Water tower rehabilitation, new valve vault construction, water line replacement, and replacement of electrical components at the water treatment plant.	3,294 / 3,294	yes / yes	Marshall	no
McCracken	2861	\$ 315,000.00	\$ -	\$ 315,000.00	Construction of a new well, water main, emergency generator, and water tower rehabilitation.	190 / 190	yes / yes	Rush	no
McLouth	2108	\$ 1,009,840.09	\$ 1,009,840.09	\$ -	Construction of 3.5 miles of transmission main interconnecting to Jefferson Co. RWD #13, and construction of a 250,000 gallon elevated water storage tank	849 / 849	yes / yes	Jefferson	no

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Medicine Lodge	2197	\$ 587,532.83	\$ 587,532.83	\$ -	Construction of water supply well and control structure, installation of chlorination facility and SCADA system, upgrade pump station, replacement of well pump, valves, and meters, and provide a standby generator	2,146 / 2,206	yes / yes	Barber	no
Medicine Lodge 2	2427	\$ 326,090.47	\$ 326,090.47	\$ -	Construction of a raw water transmission line using approximately 13,500 linear feet of 12-inch PVC pipe and a booster pump station	2,067 / 2,142	yes / yes	Barber	no
Medicine Lodge 3	2748	\$ 480,595.56	\$ 480,595.56	\$ -	Replace approximately 1,000 existing water meters with meters that have automated reading capabilities.	2,009 / 2,086	yes / yes	Barber	no
Miami County RWD #2	2109	\$ 5,515,053.00	\$ 5,515,053.00	\$ -	Water treatment plant upgrade and rehabilitation, and construction of 3.2 miles of 30 inch water transmission main	10,500 / 15,304	no / no	Miami	yes
Miltonvale	2901	\$ 510,134.00	\$ -	\$ 510,134.00	Construct a new public water supply well and replace distribution pipe.	526 / 526	yes yes	Cloud	no
Minneapolis	2390	\$ 2,515,452.84	\$ 2,515,452.84	\$ -	Planning, design, and construction of a water treatment plant for removal of iron and manganese, and related distribution enhancements	2,087 / 2,087	yes / yes	Ottawa	no
Minneola	2780	\$ 712,780.15	\$ 712,780.15	\$ -	Replace approximately 4,000 feet of water distribution pipe and service lines as well as valves and pipes located in existing well houses.	721 / 721	yes / yes	Clark	no
Mitchell Co. RWD #2	2555	\$ 400,237.77	\$ 400,237.77	\$ -	Installation of a chlorine contact loop, conversion of a disinfection contact basin to clearwell storage, and updating controls.	1,291 / 4,659	yes / yes	Mitchell	yes
Moline	2892	\$ 2,100,000.00	\$ -	\$ 2,100,000.00	Replace all distribution pipe (approximately 30,000 feet) and rehabilitate the elevated water tower.	344 / 344	yes yes	Elk	no
Moran	2386	\$ 234,663.93	\$ 234,663.93	\$ -	Replace deteriorating distribution mains	541 / 595	yes / yes	Allen	no
Moundridge	2795	\$ 1,060,000.00	\$ 1,060,000.00	\$ -	Construct a 400,000 elevated water tower and approximately 3,000 ft of waterline	1,739 / 3,137	yes / yes	McPherson	no
Nemaha County RWD #3	2448	\$ 1,149,928.21	\$ 1,149,928.21	\$ -	Installation of approximately 39,000 linear feet of PVC pipe for looping improvements, construction of a 150,000 gallon elevated storage tank and a new pump station	2,500 / 3,680	yes / yes	Nemaha	yes
Nemaha County RWD #3.2	2616	\$ 3,291,615.19	\$ 3,291,615.19	\$ -	Construction of two new wells, approximately 156,400 feet of water transmission lines, a disinfection facility, control systems, and provide necessary electrical service and access roads	2,500 / 3,563	yes / yes	Nemaha	yes
Nemaha County RWD #3.3	2856	\$ 2,092,744.00	\$ -	\$ 2,092,744.00	Construction of approximately 35 miles of waterlines and related appurtenances.	2,500 / 2,668	yes / yes	Nemaha	yes
Ness City	2416	\$ 1,101,802.90	\$ 1,101,802.90	\$ -	New water supply wells, approximately 31,000 linear feet of 4, 6, and 8 inch transmission lines to isolate all wells from the distribution system, and SCADA system to facilitate blending at the existing storage reservoir	1,530 / 1,530	yes / yes	Ness	no

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New Strawn	2222	\$ 1,269,789.38	\$ 1,269,789.38	\$ -	Wholesale connection to the City of Burlington for water supply, construction of a 100,000 gallon elevated water storage tank, distribution line improvements, and appurtenances	445 / 445	yes / yes	Coffey	no
Newton	2118	\$ 2,086,455.74	\$ 2,086,455.74	\$ -	Water treatment plant improvements, installation of corrosion control treatment, and modifications to Mission Pump Station	18,116 / 19,798	no / no	Harvey	no
Newton 2	2297	\$ 1,231,500.44	\$ 1,231,500.44	\$ -	Construction of approximately 23,100 linear feet of 4, 8, and 12 inch water line, demolition of existing water tower, installation of valves, and fire hydrants	18,116 / 19,798	no / no	Harvey	no
Newton 3	2465	\$ 1,749,682.51	\$ 1,749,682.51	\$ -	Construction of a new 500,000 gallon elevated storage tank and replace the existing connecting pipe with 12 inch PVC	18,229 / 20,794	no / no	Harvey	no
Newton 4	2509	\$ 651,532.52	\$ 651,532.52	\$ -	Construction of approximately 2,500 feet of 12 inch waterline	18,093 / 20,000	no / no	Harvey	no
Nickerson	2428	\$ 332,288.61	\$ 332,288.61	\$ -	Construction of a transmission main from an existing well to the existing distribution system	1,164 / 1,164	yes / yes	Reno	no
North Newton	2328	\$ 590,919.50	\$ 590,919.50	\$ -	Installation of approximately 23,100 linear feet of 4, 8, and 12 inch water lines, and all valves, connections, borings, and related appurtenances	1,548 / 1,548	yes / yes	Harvey	no
North Newton 2	2805	\$ 3,022,019.47	\$ 3,022,019.47	\$ -	Construct new wells, water treatment plant, water tower, and connecting waterlines to create an independent water supply system	1,759 / 1,759	yes / yes	Harvey	no
Norton	2260	\$ 4,111,316.13	\$ 4,111,316.13	\$ -	Rehabilitate and repair the existing drinking water treatment plant and develop and construct a new well field as an alternate water supply source. The treatment plant improvements will include repair of the contact clarifiers, new filter underdrains, filter media, disinfection systems, a clearwell, finished water pumps, and a chemical feed building	2,901 / 2,901	yes / yes	Norton	no
Norwich	2832	\$ 2,260,209.00	\$ 207,559.15	\$ 2,052,649.85	This project will construct a centralized water treatment plant to reduce nitrates.	487 / 487	yes / yes	Kingman	no
Oberlin	2775	\$ 4,758,514.42	\$ 4,758,514.42	\$ -	This project will construct new well fields, transmission mains, ground storage facilities, treatment facility, and replace aging distribution lines.	1,760 / 1,760	yes / yes	Decatur	no
Ogden	2753	\$ 168,347.77	\$ 168,347.77	\$ -	Replace approximately 650 existing water meters with meters that have automated reading capabilities.	2,087 / 2,087	yes / yes	Riley	no
Olathe	2366	\$ 20,000,000.00	\$ 20,000,000.00	\$ -	Addition of a flow splitter, solids contact clarifier equipment retrofitted to an existing basin, piping, membrane filtering system and building, construction of a pump station, additional backwash recovery pumps, ground storage tank, additional lagoon capacity, and related appurtenances	96,518 / 119,231	no / no	Johnson	no

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Olathe 2	2470	\$ 16,876,745.14	\$ 16,876,745.14	\$ -	Construction of approximately 34,000 linear feet of 72 inch finished water transmission main	111,334 / 119,231	no / no	Johnson	no
Olathe 3	2681	\$ 230,875.19	\$ 230,875.19	\$ -	Rehabilitate the filters at water treatment plant no. 2	114,662 / 120,082	no / no	Johnson	no
Osage City	2127	\$ 2,711,274.13	\$ 2,711,274.13	\$ -	Addition of a fourth filter, installation of turbidity monitoring equipment, construction of a mixing basin, and approximately 39,435 linear feet of 6 and 8 inch distribution line	3,043 / 5,409	yes / yes	Osage	no
Osage County RWD #3	2382	\$ 500,000.00	\$ 500,000.00	\$ -	Construction of a new chlorine contact basin, building and equipment	900 / 900	yes / yes	Osage	yes
Osage County RWD #4	2298	\$ 250,000.00	\$ 250,000.00	\$ -	Construction of a 75,000 gallon elevated water tower with controls, and 4 miles of 4 inch water line	486 / 486	yes / yes	Osage	yes
Osage County RWD #5	2476	\$ 1,079,772.00	\$ 1,079,772.00	\$ -	Replacement of approximately 55,000 feet of water distribution pipe with 4, 6, and 8 inch PVC pipe	3,186 / 4,241	yes / yes	Osage	yes
Osage County RWD #7	2652	\$ 829,278.28	\$ 829,278.28	\$ -	Install approximately 42,000 feet of PVC waterline and absorb Osage County Rural Water District No. 1	1,430 / 2,031	yes / yes	Osage	yes
Osawatomie	2128	\$ 901,478.72	\$ 901,478.72	\$ -	Replace existing basins, high service pumps, modifications to the chemical feed system and other appurtenances	4,568 / 8,408	yes / yes	Miami	no
Osborne	2129	\$ 276,504.76	\$ 276,504.76	\$ -	Replacement and upgrade of under-sized and deteriorated water lines with approximately 2 miles of 6 and 8 inch water lines to alleviate red water, low flow and low pressure	1,812 / 1,812	yes / yes	Osborne	no
Ottawa 1	2539	\$ 989,002.76	\$ 989,002.76	\$ -	Construction of a 16 inch water transmission main from the water treatment plant to the existing water tower	12,792 / 15,792	no / no	Franklin	no
Ottawa 2	2877	\$ 3,262,500.00	\$ -	\$ 3,262,500.00	The project will include construction of a redundant raw water transmission main and all related appurtenances.	12,403 / 15,768	no / no	Franklin	no
Ottawa Co. RWD #2	2855	\$ 970,929.00	\$ 730,758.34	\$ 240,170.66	Construction of an elevated water tower and demolish the existing ground storage tank.	1,794 / 1,794	yes / yes	Franklin	yes
Overbrook	2300	\$ 160,000.00	\$ 160,000.00	\$ -	Replacement of 4 miles of 6 inch water line, modification to the existing 50,000 gallon elevated storage tank, and all related appurtenances	947 / 947	yes / yes	Osage	no
Parsons	2136	\$ 9,200,000.00	\$ 9,200,000.00	\$ -	Construction of a 6 MG per day water treatment plant featuring an ultra filtration membrane system	11,177 / 13,391	no / no	Labette	no
Parsons 2	2394	\$ 5,000,000.00	\$ 5,000,000.00	\$ -	Replace membrane system, reconfigure existing ponds as presettling ponds, upgrade pump stations and SCADA system, improve ventilation of mechanical and chemical rooms, and reroute yard and process piping	11,384 / 13,391	no / no	Labette	no
Phillipsburg	2415	\$ 920,980.42	\$ 920,980.42	\$ -	Installation of approximately 24,000 linear feet of 10 inch pipe line, pump station improvements, and associated valves, controls, and telemetry	1,900 / 5,282	yes / yes	Phillips	no

STATE OF KANSAS  
PUBLIC WATER SUPPLY LOAN FUND  
Cumulative Project Status  
As of June 30, 2016

Borrower Name	Project No.	Loan Agreement Commitment	Total Loan Payments	Balance of Loan Commitment	Project Description	Municipal* Population / Population Served	Small* System State/Fed	County	Financial Integrity Assurance Contract
Pittsburg	2489	\$ 8,529,996.89	\$ 8,529,996.89	\$ -	Improvements to the water treatment plant by the addition of new aeration towers, ozone treatment, and the upgrade of equipment for flash mixers, chemical feeds, softening basins, and filters	19,120 / 19,120	no / no	Crawford	no
Pittsburg 2	2794	\$ 554,592.15	\$ 554,592.15	\$ -	Install an emergency power generator at the water treatment plant	19,120 / 19,120	no / no	Crawford	no
Pleasanton	2348	\$ 610,692.45	\$ 610,692.45	\$ -	Construction of a chlorine contact basin and pump station, rehabilitation of existing basins, filters, pumps, electrical controls, chemical feed systems, and appurtenances	1,392 / 2,990	yes / yes	Linn	no
Pottawatomie County RWD #3	2302	\$ 479,215.10	\$ 479,215.10	\$ -	Construction of approximately 40,650 linear feet of 3, 4, and 6 inch water lines, a 14"x80' standpipe, modify an existing pump station, and related appurtenances	1,300 / 2,781	yes / yes	Pottawatomie	yes
Potwin	2396	\$ 145,000.00	\$ 145,000.00	\$ -	Installation of approximately 1,200 linear feet of PVC water line and valves throughout the distribution system, including all connections, meters, and hydrants	443 / 1,085	yes / yes	Butler	no
Pratt	2528	\$ 720,792.64	\$ 720,792.64	\$ -	Replacement of waterlines under South Main Street	6,408 / 6,408	no / yes	Pratt	no
Public Wholesale Water Supply Dist. #4-4	2768	\$ 1,341,126.09	\$ 1,341,126.09	\$ -	Construction of a 600,000 gallon storage tank and a remodel of the North and South booster pump station.	11,490 / 11,490	no / no	Labette and Montgomery	yes
Public Wholesale Water Supply District #17	2133	\$ 3,334,455.00	\$ 3,334,455.00	\$ -	Construction of 5 new water supply wells, 16 miles of 10, 12, 15, and 18 inch water lines connecting the four member cities, booster pump stations, master meters, vaults, disinfection facilities, and related appurtenances	21,471 / 21,471	no / no	Harvey	yes
Public Wholesale Water Supply District #25	2894	\$ 19,878,195.00	\$ -	\$ 19,878,195.00	The project will construct a complete public water supply system including a water treatment plant, wells, transmission mains, pumpstation, and storage.	7,542 / 7,542	no / yes	Douglas	no
Public Wholesale Water Supply District #4	2135	\$ 1,124,153.90	\$ 1,124,153.90	\$ -	Water treatment plant upgrade and rehabilitation, and construction of 4 miles of 8 inch water transmission main to connect the City of Cherryvale	9,290 / 9,290	no / yes	Labette and Montgomery	yes
Public Wholesale Water Supply District #4-2	2303	\$ 530,710.26	\$ 530,710.26	\$ -	Construction of a chlorine contact basin, re-chlorination facility, filter backwash water holding basin, a building to cover the solids contact basin, improvements to the filter building, and related appurtenances	11,000 / 11,000	no / no	Labette and Montgomery	yes
Public Wholesale Water Supply District #4-3	2505	\$ 897,114.26	\$ 897,114.26	\$ -	Construction of a new booster pump station and new water line to provide adequate pressures to wholesale customers	10,840 / 10,840	no / no	Labette and Montgomery	yes
Ransom	2397	\$ 853,670.00	\$ 853,670.00	\$ -	Replacement of distribution system with approximately 33,250 linear ft. of 2, 4, and 6 inch PVC, construction of a chlorination building, and water well improvements	326 / 326	yes / yes	Ness	no

STATE OF KANSAS  
PUBLIC WATER SUPPLY LOAN FUND  
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Borrower Name	Project No.	Loan Agreement Commitment	Total Loan Payments	Balance of Loan Commitment	Project Description	Municipal* Population / Population Served	Small* System State/Fed	County	Financial Integrity Assurance Contract
Reno Co. RWD #1	2458	\$ 51,312.68	\$ 51,312.68	\$ -	Installation of isolation valves within the distribution system, repair and paint the water tower, install a SCADA control system, and install a flushing/fire hydrant	123 / 123	yes / yes	Reno	yes
Richmond	2857	\$ 741,080.56	\$ 741,080.56	\$ -	Replacement of waterline, gate valves, fire hydrant settings, meters and pits, service line, removal and replacement of associated surfacing, implementation of a new automated meter reading system, updated telemetry equipment for the distribution system, tracer wire and miscellaneous appurtenances related to construction activities.	463 / 463	yes / yes	Franklin	no
Riley	2536	\$ 832,124.27	\$ 832,124.27	\$ -	Replacement of approximately 27,000 feet of public water supply pipe that has exceeded its useful life	551 / 551	yes / yes	Riley	no
Rolla	2510	\$ 241,577.44	\$ 241,577.44	\$ -	Construction of a new public water supply well to replace a collapsed well and connect it to the existing distribution system	445 / 445	yes / yes	Morton	no
Rush Center	2200	\$ 67,736.42	\$ 67,736.42	\$ -	Construction of 110 foot standpipe, piping and installation of 3 fire hydrants	177 / 177	yes / yes	Rush	no
Russell	2151	\$ 1,675,000.00	\$ 1,675,000.00	\$ -	Construction of approximately 4.35 miles of 6, 8 and 12 inch water lines to replace under-sized and deteriorated sand-cast water lines to alleviate frequent line breaks, leaks, and consumer complaints of substandard water quality	4,509 / 4,509	yes / yes	Russell	no
Russell 2	2362	\$ 6,012,443.58	\$ 6,012,443.58	\$ -	Construction of a raw water transmission line, a membrane treatment plant, pipe to blend treated water, and all related appurtenances	4,567 / 4,567	yes / yes	Russell	no
Russell 3	2635	\$ 403,707.27	\$ 403,707.27	\$ -	Replacement of approximately 3,000 feet of waterline along Lincoln Street	4,280 / 4,280	yes / yes	Russell	no
Russell 4	2731	\$ 350,098.75	\$ 350,098.75	\$ -	Replacement of approximately 10,000 linear feet of cast iron pipe with PVC pipe.	4,506 / 4,506	yes / yes	Russell	no
Russell 5	2791	\$ 1,223,634.74	\$ 1,223,634.74	\$ -	Install AMR Meter System	4,497 / 4,497	yes / yes		
Salina	2153	\$ 3,600,000.00	\$ 3,600,000.00	\$ -	Rehabilitation and upgrade of water treatment plant including installation of air stripper and new chemical feed system	44,022 / 46,572	no / no	Saline	no
Salina 2	2259	\$ 5,000,000.00	\$ 5,000,000.00	\$ -	Second phase of improvements to the water treatment plant, including secondary clarifiers, lab/maintenance building, new administrative offices, equipment monitoring, and appurtenances	44,022 / 46,572	no / no	Saline	no
Salina 3	2629	\$ 9,330,000.00	\$ 6,208,102.06	\$ 3,121,897.94	Construction of 4 wells, reconfiguration of the raw water transmission mains from the downtown well field, improvements to the air stripper facility to increase ground water treatment capacity, and replacement of aging waterlines.	47,910 / 48,753	no / no	Saline	no
Salina 4	2841	\$ 4,250,000.00	\$ 2,133,624.89	\$ 2,116,375.11	Replace distribution piping	47,910 / 48,753	no / no	Saline	no

STATE OF KANSAS  
PUBLIC WATER SUPPLY LOAN FUND  
Cumulative Project Status  
As of June 30, 2016

Borrower Name	Project No.	Loan Agreement Commitment	Total Loan Payments	Balance of Loan Commitment	Project Description	Municipal* Population / Population Served	Small* System State/Fed	County	Financial Integrity Assurance Contract
Saline County RWD #4	2305	\$ 33,802.82	\$ 33,802.82	\$ -	Construction of 2 wells, a chlorination building, and approximately 4,000 linear feet of 6 inch water line	768 / 768	yes / yes	Saline	yes
Scammon	2421	\$ 84,852.23	\$ 84,852.23	\$ -	Construction of a new water well	475 / 572	yes / yes	Cherokee	no
Scranton	2854	\$ 1,199,627.08	\$ 1,199,627.08	\$ -	Replacement of water lines and it's associated valves, fire hydrants and automated read water meters. A booster station bypass would be installed as well as the replacement of the chlorination equipment and all associated appurtenances necessary to deliver potable water to the city.	703 / 703	yes / yes	Osage	no
Sedgwick Co. RWD #2.2	2742	\$ 360,935.71	\$ 360,935.71	\$ -	Replacement of approximately 500 residential and commercial water meters and upgrades to have automated meter reading capabilities. Approximately 16,000 feet of waterline will also be installed to improve water pressure in the area.	1,100 / 1,100	yes / yes	Sedgwick	yes
Sedgwick County RWD #2	2497	\$ 1,050,376.14	\$ 1,050,376.14	\$ -	Construction of a 150,000 gallon elevated storage tower and a disinfection booster station	1,100 / 1,100	yes / yes	Sedgwick	yes
Seneca	2469	\$ 1,105,802.56	\$ 1,105,802.56	\$ -	Construction of 2 wells, well houses, chemical feed systems, necessary connection pipe lines, and replacement of the existing high service pumps	2,084 / 2,384	yes / yes	Nemaha	no
Seneca 2	2611	\$ 305,652.97	\$ 305,652.97	\$ -	Replace and expand pipelines to facilitate looping in various locations of the distribution system	2,064 / 2,364	yes / yes	Nemaha	no
Severy	2156	\$ 143,624.00	\$ 143,624.00	\$ -	Development of a water transmission line from Salt Creek to the existing city lake and the water treatment plant	402 / 402	yes / yes	Kingman	no
Sharon Springs	2306	\$ 499,615.63	\$ 499,615.63	\$ -	Construction of a water supply well, a 1MG water storage tank, chlorination facility, approximately 22,200 linear feet of 10 inch water transmission main, and installation of telemetry controls, and related appurtenances	835 / 835	yes / yes	Wallace	no
Shawnee County RWD #1C	2236	\$ 3,595,595.32	\$ 3,595,595.32	\$ -	Construction of a 750,000 gallon elevated water storage tank, 66,500 linear feet of 8 and 12 inch water lines, pump station facility, upgrade pump station, 2nd connection to the City of Topeka, installation of telemetry, and appurtenances	3,300 / 3,430	yes / yes	Shawnee	yes
Shawnee County RWD #3	2158	\$ 915,770.14	\$ 915,770.14	\$ -	Construction of approximately 4 miles of 8 and 10 inch water lines and a 750,000 gallon water storage tank	3,500 / 3,500	yes / yes	Shawnee	yes
Shawnee County RWD #4	2517	\$ 12,422,182.08	\$ 12,422,182.08	\$ -	Construction of a new 3 MGD ground water treatment plant, well field consisting of 9 PWS wells, 1 MG clearwell, and over 35,000 feet of water transmission line	12,000 / 12,000	no / no	Shawnee	yes
Smith Center	2774	\$ 3,274,702.54	\$ 3,274,702.54	\$ -	Construction of a new water treatment plant to improve finished water quality in regards to iron and manganese content.	1,656 / 1,656	yes / yes	Smith	no

STATE OF KANSAS  
PUBLIC WATER SUPPLY LOAN FUND  
Cumulative Project Status  
As of June 30, 2016

Borrower Name	Project No.	Loan Agreement Commitment	Total Loan Payments	Balance of Loan Commitment	Project Description	Municipal* Population / Population Served	Small* System State/Fed	County	Financial Integrity Assurance Contract
Smith Co RWD #1	2547	\$ 140,051.37	\$ 140,051.37	\$ -	Construction of a booster pumpstation to resolve low pressure issues.	380 / 380	yes / yes	Smith	yes
Spivey	2160	\$ 78,000.00	\$ 78,000.00	\$ -	Construction of 7,600 linear feet of 4 inch water line to connect to Harper Co. RWD #5 and related appurtenances	99 / 99	yes / yes	Kingman	yes
Spring Hill	2367	\$ 1,835,089.93	\$ 1,835,089.93	\$ -	Installation of approximately 33,000 linear feet of 16 and 20 inch pipe lines, expansion of the Miami RWD #2 treatment plant, and all related appurtenances	3,063 / 3,063	yes / yes	Johnson/Miami	no
St. George	2308	\$ 491,955.58	\$ 491,955.58	\$ -	Construction of 2 wells and a well house, approximately 10,000 linear feet of 6 inch transmission line, and appurtenances	440 / 440	yes / yes	Pottawatomie	yes
St. John	2724	\$ 2,744,597.90	\$ 2,744,597.90	\$ -	Construction of an ion exchange water treatment plant, evaporative ponds, two public water supply wells, and water transmission mains.	1,295 / 1,295	yes / yes	Stafford	no
St. Marys	2506	\$ 546,672.55	\$ 546,672.55	\$ -	Construction of a ground level storage tank	2,234 / 2,234	yes / yes	Pottawatomie	no
Sterling	2211	\$ 488,176.11	\$ 488,176.11	\$ -	Development and construction of 2 water supply wells, chlorination facility, approximately 6,600 linear feet of 6 and 8 inch water lines, procurement of a standby generator, and appurtenances	2,200 / 2,225	yes / yes	Rice	no
Stockton	2163	\$ 2,800,000.00	\$ 2,800,000.00	\$ -	Construction of a new 1.5 MG per day water softening plant and approximately 2 miles of 6 and 8 inch water lines to replace deteriorated 4 inch and smaller water lines to alleviate frequent breakage and leaks	1,383 / 1,383	yes / yes	Rooks	no
Strong City	2237	\$ 289,815.34	\$ 289,815.34	\$ -	Upgrade 3 water supply wells, water treatment plant, installation of a master meter, replacement of approximately 29,000 linear feet of water line, and appurtenances	608 / 885	yes / yes	Chase	no
Sylvan Grove	2164	\$ 160,901.75	\$ 160,901.75	\$ -	Rehabilitation of 2 wells, pump houses, and chlorination system, installation of telemetric communication system, replacement of 6,000 linear feet of water line, and related appurtenances	277 / 277	yes / yes	Lincoln	no
Tonganoxie	2401	\$ 2,100,346.02	\$ 2,100,346.02	\$ -	Design and construction of 10.2 miles of 12 inch water line connecting the KC, KS BPU with the city of Tonganoxie	3,774 / 3,774	yes / yes	Leavenworth	no
Topeka 1	2371	\$ 7,823,724.52	\$ 7,823,724.52	\$ -	Installation of approximately 20,000 linear feet of 16, 18, 24, and 42 inch water mains to improve pressure and capacity problems	122,103 / 150,845	no / no	Shawnee	no
Topeka 2	2372	\$ 2,055,933.00	\$ 2,055,933.00	\$ -	Installation of approximately 8,000 feet of distribution pipe ranging from 10 to 24 inches around the Topeka Boulevard Bridge to resolve pressure and capacity problems.	122,103 / 150,845	no / no	Shawnee	no
Topeka 3	2417	\$ 3,127,352.52	\$ 3,127,352.52	\$ -	Installation of approximately 9,700 feet of 42 inch PVC pipe along 25th Street to resolve pressure and capacity problems	122,103 / 150,845	no / no	Shawnee	no

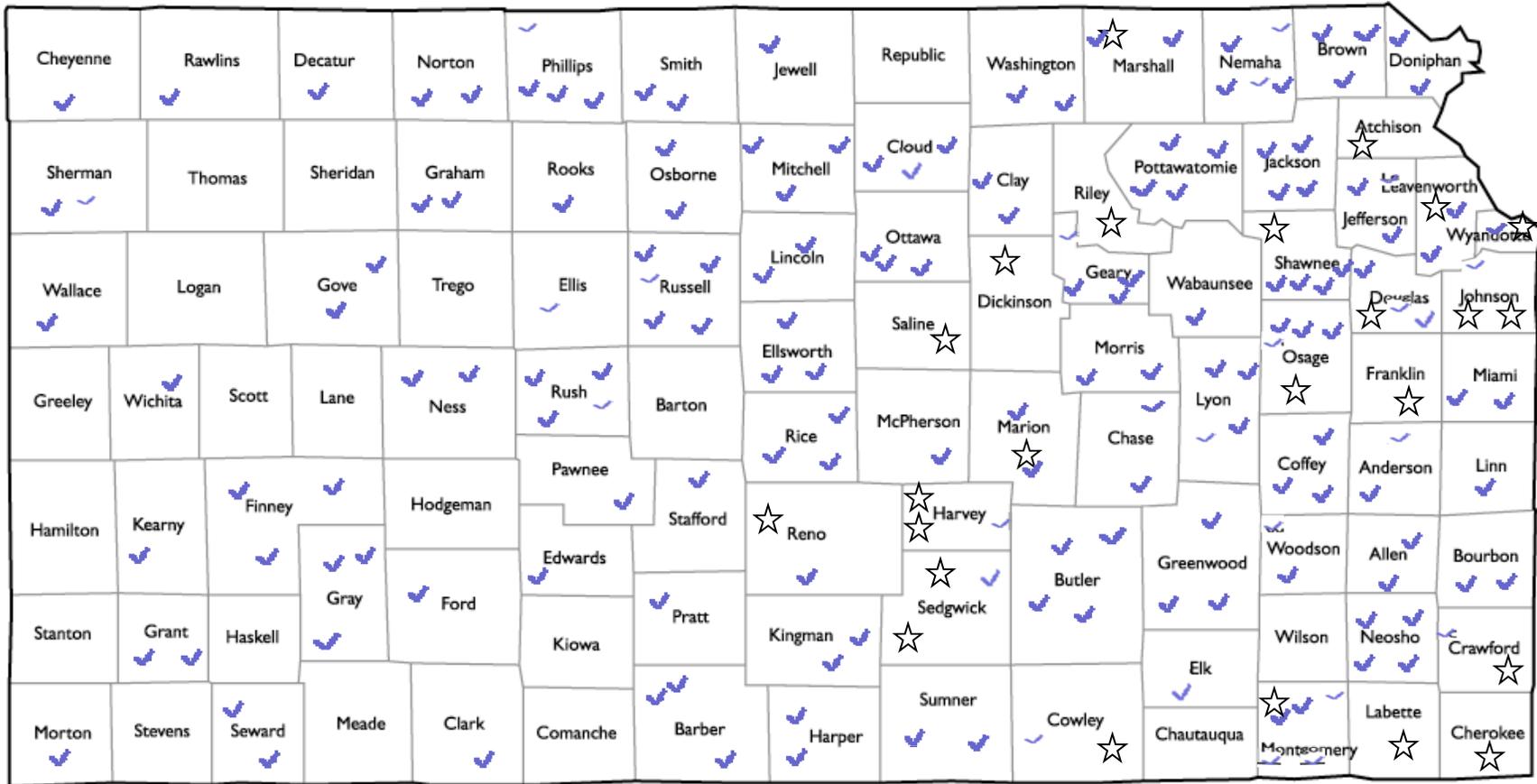
STATE OF KANSAS  
PUBLIC WATER SUPPLY LOAN FUND  
Cumulative Project Status  
As of June 30, 2016

Borrower Name	Project No.	Loan Agreement Commitment	Total Loan Payments	Balance of Loan Commitment	Project Description	Municipal* Population / Population Served	Small* System State/Fed	County	Financial Integrity Assurance Contract
Topeka 4	2418	\$ 1,545,025.28	\$ 1,545,025.28	\$ -	Installation of approximately 4,300 feet of 18 and 24 inch PVC pipe along 12th Street to resolve pressure and capacity problems	122,103 / 150,845	no / no	Shawnee	no
Topeka 5	2419	\$ 1,806,628.26	\$ 1,806,628.26	\$ -	Installation of approximately 7,200 feet of 18 inch PVC pipe along Indian Hills Road to resolve pressure and capacity problems	122,103 / 150,845	no / no	Shawnee	no
Topeka 6	2420	\$ 2,027,073.77	\$ 2,027,073.77	\$ -	Installation of approximately 11,600 feet of 24 inch PVC pipe along Highway 75 to resolve pressure and capacity problems	122,103 / 150,845	no / no	Shawnee	no
Udall	2490	\$ 1,365,000.00	\$ 1,365,000.00	\$ -	Replacement of deteriorated sections of distribution and transmission line and construct an elevated water tower	776 / 776	yes / yes	Cowley	no
Ulysses	2171	\$ 194,707.41	\$ 194,707.41	\$ -	Construction of approximately 2,400 linear feet of 10 inch water line, and related appurtenances	6,217 / 6,217	no / yes	Grant	no
Ulysses 2	2874	\$ 2,373,922.00	\$ 154,619.79	\$ 2,219,302.21	Replacement of over 15,000 feet of water distribution pipe.	6,274 / 6,274	no / yes	Grant	no
Valley Center	2174	\$ 2,934,673.49	\$ 2,934,673.49	\$ -	Construction of 12,000 feet of 8 and 12 inch raw water lines to City of Wichita, 13,000 feet of 16 inch finished water line from Wichita, 750,000 gallon elevated water storage tank, booster pump station, and pressure reducing valve	4,201 / 4,201	yes / yes	Sedgwick	no
Valley Center 2	2406	\$ 1,130,796.95	\$ 1,130,796.95	\$ -	Installation of approximately 7,600 linear feet of PVC pipe and appurtenances	5,339 / 6,439	no / yes	Sedgwick	no
Valley Falls	2391	\$ 323,767.27	\$ 323,767.27	\$ -	New pumps, repairs to the clearwell and intake rehabilitation of the sedimentation basin, replacement of the flocculators and rapid mix units, and construction of a chlorine contact basin.	1,217 / 1,217	yes / yes	Jefferson	no
Victoria	2612	\$ 465,455.72	\$ 465,455.72	\$ -	Rehabilitation of an existing pump station, installation of a standby generator, update the telemetry system, and replace deteriorated waterlines	1,167 / 1,167	yes / yes	Ellis	no
White City	2438	\$ 599,776.81	\$ 599,776.81	\$ -	Installation of raw water transmission pipes to facilitate blending, construction of a new well, a centralized chlorination facility, and replacement of deteriorating distribution lines	497 / 497	yes / yes	Morris	no
White Cloud	2784	\$ 245,745.00	\$ 230,020.97	\$ 15,724.03	Construction of an elevated water tower and connecting waterline.	176 / 176	yes / yes	Doniphan	yes
Wichita	2227	\$ 7,220,000.00	\$ 7,220,000.00	\$ -	Construction of approximately 43,400 feet of 42 and 48 inch water main in East Wichita	316,350 / 350,028	no / no	Sedgwick	no
Wilson	2519	\$ 351,275.34	\$ 351,275.34	\$ -	Replacement of approximately 5,000 feet of distribution pipe and 21,000 feet of water transmission pipe from the existing wells to the distribution system	767 / 767	yes / yes	Ellsworth	no
Winfield	2181	\$ 2,330,985.70	\$ 2,330,985.70	\$ -	Construction of approximately 19,500 feet of 12 inch water main and a 1 MG elevated water storage tank	12,090 / 15,614	no / no	Cowley	no

Borrower Name	Project No.	Loan Agreement Commitment	Total Loan Payments	Balance of Loan Commitment	Project Description	Municipal* Population / Population Served	Small* System State/Fed	County	Financial Integrity Assurance Contract
Winfield 2	2233	\$ 6,042,119.69	\$ 6,042,119.69	\$ -	Water treatment plant improvements including an intake building, chemical feed and handling, sedimentation, disinfection system, filtration, pumping, storage, controls, and related appurtenances	12,158 / 15,682	no / no	Cowley	no
Woodson Co. RWD #1	2345	\$ 500,000.00	\$ 500,000.00	\$ -	Construction of approximately 20 miles of 3, 4, 6 and 8 inch pipe, and all related connections, borings, and appurtenances to allow the district to obtain water from Yates Center	1,507 / 1,597	yes / yes	Woodson	yes
Yates Center	2526	\$ 576,897.24	\$ 576,897.24	\$ -	Rehabilitation of the water treatment plant including improvements to the chemical handling systems, clarifiers, and filter media	1,493 / 4,114	yes / yes	Woodson	no

TOTALS

\$ 644,419,459.97   \$ 536,095,434.91   \$ 108,324,025.06



- ☆ 5 Base Program Projects
- ✓ ARRA Project
- ✓ Base Program Project

**KPWSLF**  
 Projects Funded Through FY2016  
 292 Projects — \$644,419,459.97

**PUBLIC WATER SUPPLY LOAN FUND**  
**PROJECT LOAN REPAYMENT AND INTEREST ACTIVITY**  
**June 30, 2016**

**EXHIBIT 9**

	<b>FY 1998-2015 TOTAL</b>	<b>9/30/2015</b>	<b>12/31/2015</b>	<b>3/31/2016</b>	<b>6/30/2016</b>	<b>FY 2016</b>	<b>FY 1998-2016 TOTAL</b>
Total Project Payments	518,335,716.15	8,472,549.46	2,636,514.15	2,916,786.84	1,366,337.94	15,392,188.39	<b>533,727,904.54</b>
Total Interest Accrued	2,080,340.57	35,927.02	0.00	30,323.96	0.00	66,250.98	<b>2,146,591.55</b>
Total Principal Outstanding	161,279,206.07	152,755,350.21	153,817,937.73	150,419,024.66	150,653,889.81	150,653,889.81	<b>150,653,889.81</b>
Total Fees Accrued	210,938.60	5,283.15	0.00	4,717.07	0.00	10,000.22	<b>220,938.82</b>
Total Principal Forgiveness	13,841,053.37	1,822,032.27	46,434.60	0.00	208,972.22	2,077,439.09	<b>15,918,492.46</b>
Total Principal Payments	345,506,735.88	15,215,583.22	1,527,492.03	6,350,740.94	922,500.57	24,016,316.76	<b>369,523,052.64</b>
Total Interest Payments	95,274,966.16	2,198,007.30	5,914.20	2,053,071.83	9,202.81	4,266,196.14	<b>99,541,162.30</b>
Total Fee Payments	9,390,522.02	274,175.34	361.32	261,079.64	1,104.56	536,720.86	<b>9,927,242.88</b>

STATE OF KANSAS  
PUBLIC WATER SUPPLY LOAN FUND  
Schedule of Cumulative Federal Awards

	CFDA Number	Award	Federal Expenditures	Undisbursed Balance
<b>U.S. Environmental Protection Agency: Kansas</b>				
<b>Department of Health and Environment - Drinking</b>				
<b>Water State Revolving Loan Fund Capitalization Grants -</b>				
Federal Fiscal Year 1997 - 2012	66.468	\$ 185,586,122	\$ 185,586,122	\$ -
Federal Fiscal Year 2013	66.468	10,302,000	10,302,000	-
Federal Fiscal Year 2014	66.468	10,080,000	10,080,000	-
Federal Fiscal Year 2015	66.468	9,858,934	9,131,490	727,444
Federal Fiscal Year 2016	66.468	9,473,000	-	9,473,000
Total Capitalization Grants		\$ 225,300,056	\$ 215,099,612	\$ 10,200,444
<b>1997 - 2012 Capitalization Grants and 2009 ARRA (Closed)</b>				
Leveraged bond reserve fund		115,468,720	115,468,720	-
Direct Loans		36,086,746	36,086,746	-
Program administration account		6,468,592	6,468,592	-
Technical assistance account		3,312,383	3,312,383	-
State program management account		1,830,181	1,830,181	-
Other authorized activities 1452K (1)b		1,765,000	1,765,000	-
Source water assessment account		1,154,500	1,154,500	-
ARRA - Direct Loans		19,500,000	19,500,000	-
Totals		\$ 185,586,122	\$ 185,586,122	\$ -
<b>2013 Capitalization Grant</b>				
Direct Loans		8,689,920	8,689,920	-
Program administration account		412,080	412,080	-
Technical assistance account		300,000	300,000	-
State program management account		300,000	300,000	-
Other authorized activities 1452K (1)b		600,000	600,000	-
Totals		\$ 10,302,000	\$ 10,302,000	\$ -
<b>2014 Capitalization Grant</b>				
Direct Loans		9,172,800	9,172,800	-
Program administration account		201,600	201,600	-
Technical assistance account		201,600	201,600	-
State program management account		302,400	302,400	-
Other authorized activities 1452K (1)b		201,600	201,600	-
Totals		\$ 10,080,000	\$ 10,080,000	\$ -
<b>2015 Capitalization Grant</b>				
Direct Loans		8,608,360	8,608,360	-
Program administration account		400,560	236,634	163,926
Technical assistance account		200,280	42,442	157,838
State program management account		302,400	16,030	286,370
Other authorized activities 1452K (1)b		347,334	228,024	119,310
Totals		\$ 9,858,934	\$ 9,131,490	\$ 727,444
<b>2016 Capitalization Grant</b>				
Direct Loans		7,862,590	-	7,862,590
Program administration account		568,380	-	568,380
Technical assistance account		189,460	-	189,460
State program management account		284,190	-	284,190
Other authorized activities 1452K (1)b		568,380	-	568,380
Totals		\$ 9,473,000	\$ -	\$ 9,473,000
<b>Program Totals</b>				
Leveraged bond reserve fund		115,468,720	115,468,720	-
Direct Loans		70,420,416	62,557,826	7,862,590
Program administration account		8,051,212	7,318,906	732,306
Technical assistance account		4,203,723	3,856,425	347,298
State program management account		3,019,171	2,448,611	570,560
Other authorized activities 1452K (1)b		3,482,314	2,794,624	687,690
Source water assessment account		1,154,500	1,154,500	-
ARRA - Direct Loans		19,500,000	19,500,000	-
Totals		\$ 225,300,056	\$ 215,099,612	\$ 10,200,444

	EPA Grant	Grant Award Date	SM requirement	2013 SM Bonds 12/17/13	2014 SM Bonds 12/18/14	2015 SM Bonds 12/21/15	Anticipated SM Bonds 12/2016
FFY 2015*	\$10,014,000	04/14/15	\$2,002,800	\$173,763.60	\$1,829,036.40		
FFY 2016	\$9,473,000	06/09/16	\$1,894,600		\$370,963.60	\$1,523,636.40	
FFY 2017**	\$9,473,000		\$1,894,600			\$476,363.60	\$1,418,236
<b>Total SM Bond Proceeds per Issue</b>				<b>\$1,300,000</b>	<b>\$2,200,000</b>	<b>\$2,000,000</b>	<b>\$1,418,236</b>

\* Grant was reduced by \$66,000 in SFY 2016 due to rescission.

\*\* Grant not yet allotted amounts are estimated.

## 2016 Project Priority List

Amended February 22, 2016

Municipality Name	Project #	Priority Rating	Project Description	Loan Request \$	Accumulative Amount \$	Population Served	Potential PF \$
Englewood	2880	38	Construct New Wells to Resolve Nitrate Compliance	\$1,000,000	\$1,000,000	77	\$300,000
Hiawatha	2849	38	Water Supply/Treatment Improvements to Reduce Nitrate	\$6,700,000	\$7,700,000	5,086	\$2,010,000
Brown Co. RWD #2/PWWSO	2839	36	New Wells to meet Compliance with Nitrate MCL	\$2,882,938	\$7,700,000	1,111	
Public Wholesale WSD # 27	2902	35	Create New PWS for Nitrate Compliance	\$4,360,410	\$12,060,410	\$1,200	\$1,308,123
Elk City	2912	33	Transmission Main to Connect to New Source	\$1,141,000	\$13,201,410	\$454	\$342,300
Oketo	2866	25	Replace Waterline and Interconnect with Washington RWD #1	\$417,000	\$13,618,410	65	
Kensington	2881	23	New Well, Disinfection Facility and Transmission Main	\$522,032	\$14,140,442	451	\$120,000
Ottawa Co. RWD #2	2911	15	New Waterline to Connect New Customers	\$125,000	\$14,265,442	1,927	\$37,500
Burrton	2870	15	Construct New Well and Transmission Main	\$300,000	\$14,565,442	900	
Bonner Springs	2798	15	Chlorine Contact Clearwell and Pumps, Morse Ave Loop	\$2,000,000	\$16,565,442	7,314	
Public Wholesale WSD # 25	2894	15	Create New Public Water Supply System	\$20,980,952	\$37,546,394	8,588	\$6,294,285.60
Chanute	2882	13	Waterline Loop along 21st St.	\$180,000	\$37,726,394	9,355	
Melvern	2910	13	Waterline Replacement	\$181,335	\$37,907,729	369	
Chanute	2883	13	Waterline Loop along 35th Rd.	\$225,000	\$38,132,729	9,355	
Coldwater	2889	13	New Pumpstation Construction	\$261,000	\$38,132,729	854	
Coffeyville	2875	13	Replace Transmission Main	\$366,960	\$38,132,729	16,262	
Galena	2886	13	Convert to AMR meters	\$500,000	\$38,632,729	2,994	
Moline	2892	13	Replace Waterlines and Meters	\$500,000	\$39,132,729	340	
Chanute	2884	13	New Inake and Pump Station at Santa Fe Lake	\$1,000,000	\$40,132,729	9,355	
Miltonvale	2901	13	Replace Waterlines and Meters	\$1,011,000	\$41,143,729	523	
Yates Center	2887	13	WTP Rehabilitation	\$1,500,000	\$42,643,729	3,942	
Aurora	2900	11	Well House Improvements	\$80,000	\$42,643,729	59	
Cowley Co. RWD #7	2913	11	Cowley Co. RWD # 7	\$75,000	\$42,643,729		
Edgerton	2903	11	Replace Meters with AMR system	\$300,000	\$42,943,729	1,703	
Belvue	2858	11	Connect to Pottawatomie Co. RWD #4	\$707,362	\$43,651,091	208	
Atchison	2890	11	Filter Rehabilitation	\$760,000	\$44,411,091	14,790	
Newton	2905	11	Tower Rehabilitation at Medical Center, High School, and Spencer	\$1,035,000	\$45,446,091	19,120	
Jetmore	2876	11	Replace Water Tower and Transmission Main	\$1,269,675	\$46,715,766	887	
Baldwin City	2896	11	Waterline Replacement	\$1,476,955	\$48,192,721	6,374	
Newton	2888	11	Water Storage Rehabilitation	\$1,985,000	\$50,177,721	19,177	
Liberal	2909	11	New Wells and Waterlines	\$2,000,000	\$52,177,721	24,098	
Newton	2908	11	New Tower for East Pressure Zone	\$2,300,000	\$54,477,721	19,120	

Exhibit 12 Project Priority List

Municipality Name	Project #	Priority Rating	Project Description	Loan Request \$	Accumulative Amount \$	Population Served	Potential PF \$
Ottawa	2877	11	Redundant Raw Waterline	\$2,900,000	\$57,377,721	15,493	
Ellis	2899	11	New Wells for Drought	\$4,000,000	\$61,377,721	2,090	
Newton	2906	11	Hillside Rd Waterline and replace 3.8MG Ground Storage Tank	\$4,050,000	\$65,427,721	19,120	
Newton	2907	11	Spencer Rd, Main Street, and 1st Street Waterlines	\$4,650,000	\$70,077,721	19,120	
Manhattan	2895	11	Water Treatment Plant Improvements	\$7,300,000	\$77,377,721	60,089	
Junction City	2893	11	Water Treatment Plant Improvements	\$13,236,000	\$90,613,721	27,321	
Bucklin	2904	10	Replace Meters	\$115,000	\$90,728,721	803	
Bonner Springs	2898	10	Waterline Replacement on Morse Ave	\$420,000	\$91,148,721	7,480	
Bonner Springs	2897	10	Waterline Replacement on 134th St	\$480,000	\$91,628,721	7,480	
Bonner Springs	2864	10	Waterline Replacement and Looping	\$1,300,000	\$92,928,721	7,419	
Butler Co. RWD #6	2815	10	Waterlines, Pumpstation, and Meter Improvements to Increase Pressures	\$2,100,000	\$95,028,721	3,428	
Butler Co. RWD #5	2891	10	Connect to New Source	\$5,500,000	\$100,528,721	6,227	

Total Potential Principal Forgiveness \$10,412,208.6

23% of funds on PPL for systems with population of less than 5,000 for entire list

63% of funds on PPL for systems with population of less than 10,000 for entire list



New Projects added by amendment

# Loans Designated as Receiving Federal Funds for Single Audit Act Purposes

Federal Funds Designated for 2010		Capitalization Grant			
Municipality	Project #	Loan Amount	Loan Date	Loan Executed Date	Loan Amount Finalized
Atchison	2745	\$3,224,692.17	1/23/2012	8/28/2012	<input checked="" type="checkbox"/>
Beloit	2760	\$515,000.00	12/21/2011	1/20/2012	<input checked="" type="checkbox"/>
Chanute	2722	\$112,638.60	5/4/2011	8/5/2011	<input checked="" type="checkbox"/>
Copeland	2477	\$53,824.06	4/27/2011	6/30/2011	<input checked="" type="checkbox"/>
Delphos	2763	\$83,592.50	12/6/2011	2/16/2012	<input checked="" type="checkbox"/>
Dickinson Co. RWD #1	2751	\$237,517.07	1/9/2012	3/23/2012	<input checked="" type="checkbox"/>
Dickinson Co. RWD #2	2518	\$1,037,723.51	12/21/2011	6/19/2012	<input checked="" type="checkbox"/>
Geneseo	2754	\$343,511.48	1/23/2012	5/22/2012	<input checked="" type="checkbox"/>
Johnson County RWD #7	2729	\$171,700.00	3/16/2011	5/12/2011	<input checked="" type="checkbox"/>
Kinsley	2752	\$703,063.97	12/5/2011	12/28/2011	<input checked="" type="checkbox"/>
Larned	2746	\$391,004.51	1/26/2012	3/9/2012	<input checked="" type="checkbox"/>
Liebenthal	2755	\$75,059.50	1/9/2012	3/15/2012	<input checked="" type="checkbox"/>
Lyons	2757	\$4,046,167.98	12/6/2011	1/3/2012	<input checked="" type="checkbox"/>
Manhattan	2743	\$3,091,960.00	12/21/2011	2/10/2013	<input type="checkbox"/>
Manhattan	2720	\$506,898.65	9/9/2011	12/11/2011	<input checked="" type="checkbox"/>
Marion	2739	\$180,751.88	12/5/2011	1/11/2012	<input checked="" type="checkbox"/>
Marysville	2734	\$547,952.34	1/9/2012	2/16/2012	<input checked="" type="checkbox"/>
Medicine Lodge	2748	\$480,595.56	12/5/2011	2/1/2012	<input checked="" type="checkbox"/>
Ogden	2753	\$168,347.77	12/2/2011	12/23/2011	<input checked="" type="checkbox"/>
Osage Co. RWD #7	2652	\$829,278.28	9/9/2013	10/8/2013	<input checked="" type="checkbox"/>
Russell	2731	\$350,098.75	1/9/2012	2/27/2012	<input checked="" type="checkbox"/>
Smith Co. RWD #1	2547	\$140,051.37	1/9/2012	3/13/2012	<input checked="" type="checkbox"/>
<b>Total Loan Amount</b>		<b>\$17,291,429.95</b>			

Exhibit 13a - Loans Designated as Receiving Federal Funds for Single Audit Act Purposes

**Federal Funds Designated fo 2012**

**Capitalization Grant**

<b>Municipality</b>	<b>Project #</b>	<b>Loan Amount</b>	<b>Loan Date</b>	<b>Loan Executed Date</b>	<b>Loan Amount Finalized</b>
Bird City	2803	\$510,000.00	10/8/2012	6/6/2013	<input checked="" type="checkbox"/>
Bonner Springs	2453	\$880,026.30	10/8/2012	1/31/2013	<input checked="" type="checkbox"/>
Cottonwood Falls	2792	\$1,166,876.37	10/1/2012	11/5/2012	<input checked="" type="checkbox"/>
Leoti	2777	\$40,100.16	10/1/2012	11/21/2012	<input checked="" type="checkbox"/>
Moundridge	2795	\$1,060,000.00	10/3/2013	11/15/2013	<input checked="" type="checkbox"/>
Salina	2629	\$9,330,000.00	1/11/2013	3/20/2013	<input type="checkbox"/>
Sedgwick Co. RWD #2	2742	\$360,935.71	9/10/2012	10/23/2012	<input checked="" type="checkbox"/>
<b>Total Loan Amount</b>		<b>\$13,347,938.54</b>			

**Federal Funds Designated fo 2013**

**Capitalization Grant**

<b>Municipality</b>	<b>Project #</b>	<b>Loan Amount</b>	<b>Loan Date</b>	<b>Loan Executed Date</b>	<b>Loan Amount Finalized</b>
Chanute	2837	\$424,000.00	9/3/2013	9/25/2013	<input checked="" type="checkbox"/>
Conway Springs	2844	\$2,324,310.00	12/3/2013	1/14/2014	<input type="checkbox"/>
Girard	2508	\$3,228,900.00	6/3/2013	6/21/2013	<input type="checkbox"/>
Oberlin	2775	\$4,758,514.42	7/18/2013	8/21/2013	<input checked="" type="checkbox"/>
Pittsburg	2794	\$554,592.15	8/29/2013	10/28/2013	<input checked="" type="checkbox"/>
<b>Total Loan Amount</b>		<b>\$11,290,316.57</b>			

**Federal Funds Designated fo 2014**

**Capitalization Grant**

<b>Municipality</b>	<b>Project #</b>	<b>Loan Amount</b>	<b>Loan Date</b>	<b>Loan Executed Date</b>	<b>Loan Amount Finalized</b>
Kansas City BPU	2823	\$13,000,000.00	7/9/2014	7/23/2014	<input type="checkbox"/>
<b>Total Loan Amount</b>		<b>\$13,000,000.00</b>			

**Federal Funds Designated fo 2015 & 2016**

**Capitalization Grant**

<b>Municipality</b>	<b>Project #</b>	<b>Loan Amount</b>	<b>Loan Date</b>	<b>Loan Executed Date</b>	<b>Loan Amount Finalized</b>
Public Wholesale WSD #25	2894	\$19,878,195.00	5/9/2016	6/21/2016	<input type="checkbox"/>
<b>Total Loan Amount</b>		<b>\$19,878,195.00</b>			

# Calendar Year Disbursements Designated as Federal Funds for Single Audit Purposes

## Loan Recipient: Atchison

**Project #** 2745  
**Loan Amount** \$3,224,692.17  
**Designated Federal Funds Disbursed for** 2010 **Grant**

### Disbursements for Calendar Year 2015

Date	Amount disbursed		
1/26/2015	\$26,673.15		
3/23/2015	\$103,895.00		
5/26/2015	(\$3,058.50)		
<b>Total Amount Disbursed for Year</b>	<b>\$127,509.65</b>	<b>Single Audit Required by SRF</b>	<b>No</b>

## Loan Recipient: Bird City

**Project #** 2803  
**Loan Amount** \$510,000.00  
**Designated Federal Funds Disbursed for** 2012 **Grant**

### Disbursements for Calendar Year 2015

Date	Amount disbursed		
3/16/2015	\$10,184.45		
6/1/2015	\$475.00		
6/1/2015	\$47,195.11		
6/15/2015	\$84,618.42		
7/27/2015	\$109,904.11		
8/31/2015	\$102,965.98		
12/28/2015	\$32,039.83		
<b>Total Amount Disbursed for Year</b>	<b>\$387,382.90</b>	<b>Single Audit Required by SRF</b>	<b>No</b>

**Loan Recipient: Bonner Springs**

**Project #** 2453  
**Loan Amount** \$880,026.30  
**Designated Federal Funds Disbursed for** 2012 **Grant**

**Disbursements for Calendar Year 2015**

<b>Date</b>	<b>Amount disbursed</b>		
4/6/2015	\$875.00		
4/6/2015	\$504,077.35		
9/21/2015	\$189,931.61		
12/7/2015	(\$49.93)		
<b>Total Amount Disbursed for Year</b>	<b>\$694,834.03</b>	<b>Single Audit Required by SRF</b>	<b>No</b>

**Loan Recipient: Chanute**

**Project #** 2837  
**Loan Amount** \$424,000.00  
**Designated Federal Funds Disbursed for** 2013 **Grant**

**Disbursements for Calendar Year 2015**

<b>Date</b>	<b>Amount disbursed</b>		
5/26/2015	\$1,060.00		
5/26/2015	\$70,470.00		
7/20/2015	\$142,965.00		
<b>Total Amount Disbursed for Year</b>	<b>\$214,495.00</b>	<b>Single Audit Required by SRF</b>	<b>No</b>

**Loan Recipient: Cottonwood Falls**

**Project #** 2792  
**Loan Amount** \$1,166,876.37  
**Designated Federal Funds Disbursed for 2012 Grant**

**Disbursements for Calendar Year 2015**

<b>Date</b>	<b>Amount disbursed</b>
1/26/2015	\$122,872.01
3/2/2015	\$61,367.82
3/23/2015	\$107,568.50
4/27/2015	\$6,926.33
10/12/2015	\$175.00
10/12/2015	\$123,709.33
10/12/2015	\$700.00
11/23/2015	(\$31.24)
11/23/2015	(\$7.81)

**Total Amount Disbursed for Year** \$423,279.94      **Single Audit Required by SRF** **No**

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**Loan Recipient: Dickinson Co. RWD #2**

**Project #** 2518  
**Loan Amount** \$1,037,723.51  
**Designated Federal Funds Disbursed for 2010 Grant**

**Disbursements for Calendar Year 2015**

<b>Date</b>	<b>Amount disbursed</b>
2/23/2015	\$14,817.31
2/23/2015	\$133,355.77
3/23/2015	\$160.00
3/23/2015	\$1,440.00
5/26/2015	\$74,841.00
5/27/2015	\$8,315.67
8/24/2015	\$78,496.05
8/24/2015	\$8,721.78
10/5/2015	(\$87.53)
10/5/2015	(\$157.55)
10/5/2015	(\$630.18)

**Total Amount Disbursed for Year** \$319,272.32 **Single Audit Required by SRF** **No**

**Loan Recipient: Geneseo**

**Project #** 2754  
**Loan Amount** \$343,511.48  
**Designated Federal Funds Disbursed for 2010 Grant**

**Disbursements for Calendar Year 2015**

<b>Date</b>	<b>Amount disbursed</b>
1/12/2015	\$46,019.70
3/2/2015	\$39,864.10
4/6/2015	\$2,860.00
7/13/2015	\$137,635.95
8/24/2015	(\$336.71)

**Total Amount Disbursed for Year** \$226,043.04      **Single Audit Required by SRF** **No**

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**Loan Recipient: Girard**

**Project #** 2508  
**Loan Amount** \$3,228,900.00  
**Designated Federal Funds Disbursed for 2013 Grant**

**Disbursements for Calendar Year 2015**

<b>Date</b>	<b>Amount disbursed</b>
2/9/2015	\$329,268.18
3/9/2015	\$91,350.00
4/6/2015	\$194,572.69
4/20/2015	\$297,868.63
5/18/2015	\$200,721.76
5/26/2015	\$1,114.93
5/26/2015	\$6,243.58
6/18/2015	\$88,725.90
7/13/2015	\$30,217.00
8/10/2015	\$126,526.00
10/5/2015	\$6,754.00
10/30/2015	\$255,444.30
12/14/2015	\$36,771.90

**Total Amount Disbursed for Year** \$1,665,578.87      **Single Audit Required by SRF** **Yes**

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**Loan Recipient: Kansas City BPU**

**Project #** 2570  
**Loan Amount** \$12,230,500.00  
**Designated Federal Funds Disbursed for** 2011 **Grant**

**Disbursements for Calendar Year 2015**

**Date** **Amount disbursed**

1/12/2015 \$454,927.83

**Total Amount Disbursed for Year** \$454,927.83 **Single Audit Required by SRF** **No**

**Project #** 2823  
**Loan Amount** \$13,000,000.00  
**Designated Federal Funds Disbursed for** 2014 **Grant**

**Disbursements for Calendar Year 2015**

**Date** **Amount disbursed**

5/18/2015 \$1,278,929.88

5/18/2015 \$32,500.00

7/13/2015 \$1,209,215.65

9/14/2015 \$5,655.55

9/14/2015 \$879.75

11/23/2015 \$208,776.81

**Total Amount Disbursed for Year** \$2,735,957.64 **Single Audit Required by SRF** **Yes**

**Loan Recipient: Lyons**

**Project #** 2757  
**Loan Amount** \$4,046,167.98  
**Designated Federal Funds Disbursed for 2010 Grant**

**Disbursements for Calendar Year 2015**

Date	Amount disbursed
------	------------------

1/26/2015	\$500.00
1/26/2015	\$426,264.51
5/18/2015	(\$40.52)

**Total Amount Disbursed for Year** \$426,723.99      **Single Audit Required by SRF** **No**

---

**Loan Recipient: Manhattan**

**Project #** 2743  
**Loan Amount** \$3,091,960.00  
**Designated Federal Funds Disbursed for 2010 Grant**

**Disbursements for Calendar Year 2015**

Date	Amount disbursed
------	------------------

8/24/2015	\$381,785.20
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**Total Amount Disbursed for Year** \$381,785.20      **Single Audit Required by SRF** **No**

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**Loan Recipient: Marysville**

**Project #** 2734  
**Loan Amount** \$547,952.34  
**Designated Federal Funds Disbursed for 2010 Grant**

**Disbursements for Calendar Year 2015**

	<b>Date</b>	<b>Amount disbursed</b>		
	10/30/2015	\$264,600.00		
	11/13/2015	(\$56,000.00)		
<b>Total Amount Disbursed for Year</b>		\$208,600.00	<b>Single Audit Required by SRF</b>	<b>No</b>

**Loan Recipient: Moundridge**

**Project #** 2795  
**Loan Amount** \$1,060,000.00  
**Designated Federal Funds Disbursed for 2012 Grant**

**Disbursements for Calendar Year 2015**

	<b>Date</b>	<b>Amount disbursed</b>		
	1/26/2015	\$45,900.00		
	1/26/2015	\$2,650.00		
	2/16/2015	\$281,379.00		
	3/16/2015	\$40,590.75		
	7/2/2015	\$314,104.78		
	8/3/2015	\$5,131.25		
	9/14/2015	\$262,291.57		
	9/21/2015	\$68,628.00		
<b>Total Amount Disbursed for Year</b>		\$1,020,675.35	<b>Single Audit Required by SRF</b>	<b>Yes</b>

**Loan Recipient: Oberlin**

Project # 2775  
 Loan Amount \$4,758,514.42  
 Designated Federal Funds Disbursed for 2013 Grant

**Disbursements for Calendar Year 2015**

Date	Amount disbursed		
1/5/2015	\$509,708.63		
4/6/2015	\$52,342.74		
7/2/2015	\$25,070.50		
8/31/2015	(\$6,603.71)		
<b>Total Amount Disbursed for Year</b>	<b>\$580,518.16</b>	<b>Single Audit Required by SRF</b>	<b>No</b>

**Loan Recipient: Osage Co. RWD #7**

Project # 2652  
 Loan Amount \$829,278.28  
 Designated Federal Funds Disbursed for 2010 Grant

**Disbursements for Calendar Year 2015**

Date	Amount disbursed		
1/26/2015	\$60,996.59		
1/26/2015	\$6,777.40		
2/23/2015	\$6,639.68		
2/23/2015	\$59,757.15		
6/19/2015	\$58,532.21		
6/19/2015	\$6,503.58		
8/10/2015	(\$97.12)		
8/10/2015	(\$174.83)		
8/10/2015	(\$699.33)		
<b>Total Amount Disbursed for Year</b>	<b>\$198,235.33</b>	<b>Single Audit Required by SRF</b>	<b>No</b>

**Loan Recipient: Pittsburg**

**Project #** 2794  
**Loan Amount** \$554,592.15  
**Designated Federal Funds Disbursed for** 2013 **Grant**

**Disbursements for Calendar Year 2015**

<b>Date</b>	<b>Amount disbursed</b>		
3/9/2015	\$55,320.57		
8/10/2015	(\$1,113.52)		
<b>Total Amount Disbursed for Year</b>	<b>\$54,207.05</b>	<b>Single Audit Required by SRF</b>	<b>No</b>

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# Principal Forgiveness Report

Exhibit 14

Grant Year	Municipality	Project #	Initial PF Amount	Payment Adjustment	Loan Final
2010					
	Beloit	2760	\$194,000.00	\$12,000.00	<input checked="" type="checkbox"/>
				Net PF Amount	\$206,000.00
	Beverly	2781	\$33,834.60	\$12,600.00	<input checked="" type="checkbox"/>
				Net PF Amount	\$46,434.60
	Buhler	2701	\$0.00	\$332,542.98	<input checked="" type="checkbox"/>
				Net PF Amount	\$332,542.98
	Chanute	2722	\$50,660.00	\$27.37	<input checked="" type="checkbox"/>
				Net PF Amount	\$50,687.37
	Copeland	2477	\$25,200.00	(\$979.17)	<input checked="" type="checkbox"/>
				Net PF Amount	\$24,220.83
	Delphos	2763	\$86,000.00	(\$52,563.00)	<input checked="" type="checkbox"/>
				Net PF Amount	\$33,437.00
	Dickinson Co. RWD #1	2751	\$16,100.00	\$24,006.83	<input checked="" type="checkbox"/>
	Dickinson Co. RWD #1	2751	\$16,100.00	\$54,900.00	<input checked="" type="checkbox"/>
				Net PF Amount	\$95,006.83
	Geneseo	2754	\$62,160.00	(\$44,633.56)	<input checked="" type="checkbox"/>
				Net PF Amount	\$17,526.44
	Girard	2508	\$96,000.00		<input type="checkbox"/>
				Net PF Amount	\$96,000.00
	Harper	2772	\$601,578.90	(\$229,752.05)	<input checked="" type="checkbox"/>
				Net PF Amount	\$371,826.85
	Hill City	2608	\$0.00	\$85,740.36	<input checked="" type="checkbox"/>
				Net PF Amount	\$85,740.36
	Johnson County RWD #7	2729	\$68,680.00	\$8,585.00	<input checked="" type="checkbox"/>
				Net PF Amount	\$77,265.00
	Lakin	2564	\$0.00	\$287,500.00	<input checked="" type="checkbox"/>
	Lakin	2564	\$0.00	(\$78,527.78)	<input checked="" type="checkbox"/>
				Net PF Amount	\$208,972.22
	Leoti	2777	\$861,808.05	(\$849,778.00)	<input checked="" type="checkbox"/>
				Net PF Amount	\$12,030.05
	Liebenthal	2755	\$24,060.00	(\$1,542.15)	<input checked="" type="checkbox"/>

Grant Year	Municipality	Project #	Initial PF Amount	dment Adjustment	Loan Final	Exhibit 14
					Net PF Amount	\$22,517.85
Lyons		2757	\$418,420.00		\$347,338.80	<input checked="" type="checkbox"/>
Lyons		2757	\$418,420.00		\$779,192.00	<input checked="" type="checkbox"/>
Lyons		2757	\$418,420.00		(\$6,483.61)	<input checked="" type="checkbox"/>
Lyons		2757	\$418,420.00		\$80,000.00	<input checked="" type="checkbox"/>
					Net PF Amount	\$1,618,467.19
Manhattan		2720	\$284,319.00		(\$157,594.34)	<input checked="" type="checkbox"/>
					Net PF Amount	\$126,724.66
Marion		2739	\$86,200.00		(\$13,899.25)	<input checked="" type="checkbox"/>
					Net PF Amount	\$72,300.75
Marysville		2734	\$3,896.00		(\$3,896.00)	<input checked="" type="checkbox"/>
					Net PF Amount	\$0.00
Medicine Lodge		2748	\$200,768.00		(\$8,529.78)	<input checked="" type="checkbox"/>
					Net PF Amount	\$192,238.22
Mitchell Co. RWD #2		2555	\$167,670.00		(\$47,598.67)	<input checked="" type="checkbox"/>
					Net PF Amount	\$120,071.33
Ogden		2753	\$79,500.00		(\$12,160.89)	<input checked="" type="checkbox"/>
					Net PF Amount	\$67,339.11
Osage Co. RWD #7		2652	\$200,000.00		\$3,662.39	<input checked="" type="checkbox"/>
					Net PF Amount	\$203,662.39
Sedgwick Co. RWD #2		2742	\$54,000.00		(\$10,323.94)	<input checked="" type="checkbox"/>
					Net PF Amount	\$43,676.06
St. John		2724	\$689,592.00		\$133,787.37	<input checked="" type="checkbox"/>
					Net PF Amount	\$823,379.37

Projected Principal Forgiveness for 2010 \$4,948,067.46

Awarded Principal Forgiveness for 2010 \$4,852,067.46

Required Principal Forgiveness Amount At least \$4,981,500

Grant Year	Municipality	Project #	Initial PF Amount	Payment Adjustment	Loan Final
2011					
	Atchison	2566	\$1,498,650.00	\$1,379,661.90	<input type="checkbox"/>
				Net PF Amount	\$2,878,311.90
	Manhattan	2743	\$1,233,700.00		<input type="checkbox"/>
				Net PF Amount	\$1,233,700.00
<hr/>					
	Projected Principal Forgiveness for	2011	\$4,112,011.90		
	Awarded Principal Forgiveness for	2011	\$0.00		
	Required Principal Forgiveness Amount	At least \$3,456,600			

2012					
	Argonia	2702	\$484,267.80	\$138,747.60	<input type="checkbox"/>
				Net PF Amount	\$623,015.40
	Conway Springs	2844	\$697,293.00		<input type="checkbox"/>
				Net PF Amount	\$697,293.00
	Hiawatha	2849	\$744,975.01		<input type="checkbox"/>
				Net PF Amount	\$744,975.01
	Oberlin	2775	\$1,500,000.00	(\$270,983.41)	<input checked="" type="checkbox"/>
				Net PF Amount	\$1,229,016.59
<hr/>					
	Projected Principal Forgiveness for	2012	\$3,294,300.00		
	Awarded Principal Forgiveness for	2012	\$1,229,016.59		
	Required Principal Forgiveness Amount	\$2,196,200 but no more than \$3,294,300			

Grant Year	Municipality	Project #	Initial PF Amount	dment Adjustment	Loan Final
<b>2013</b>					
	Hiawatha	2849	\$1,265,024.99		<input type="checkbox"/>
				Net PF Amount	<input type="text" value="\$1,265,024.99"/>
	Norwich	2832	\$678,062.00		<input type="checkbox"/>
				Net PF Amount	<input type="text" value="\$678,062.00"/>
	Public Wholesale WSD #25	2894	\$1,147,513.01		<input type="checkbox"/>
				Net PF Amount	<input type="text" value="\$1,147,513.01"/>

Projected Principal Forgiveness for 2013

Awarded Principal Forgiveness for 2013

Required Principal Forgiveness Amount \$2,060,400 but no more than \$3,090,600

<b>2014</b>					
	Public Wholesale WSD #25	2894	\$3,024,000.00		<input type="checkbox"/>
				Net PF Amount	<input type="text" value="\$3,024,000.00"/>

Projected Principal Forgiveness for 2014

Awarded Principal Forgiveness for 2014

Required Principal Forgiveness Amount \$2,016,000 but no more than \$3,024,000

<b>2015</b>					
	Public Wholesale WSD #25	2894	\$1,791,945.49		<input type="checkbox"/>
				Net PF Amount	<input type="text" value="\$1,791,945.49"/>

Projected Principal Forgiveness for 2015

Awarded Principal Forgiveness for 2015

Required Principal Forgiveness Amount \$2,002,800 but no more than \$3,004,200

# RESULTS SUMMARY

2010 Grant

Change Search Filters ▲

Filters: Grants; FY 2016, FY 2015, FY 2014, FY 2013, FY 2012, FY 2011, FY 2010, FY 2009, FY 2008; 99751610

<b>Total Number of Transactions</b> <b>35</b>	<b>Total Prime Recipient Transaction Amount</b> <b>\$16,605,000</b>	<b>Total Sub-Award Transaction Amount</b> <b>\$16,605,000</b>
<b>0 Contracts</b>	<b>35 Grants</b>	<b>0 Loans</b>
<b>0 Other Financial Assistance</b>		

## SEARCH RESULTS

1 to 35 of 35 transactions.

Download

Recipient ▲	Award ID †	Award Amount †	Award Date †	Award Type †	Awarding Agency †	Funding Agency †	Role †
BELOIT, CITY OF	KPWSLF2780	\$515,000	1/20/2012	Sub-Grant	Environmental Protection Agency	N/A	Sub
CHANUTE, CITY OF	2722	\$112,639	8/5/2011	Sub-Grant	Environmental Protection Agency	N/A	Sub
CITY OF ATCHISON	KPWSLF 2745	\$3,224,692	8/28/2012	Sub-Grant	Environmental Protection Agency	N/A	Sub
CITY OF LIEBENTHAL	KPWSLF2755	\$75,060	3/15/2012	Sub-Grant	Environmental Protection Agency	N/A	Sub
City Of Delphos	KPWSLF2783	\$83,593	2/16/2012	Sub-Grant	Environmental Protection Agency	N/A	Sub
DICKINSON COUNTY RURAL WATER DISTRICT #2	KPWSLF2518	\$1,100,742	6/19/2012	Sub-Grant	Environmental Protection Agency	N/A	Sub
Dickinson County Rural Water District 1	KPWSLF2751	\$237,517	3/23/2012	Sub-Grant	Environmental Protection Agency	N/A	Sub
GENESE0, CITY OF	KPWSLF2754	\$478,196	5/22/2012	Sub-Grant	Environmental Protection Agency	N/A	Sub
GLOBAL ENVIRONMENTAL CONSULTING, INC.	PO15701	\$124,000	11/29/2012	Sub-Grant	Environmental Protection Agency	N/A	Sub
GLOBAL ENVIRONMENTAL CONSULTING, INC.	PO 9472	\$15,000	11/7/2011	Sub-Grant	Environmental Protection Agency	N/A	Sub
KANSAS MUNICIPAL UTILITIES INC	37558	\$139,000	11/27/2012	Sub-Grant	Environmental Protection Agency	N/A	Sub
KANSAS RURAL WATER ASSOCIATION	PO9144	\$38,674	9/8/2011	Sub-Grant	Environmental Protection Agency	N/A	Sub
KANSAS RURAL WATER ASSOCIATION	3305	\$200,000	7/14/2010	Sub-Grant	Environmental Protection Agency	N/A	Sub
KANSAS RURAL WATER ASSOCIATION	PO9558	\$283,650	9/8/2011	Sub-Grant	Environmental Protection Agency	N/A	Sub
KANSAS RURAL WATER ASSOCIATION	PO 9327	\$97,000	11/7/2011	Sub-Grant	Environmental Protection Agency	N/A	Sub
KINSLEY, CITY OF	KPWSLF 2752	\$703,064	12/28/2011	Sub-Grant	Environmental Protection Agency	N/A	Sub

Kansas Department of Health and Environment	99751810	\$0	12/8/2010	Grants	Environmental Protection Agency	N/A	Prime
Kansas Department of Health and Environment	99751810	\$18,605,000	11/8/2010	Grants	Environmental Protection Agency	N/A	Prime
LARNED, CITY OF	KPWSLF2746	\$391,005	3/9/2012	Sub-Grant	Environmental Protection Agency	N/A	Sub
LYONS INC, CITY OF	KPWSLF2757	\$4,046,168	1/3/2012	Sub-Grant	Environmental Protection Agency	N/A	Sub
MANHATTAN, CITY OF	KPWSLF 2720	\$508,899	12/11/2010	Sub-Grant	Environmental Protection Agency	N/A	Sub
MANHATTAN, CITY OF	KPWSLF2743	\$859,652	2/10/2013	Sub-Grant	Environmental Protection Agency	N/A	Sub
MARION, CITY OF	KPWSLF2739	\$180,752	1/11/2012	Sub-Grant	Environmental Protection Agency	N/A	Sub
MARYSVILLE, CITY OF	KPWSLF2734	\$652,084	2/16/2012	Sub-Grant	Environmental Protection Agency	N/A	Sub
MEDICINE LODGE CITY HALL	KPWSLF2748	\$480,960	2/1/2012	Sub-Grant	Environmental Protection Agency	N/A	Sub
MOOSEPOINT TECHNOLOGY, INC	PO 14218	\$42,600	10/22/2012	Sub-Grant	Environmental Protection Agency	N/A	Sub
OGDEN KANSAS, CITY OF	KPWSLF2753	\$168,348	12/23/2011	Sub-Grant	Environmental Protection Agency	N/A	Sub
RURAL WATER DISTRICT 7 OF OSAGE COUNTY	KPWSLF2852	\$829,278	10/8/2013	Sub-Grant	Environmental Protection Agency	N/A	Sub
RUSSELL, CITY OF	KPWSLF2731	\$350,099	2/27/2012	Sub-Grant	Environmental Protection Agency	N/A	Sub
Ranson Financial Consulta	PO8896	\$100,000	8/26/2011	Sub-Grant	Environmental Protection Agency	N/A	Sub
Ranson Financial Consulta	Contract ID 37388 Event ID 1585	\$200,000	9/18/2012	Sub-Grant	Environmental Protection Agency	N/A	Sub
Ranson Financial Consulta	PO14033	\$25,000	8/1/2012	Sub-Grant	Environmental Protection Agency	N/A	Sub
Rural Water District 7 Of Johnson County	KPWSLF2729	\$171,700	5/12/2011	Sub-Grant	Environmental Protection Agency	N/A	Sub
WICHITA STATE UNIVERSITY	PO12935	\$100,000	8/30/2012	Sub-Grant	Environmental Protection Agency	N/A	Sub
WICHITA STATE UNIVERSITY	PO # 8881	\$72,630	10/19/2011	Sub-Grant	Environmental Protection Agency	N/A	Sub

1 to 35 of 35 transactions.

[Download](#)

## RESULTS SUMMARY

2011 Grant

Change Search Filters ▲

Filters: Grants; FY 2016, FY 2015, FY 2014, FY 2013, FY 2012, FY 2011, FY 2010, FY 2009, FY 2008; 99751611

Total Number of Transactions

7

Total Prime Recipient Transaction Amount

\$11,871,322

Total Sub-Award Transaction Amount

\$11,871,322

0 Contracts

7 Grants

0 Loans

0 Other Financial Assistance

## SEARCH RESULTS

1 to 7 of 7 transactions.

Download

Recipient ▲	Award ID ↕	Award Amount ↕	Award Date ↕	Award Type ↕	Awarding Agency ↕	Funding Agency ↕	Role ↕
City Of Saint John	KPWLSF2724	\$0	2/29/2012	Sub-Grant	Environmental Protection Agency	N/A	Sub
KANSAS CITY BOARD OF PUBLIC UTILITIES	KPWLSF2570	\$11,798,322	5/14/2012	Sub-Grant	Environmental Protection Agency	N/A	Sub
KANSAS RURAL WATER ASSOCIATION	PO14034	\$75,000	8/6/2012	Sub-Grant	Environmental Protection Agency	N/A	Sub
Kansas Department of Health and Environment	99751611	\$349,322	7/17/2012	Grants	Environmental Protection Agency	N/A	Prime
Kansas Department of Health and Environment	99751611	\$0	12/16/2013	Grants	Environmental Protection Agency	N/A	Prime
Kansas Department of Health and Environment	99751611	\$11,522,000	9/28/2011	Grants	Environmental Protection Agency	N/A	Prime
Kansas Department of Health and Environment	99751611	\$0	8/14/2012	Grants	Environmental Protection Agency	N/A	Prime

1 to 7 of 7 transactions.

Download

## RESULTS SUMMARY

2012 Grant

Change Search Filters ▲

Filters: Grants; FY 2016, FY 2015, FY 2014, FY 2013, FY 2012, FY 2011, FY 2010, FY 2009, FY 2008; 99751612

Total Number of Transactions

9

Total Prime Recipient Transaction Amount

\$10,981,000

Total Sub-Award Transaction Amount

\$10,981,000

0 Contracts

9 Grants

0 Loans

0 Other Financial Assistance

## SEARCH RESULTS

1 to 9 of 9 transactions.

Download

Recipient ▲	Award ID ⇅	Award Amount ⇅	Award Date ⇅	Award Type ⇅	Awarding Agency ⇅	Funding Agency ⇅	Role ⇅
BONNER SPRINGS, CITY OF	KPWSLF2453	\$880,028	1/31/2013	Sub-Grant	Environmental Protection Agency	N/A	Sub
CITY OF COTTONWOOD FALLS	KPWSLF2792	\$1,166,876	11/5/2012	Sub-Grant	Environmental Protection Agency	N/A	Sub
CITY OF MOUNDRIDGE	KPWSLF2795	\$1,080,000	11/15/2013	Sub-Grant	Environmental Protection Agency	N/A	Sub
KANSAS RURAL WATER ASSOCIATION	37559	\$215,000	11/27/2012	Sub-Grant	Environmental Protection Agency	N/A	Sub
Kansas Department of Health and Environment	99751612	\$0	12/16/2013	Grants	Environmental Protection Agency	N/A	Prime
Kansas Department of Health and Environment	99751612	\$10,981,000	8/14/2012	Grants	Environmental Protection Agency	N/A	Prime
LEOTI, CITY OF	KPWSLF2777	\$40,100	11/21/2012	Sub-Grant	Environmental Protection Agency	N/A	Sub
SALINA, CITY OF	KPWSLF2629	\$7,258,061	3/20/2013	Sub-Grant	Environmental Protection Agency	N/A	Sub
SEDGWICK COUNTY RURAL WATER DISTRICT	KPWSLF2742	\$380,936	10/23/2012	Sub-Grant	Environmental Protection Agency	N/A	Sub

1 to 9 of 9 transactions.

Download

# RESULTS SUMMARY

2013 Grant

Exhibit 15

Change Search Filters ▲

Filters: Grants; FY 2016, FY 2015, FY 2014, FY 2013, FY 2012, FY 2011, FY 2010, FY 2009, FY 2008; 99751613

Total Number of [Transactions](#)

11

Total Prime Recipient Transaction Amount

\$10,302,000

Total Sub-Award Transaction Amount

\$10,302,000

0 Contracts

11 Grants

0 Loans

0 Other Financial Assistance

## SEARCH RESULTS

1 to 11 of 11 transactions.

Download

Recipient ▲	Award ID ▲	Award Amount ▲	Award Date ▲	Award Type ▲	Awarding Agency ▲	Funding Agency ▲	Role ▲
CHANUTE, CITY OF	KPWSLF2837	\$319,681	9/25/2013	Sub-Grant	Environmental Protection Agency	N/A	Sub
GIRARD, CITY OF	KPWSLF2508	\$1,503,489	8/21/2013	Sub-Grant	Environmental Protection Agency	N/A	Sub
KANSAS MUNICIPAL UTILITIES INC	PO19875	\$139,000	8/29/2013	Sub-Grant	Environmental Protection Agency	N/A	Sub
KANSAS RURAL WATER ASSOCIATION	PO20628	\$220,000	8/28/2013	Sub-Grant	Environmental Protection Agency	N/A	Sub
Kansas Department of Health and Environment	99751613	\$10,302,000	7/29/2013	Grants	Environmental Protection Agency	N/A	Prime
MOOSEPOINT TECHNOLOGY, INC	PO14216	\$57,135	7/8/2013	Sub-Grant	Environmental Protection Agency	N/A	Sub
OBERLIN, CITY OF	KPWSLF2775	\$4,758,514	8/21/2013	Sub-Grant	Environmental Protection Agency	N/A	Sub
PITTSBURG, CITY OF	KPWSLF2794	\$554,592	10/28/2013	Sub-Grant	Environmental Protection Agency	N/A	Sub
Ranson Financial Consulta	PO19874	\$100,000	8/29/2013	Sub-Grant	Environmental Protection Agency	N/A	Sub
SALINA, CITY OF	KPWSLF2841	\$2,524,589	3/6/2014	Sub-Grant	Environmental Protection Agency	N/A	Sub
WICHITA STATE UNIVERSITY	PO12935	\$125,000	8/20/2013	Sub-Grant	Environmental Protection Agency	N/A	Sub

1 to 11 of 11 transactions.

Download

## RESULTS SUMMARY

2014 Grant

Change Search Filters ^

Filters: Grants; FY 2016, FY 2015, FY 2014, FY 2013, FY 2012, FY 2011, FY 2010, FY 2009, FY 2008; 99751614

Total Number of Transactions

8

Total Prime Recipient Transaction Amount

\$10,080,000

Total Sub-Award Transaction Amount

\$10,080,000

0 Contracts

8 Grants

0 Loans

0 Other Financial Assistance

## SEARCH RESULTS

1 to 8 of 8 transactions.

Download

Recipient ^	Award ID †	Award Amount †	Award Date †	Award Type †	Awarding Agency †	Funding Agency †	Role †
KANSAS CITY BOARD OF PUBLIC UTILITIES	KPWSLF2823	\$9,309,400	7/23/2014	Sub-Grant	Environmental Protection Agency	N/A	Sub
KANSAS MUNICIPAL UTILITIES INC	PO	\$139,000	7/1/2015	Sub-Grant	Environmental Protection Agency	N/A	Sub
KANSAS RURAL WATER ASSOCIATION	PO	\$201,800	7/1/2015	Sub-Grant	Environmental Protection Agency	N/A	Sub
Kansas Department of Health and Environment	99751614	\$10,080,000	7/7/2014	Grants	Environmental Protection Agency	N/A	Prime
Kansas Department of Health and Environment	99751614	\$0	7/17/2014	Grants	Environmental Protection Agency	N/A	Prime
Ranson Financial Consulta	PO	\$100,000	7/1/2015	Sub-Grant	Environmental Protection Agency	N/A	Sub
WICHITA STATE UNIVERSITY	PO29239	\$150,000	10/30/2014	Sub-Grant	Environmental Protection Agency	N/A	Sub
WICHITA STATE UNIVERSITY	PO	\$180,000	7/1/2015	Sub-Grant	Environmental Protection Agency	N/A	Sub

1 to 8 of 8 transactions.

Download

## RESULTS SUMMARY

2015 Grant

Change Search Filters ▲

Filters: Grants; FY 2016, FY 2015, FY 2014, FY 2013, FY 2012, FY 2011, FY 2010, FY 2009, FY 2008; 99751615

Total Number of [Transactions](#)

9

Total Prime Recipient Transaction Amount

\$9,858,934

Total Sub-Award Transaction Amount

\$9,227,640

0 Contracts

9 Grants

0 Loans

0 Other Financial Assistance

## SEARCH RESULTS

1 to 9 of 9 transactions.

Download

Recipient ▲	Award ID ⇅	Award Amount ⇅	Award Date ⇅	Award Type ⇅	Awarding Agency ⇅	Funding Agency ⇅	Role ⇅
KANSAS MUNICIPAL UTILITIES INC	PO	\$139,000	7/1/2015	Sub-Grant	Environmental Protection Agency	N/A	Sub
KANSAS RURAL WATER ASSOCIATION	PO	\$200,280	7/1/2015	Sub-Grant	Environmental Protection Agency	N/A	Sub
Kansas Department of Health and Environment	99751615	(\$155,068)	9/9/2015	Grants	Environmental Protection Agency	N/A	Prime
Kansas Department of Health and Environment	99751615	\$0	8/3/2015	Grants	Environmental Protection Agency	N/A	Prime
Kansas Department of Health and Environment	99751615	(\$86,000)	7/28/2015	Grants	Environmental Protection Agency	N/A	Prime
Kansas Department of Health and Environment	99751615	\$10,080,000	4/14/2015	Grants	Environmental Protection Agency	N/A	Prime
PUBLIC WHOLESale WATER SUPPLY DISTRICT NO 25	KPWSLF 2894	\$8,608,360	6/21/2016	Sub-Grant	Environmental Protection Agency	N/A	Sub
Ranson Financial Consulta	PO	\$100,000	7/1/2015	Sub-Grant	Environmental Protection Agency	N/A	Sub
WICHITA STATE UNIVERSITY	PO	\$180,000	7/1/2015	Sub-Grant	Environmental Protection Agency	N/A	Sub

1 to 9 of 9 transactions.

Download

## RESULTS SUMMARY

2016 Grant

Change Search Filters ▲

Filters: Grants; FY 2016, FY 2015, FY 2014, FY 2013, FY 2012, FY 2011, FY 2010, FY 2009, FY 2008; 99751616

Total Number of Transactions

6

Total Prime Recipient Transaction Amount

\$9,473,000

Total Sub-Award Transaction Amount

\$8,620,430

0 Contracts

6 Grants

0 Loans

0 Other Financial Assistance

## SEARCH RESULTS

1 to 6 of 6 transactions.

Download

Recipient ▲	Award ID †	Award Amount †	Award Date †	Award Type †	Awarding Agency †	Funding Agency †	Role †
KANSAS MUNICIPAL UTILITIES INC	PO	\$188,380	7/1/2016	Sub-Grant	Environmental Protection Agency	N/A	Sub
KANSAS RURAL WATER ASSOCIATION	PO	\$189,460	7/1/2016	Sub-Grant	Environmental Protection Agency	N/A	Sub
Kansas Department of Health and Environment	99751616	\$9,473,000	6/9/2016	Grants	Environmental Protection Agency	N/A	Prime
PUBLIC WHOLESALE WATER SUPPLY DISTRICT NO 25	KPWSLF 2894	\$7,862,590	6/21/2016	Sub-Grant	Environmental Protection Agency	N/A	Sub
Ranson Financial Consulta	PO	\$200,000	7/1/2016	Sub-Grant	Environmental Protection Agency	N/A	Sub
WICHITA STATE UNIVERSITY	PO	\$200,000	7/1/2016	Sub-Grant	Environmental Protection Agency	N/A	Sub

1 to 6 of 6 transactions.

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**KANSAS PUBLIC WATER  
SUPPLY LOAN FUND  
(AN ENTERPRISE FUND OF THE STATE OF KANSAS)**

**FINANCIAL STATEMENTS  
JUNE 30, 2016 and 2015**

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**KANSAS PUBLIC WATER SUPPLY LOAN FUND  
(AN ENTERPRISE FUND OF THE STATE OF KANSAS)**

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## INDEPENDENT AUDITORS' REPORT

Dr. Susan Mosier  
Secretary of Kansas Department  
Of Health and Environment  
Topeka, Kansas

### **Report on the Financial Statements**

We have audited the accompanying financial statements of the Kansas Public Water Supply Loan Fund (the Fund), an enterprise fund of the State of Kansas, as of and for the years ended June 30, 2016 and June 30, 2015, and the related notes to the financial statements, which collectively comprise the entity's basic financial statements as listed in the table of contents.

### ***Management's Responsibility for the Financial Statements***

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### ***Auditors' Responsibility***

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Secretary of the Kansas Department of Health and Environment  
Kansas Public Water Supply Loan Fund

### **Opinions**

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the Fund as of June 30, 2016 and June 30, 2015, and the respective changes in financial position and cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

### **Emphasis of a Matter**

As discussed in Note 1 – Organization and Summary of Accounting Policies, the basic financial statements of the Fund are intended to present the financial position, changes in financial position and cash flows of only that portion of the financial reporting entity of the Fund that is attributable to the transactions of the Fund. They do not purport to, and do not, present fairly the financial position of the State of Kansas as of June 30, 2016 and the changes in their financial position and their cash flows, where applicable, for the years then ended, in conformity with the accounting principles generally accepted in the United States of America. Our opinion is not modified with respect to this matter.

### **Other Matters**

#### *Required Supplementary Information*

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis on pages 3 - 7 be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

### **Other Reporting Required by Government Auditing Standards**

In accordance with *Government Auditing Standards*, we have also issued our report dated September 15, 2016, on our consideration of the Fund's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the result of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Fund's internal control over financial reporting and compliance.



**CliftonLarsonAllen LLP**

Greenwood Village, Colorado  
September 15, 2016

**KANSAS PUBLIC WATER SUPPLY LOAN FUND  
(AN ENTERPRISE FUND OF THE STATE OF KANSAS)**

**MANAGEMENT'S DISCUSSION AND ANALYSIS  
JUNE 30, 2016 AND 2015**

The Kansas Public Water Supply Loan Fund (the Fund) provides financial assistance to Kansas municipalities in the form of loans for the construction of public water supply system infrastructure. The Fund is comprised of Federal Capitalization Grants, proceeds of revenue bonds issued to provide required state-matching monies, proceeds of revenue bonds issued to leverage the program and recycled monies.

In this nineteenth year of operation, the continued success of the Fund is shown below by providing project funding for the additional Public Water Supply needs of Kansas communities.

During the fiscal year ended June 30, 2016:

- ❑ The federal fiscal year (FFY) 2015 federal capitalization grant was amended during FY2016 decreasing the grant award by \$221,066.
- ❑ FFY 2016 federal capitalization grant award of \$9,473,000 was received
- ❑ Bonds issued – 2015SRF-1 State Match \$2,000,000
- ❑ Federal capitalization grant dollars drawn down: \$5,172,231 for loans and \$1,416,930 for administration and other set-aside expenses
- ❑ Total available for loans-unexpended: \$109,404,841 - \$0 leveraged, \$7,862,590 federal for loans, \$98,542,251 program equity and \$3,000,000 general
- ❑ Total loan agreements: 292 loans totaling \$644,419,460 of which \$536,095,435 has been paid in project payments
- ❑ Loan agreements:
  - New loans \$56,659,466 (11 loans)
  - Loan amendments: 3 increase amendments totaling \$347,555 and  
21 decrease amendments totaling \$8,236,169
- ❑ Disbursements for project costs: \$15,468,440
- ❑ Average monthly disbursements FY2016: \$1,289,037
- ❑ Average monthly disbursements program-to-date: \$2,577,382
- ❑ Completed projects: 14 totaling: \$16,070,075
- ❑ Total revenue bond debt service paid: \$15,666,485

The Fund is reported as an enterprise fund of the State of Kansas. As such, we prepare three basic financial statements, notes to the financial statements and required supplementary information including this Management's Discussion and Analysis (MD&A). The Statement of Net Position presents the assets, deferred outflows, liabilities and deferred inflows of the Fund. Assets consist of cash, interest receivables from loans and investments, investments of idle funds and reserve funds, and loan receivables. Liabilities include revenue bond interest, other accounts payable, arbitrage payable, and revenue bonds payable. Net position includes the federal capitalization grants and State contribution deposited to the bond reserve account and the excess earnings of the Fund's operations since inception.

**KANSAS PUBLIC WATER SUPPLY LOAN FUND  
(AN ENTERPRISE FUND OF THE STATE OF KANSAS)**

**MANAGEMENT'S DISCUSSION AND ANALYSIS**

**Net Position**

	2016	2015	2014
Current and other assets	\$ 157,713,565	\$ 106,336,493	\$ 122,071,611
Noncurrent assets	179,722,279	234,507,841	209,355,479
Total assets	<u>337,435,844</u>	<u>340,844,334</u>	<u>331,427,090</u>
Deferred outflows of resources	<u>9,282,189</u>	<u>10,925,716</u>	<u>12,569,243</u>
Long-term liabilities	131,527,419	138,788,822	148,365,385
Other liabilities	11,596,117	12,695,749	10,405,449
Total liabilities	<u>143,123,536</u>	<u>151,484,571</u>	<u>158,770,834</u>
Restricted net position	<u>203,594,497</u>	<u>200,285,479</u>	<u>185,225,499</u>
Total net position	<u>\$ 203,594,497</u>	<u>\$ 200,285,479</u>	<u>\$ 185,225,499</u>

The decrease in current assets for the year ended June 30, 2015 of \$15.7 million was due in large part to the decrease in the current portion of investments of over \$9.5 million. The Fund held more in the noncurrent portion of investments with an increase of over \$20.4 million. Additionally, \$15 million of loan prepayments were received this fiscal year.

The decrease in total liabilities for the year ended June 30, 2015 was over \$7.3 million. Revenue bonds payable decreased \$7.1 million.

The increase in current assets for the year ended June 30, 2016 of \$51.4 million is due to the increase in current cash of \$16.4 million and an increase in the current portion of investments of over \$34.9 million. The noncurrent investments decreased \$44 million. Additionally, \$14.9 million of loan prepayments were received this fiscal year. Project payments this fiscal year were \$15,468,440.

The decrease in total liabilities for the year ended June 30, 2016 was \$8.4 million. Revenue bonds payable decreased \$8.3 million.

All net position of the program has been determined to be restricted net position in accordance with the conditions of the Public Water Supply Loan Fund capitalization grants and bond covenants.

Prior to the Series 2009 bonds issued on September 29, 2009, all bonds issued for this program are tax-exempt revenue bonds. The Series 2009 DW-1 bonds are tax-exempt revenue bonds and the Series 2009 DW-2 bonds are taxable Build America bonds. As a requirement for issuance of tax-exempt bonds, the Internal Revenue Service requires issuers to calculate and remit the amount of earnings attributable to the bonds that is in excess of the cost of the debt. For this Program, as of June 30, 2016 and June 30, 2015, there was no rebate liability for the Series 2004, 2009 DW-1, 2010-1 and 2011 bonds. The 2014 and 2015 bonds are taxable bonds and are not subject to arbitrage. When there is a rebate liability it is incrementally funded from the excess revenues available following each semiannual bond debt service

**KANSAS PUBLIC WATER SUPPLY LOAN FUND  
(AN ENTERPRISE FUND OF THE STATE OF KANSAS)**

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**MANAGEMENT'S DISCUSSION AND ANALYSIS**

payment. The Fund's revenue bonds payable totaled \$134,515,000 and \$142,025,000 at June 30, 2016 and 2015, respectively. Please refer to the notes to the financial statements for more information on debt activity.

The Statements of Revenues, Expenses and Changes in Net Position provide information about the Fund resources and uses of those resources. This statement demonstrates that sufficient resources were generated to cover expenses during the fiscal year 2015. The change in net position as of June 30, 2015, was an increase of \$15.1 million. The Fund operating revenues increased by 5.3%. The Fund operating expenses (excluding principal forgiveness expense) increased 3.1% due to an increase in grant expenses. Principal forgiveness increased 191.2%. Nonoperating revenues, including capital contributions, decreased 16.8% due to a decrease in grant draws of over \$4.7 million. Nonoperating expenses decreased 2.1%. The FFY 2014 federal capitalization grant in the amount of \$10.1 million was awarded on July 7, 2014 and \$0.8 million has not been drawn down. The FFY 2015 federal capitalization grant in the amount of \$10.1 million was awarded on April 14, 2015 and \$6.6 million has not been drawn down.

The Statements of Revenues, Expenses and Changes in Net Position demonstrate that sufficient resources have been generated to cover expenses in fiscal year 2016. The change in net position as of June 30, 2016, was an increase of \$3.3 million. The Fund operating revenues decreased by 13.1%. The Fund operating expenses (excluding principal forgiveness expense) decreased 11.3% due to a decrease in grant expenses. Principal forgiveness decreased 47.7%. Nonoperating revenues, including capital contributions, decreased 61.68% due to a decrease in grant draws of over \$13.6 million. Nonoperating expenses decreased 3.5%. The FFY 2015 federal capitalization grant has an amount of \$727,445 available to be drawn down. The full amount of the FFY 2016 federal capitalization grant in the amount of \$9,473,000 remains to be drawn down.

**KANSAS PUBLIC WATER SUPPLY LOAN FUND  
(AN ENTERPRISE FUND OF THE STATE OF KANSAS)**

**MANAGEMENT'S DISCUSSION AND ANALYSIS**

**Revenues, Expenses And Changes In Net Position**

	2016	2015	2014
<b>Revenues</b>			
Operating revenues			
Loans	\$ 4,248,846	\$ 4,697,338	\$ 4,652,592
Grants	1,225,328	1,511,662	1,306,560
Service fees	541,652	563,559	519,907
Other revenues	6,990	162,764	105,257
Nonoperating revenues			
Investment income			
Bond reserve fund	642,535	694,137	1,400,698
Other investment income	1,939,220	1,506,062	420,756
Other nonoperating revenues	516,109	514,998	511,111
<b>Total Revenues</b>	<b>9,120,680</b>	<b>9,650,520</b>	<b>8,916,881</b>
<b>Expenses</b>			
Operating expenses			
Program administration-federal	470,499	521,133	496,709
Other program set-asides	754,829	880,157	920,223
Program administration-other	750,481	827,994	744,434
Loan principal forgiveness	2,077,439	3,975,907	1,365,318
Nonoperating expenses			
Bond issuance costs	-	14,196	7,742
Bond interest	6,930,645	7,170,990	7,333,978
<b>Total Expenses</b>	<b>10,983,893</b>	<b>13,390,377</b>	<b>10,868,404</b>
Decrease in net position before contributions	(1,863,213)	(3,739,857)	(1,951,523)
Capital contributions			
Capitalization grants, net of recognized administrative grants	5,172,231	18,799,837	23,519,380
Increase in net position	3,309,018	15,059,980	21,567,857
Total net position – beginning of year	200,285,479	185,225,499	163,657,642
Total net position – end of year	<b>\$ 203,594,497</b>	<b>\$ 200,285,479</b>	<b>\$ 185,225,499</b>

The Statements of Cash Flows are provided to identify the sources and the uses of cash during the fiscal year and to demonstrate that the Fund has sufficient cash to meet its obligations. During the year ended June 30, 2015, the Fund experienced a net decrease in cash of \$7.9 million. The main reason for this decrease is from new investments purchased during the year. The balance of the decrease is due to routine Program operations.

The Fund experienced an increase in cash during the year ended June 30, 2016 of \$16.6 million. Part of this increase is due to loan principal prepayments of \$14.9 million. The balance of the increase is due to routine Program operations.

The Fund has no spending limits and is not subject to any State General Fund appropriations or any other appropriations. Recent issuances of state match bonds have been an internal placement with the State's Pooled Money Investment Board. The next issuance of state match bonds is expected to be in early winter and with a 15-month term to coincide with the debt service payment dates of the Master Financing Indenture bonds. At this time there is no need to leverage further until loan demand increases.

**KANSAS PUBLIC WATER SUPPLY LOAN FUND**  
**(AN ENTERPRISE FUND OF THE STATE OF KANSAS)**

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**MANAGEMENT'S DISCUSSION AND ANALYSIS**

While the financial strength of the Fund does face risk from the overall health of the US and Kansas economies indirectly through the program's borrowers, this risk is mitigated by several factors: first, the program is well capitalized and lightly leveraged at this point in time; second, it is anticipated that the program will receive additional capitalization grants from the EPA; third, the program, through the loan agreements, has a relatively strong security position in the borrowers' financial resources; and finally, the programs' history of experiencing no borrower defaults through the prior two economic recessions.

This report is intended to provide financial information about the Kansas Public Water Supply Loan Fund to the State of Kansas and United States Environmental Protection Agency officials, investors and other interested parties and to discuss the activity and success of the Fund. For additional information you may contact William Carr, Program Manager, Kansas Department of Health and Environment or Martin Eckhardt, Manager, Statewide Agency Audit and Municipal Services, Office of Chief Financial Officer, Department of Administration.

**KANSAS PUBLIC WATER SUPPLY LOAN FUND  
(AN ENTERPRISE FUND OF THE STATE OF KANSAS)**

**STATEMENTS OF NET POSITION**

	<b>June 30,</b>	
	<b>2016</b>	<b>2015</b>
<b>Assets</b>		
Current assets		
Cash	\$ 73,585,965	\$ 57,200,108
Investment interest receivable	786,494	861,831
Investments	71,217,699	36,289,553
Loan interest receivable	1,691,007	1,774,608
Loans	10,085,806	9,688,738
Other receivables	346,594	521,655
Total current assets	<u>157,713,565</u>	<u>106,336,493</u>
Noncurrent assets		
Restricted cash - loan reserve earnings	7,170	2,614
Investments	26,529,887	70,485,707
Loans	140,568,084	151,590,470
Loan reserve deposits	3,364,638	3,176,550
Debt service reserve funds - investments	9,252,500	9,252,500
Total noncurrent assets	<u>179,722,279</u>	<u>234,507,841</u>
<b>Total Assets</b>	<u>337,435,844</u>	<u>340,844,334</u>
<b>Deferred Outflows of Resources</b>		
Deferred amounts on refunding	9,282,189	10,925,716
<b>Liabilities</b>		
Current liabilities		
Accounts payable and accrued expenses	320,674	495,883
Bond interest payable	1,820,499	1,907,952
Revenue bonds payable, current	9,454,944	10,291,914
Total current liabilities	<u>11,596,117</u>	<u>12,695,749</u>
Noncurrent liabilities		
Loan reserve deposits	3,373,139	3,179,598
Revenue bonds payable, long-term, net	128,154,280	135,609,224
Total noncurrent liabilities	<u>131,527,419</u>	<u>138,788,822</u>
<b>Total Liabilities</b>	<u>143,123,536</u>	<u>151,484,571</u>
<b>Total Net Position</b>	<u>\$ 203,594,497</u>	<u>\$ 200,285,479</u>

**KANSAS PUBLIC WATER SUPPLY LOAN FUND**  
**(AN ENTERPRISE FUND OF THE STATE OF KANSAS)**  
**STATEMENTS OF REVENUES, EXPENSES, AND CHANGES IN NET POSITION**

	<b>For the Years</b>	
	<b>Ended June 30,</b>	
	<b>2016</b>	<b>2015</b>
<b>Operating Revenues</b>		
Interest on loans	\$ 4,248,846	\$ 4,697,338
Grant revenue	1,225,328	1,511,662
Service fee revenue	541,652	563,559
Other revenue	6,990	162,764
<b>Total Operating Revenues</b>	<b>6,022,816</b>	<b>6,935,323</b>
<b>Operating Expenses</b>		
Program administration - federal	470,499	521,133
Program administration - other federal set-asides	754,829	880,157
Program administration - other	750,481	827,994
Loan principal forgiveness	2,077,439	3,975,907
<b>Total Operating Expenses</b>	<b>4,053,248</b>	<b>6,205,191</b>
<b>Operating Income</b>	<b>1,969,568</b>	<b>730,132</b>
<b>Nonoperating Revenues (Expenses)</b>		
Investment Income		
Bond reserve fund	642,535	694,137
Other investment income	1,939,220	1,506,062
Capital contributions - capitalization grants net of recognized administrative grants	5,172,231	18,799,837
Bond issuance costs	-	(14,196)
Other nonoperating revenues	516,109	514,998
Interest expense - bonds	(6,930,645)	(7,170,990)
<b>Total Nonoperating Revenues (Expenses)</b>	<b>1,339,450</b>	<b>14,329,848</b>
<b>Change in Net Position</b>	<b>3,309,018</b>	<b>15,059,980</b>
<b>Net Position - Beginning of Year</b>	<b>200,285,479</b>	<b>185,225,499</b>
<b>Total Net Position - End of Year</b>	<b>\$ 203,594,497</b>	<b>\$ 200,285,479</b>

**KANSAS PUBLIC WATER SUPPLY LOAN FUND**  
**(AN ENTERPRISE FUND OF THE STATE OF KANSAS)**  
**STATEMENTS OF CASH FLOWS**

	For the Years Ended June 30,	
	2016	2015
<b>Cash Flows From Operating Activities</b>		
Program administration expenses	\$ (1,225,328)	\$ (1,401,290)
Other revenue	6,845	(61,377)
Service fees received	541,652	562,626
Service fees paid	(750,481)	(624,233)
Capitalization grant for administrative costs	1,225,328	1,511,662
<b>Net Cash Used In Operating Activities</b>	<b>(201,984)</b>	<b>(12,612)</b>
<b>Cash Flows From Noncapital Financing Activities</b>		
Bond principal paid	(9,510,000)	(7,290,000)
Bond interest paid	(6,156,485)	(6,471,938)
Capitalization grant for loans	5,172,231	18,799,837
Bond proceeds, including premium	2,000,000	2,200,000
Payment to bond escrow agent	-	(1,165,000)
Bond issuance costs	-	(14,196)
Other nonoperating expenses	516,109	514,998
<b>Net Cash Provided By (Used In) Noncapital Financing Activities</b>	<b>(7,978,145)</b>	<b>6,573,701</b>
<b>Cash Flows From Investing Activities</b>		
Loan principal collected	\$ 24,016,317	\$ 23,939,387
Loans disbursed	(15,468,440)	(34,694,597)
Interest received on loans	4,332,448	4,862,673
Proceeds from sales and maturities of investments	55,952,086	68,785,820
Purchase of investments	(46,872,263)	(79,098,082)
Interest received on investments and debt service reserve funds	2,604,942	2,085,327
Net change in loan reserve accounts	5,452	1,178
Loan reserve deposits	188,088	(320,827)
<b>Net Cash Provide By (Used In) Investing Activities</b>	<b>24,758,630</b>	<b>(14,439,121)</b>
<b>Net Increase (Decrease) In Cash</b>	<b>16,578,501</b>	<b>(7,878,032)</b>
<b>Cash - Beginning Of Year</b>	<b>60,379,272</b>	<b>68,257,304</b>
<b>Cash - End Of Year</b>	<b>\$ 76,957,773</b>	<b>\$ 60,379,272</b>
<b>Cash consists of:</b>		
Cash	\$ 73,585,965	\$ 57,200,108
Restricted cash - loan reserve earnings	7,170	2,614
Loan reserve deposits	3,364,638	3,176,550
	<b>\$ 76,957,773</b>	<b>\$ 60,379,272</b>
<b>Reconciliation of Operating Income To Net Cash Provided By Operating Activities</b>		
Operating income	\$ 1,969,568	\$ 730,132
Adjustments to reconcile operating income to net cash provided by operating activities:		
Loans receivable	10,625,316	(6,779,303)
Loan interest receivable	83,601	165,335
Other receivables	175,065	(254,173)
Accounts payable and accrued expenses	(175,209)	232,860
Effect of changes in operating assets and liabilities		
Loan principal collected	(24,016,317)	(23,939,387)
Loans disbursed	15,468,440	34,694,597
Interest received on loans	(4,332,448)	(4,862,673)
<b>Net Cash Provided By (Used In) Operating Activities</b>	<b>\$ (201,984)</b>	<b>\$ (12,612)</b>

**KANSAS PUBLIC WATER SUPPLY LOAN FUND  
(AN ENTERPRISE FUND OF THE STATE OF KANSAS)**

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**NOTES TO FINANCIAL STATEMENTS**

**JUNE 30, 2016 AND 2015**

**1. ORGANIZATION AND SUMMARY OF ACCOUNTING POLICIES**

*Description of Program* – The Kansas Public Water Supply Loan Fund (the Fund) was established pursuant to Kansas Statutes Annotated (K.S.A.) 65-163d et. seq. in 1994 by the Kansas Legislature. The Fund was created to implement the State of Kansas’ (Kansas or the State) participation under the Federal Safe Drinking Water Act (the Federal Act) as amended by the Safe Drinking Water Act Amendments of 1996. Under Kansas law, the Secretary of the Kansas Department of Health and Environment (KDHE) administers the Public Water Supply Loan Program (the Program). The Federal Act authorizes the Environmental Protection Agency (EPA) to award capitalization grants for deposit into state funds in order to provide financial assistance for construction of public water supply systems. The Program is the response by the State to federal law changes governing the development of publicly owned public water supply systems including the financing, construction and management of those systems. The state fund may be used to make loans, fund debt service reserves and provide other types of financial assistance to public entities. Initial funding for the Program is to be provided by the EPA capitalization grants and proceeds of bonds which will provide State match funds. The State match funds must be equal to 20% of the federal capitalization grants. The Fund is to be established, maintained and credited with loan repayments and the Fund equity is to be available in perpetuity for providing such financial assistance.

Loans are made to municipalities from the Fund for eligible project costs as defined by federal and state law and regulations. These costs are primarily construction, planning and design engineering costs and other costs related to a municipal construction project. The 2016 Intended Use Plan established the interest rates for the loans, together with fees set for servicing the loans, to be an amount equal to 60% of the previous three months’ average “bond buyers 20 bond index” as published each week of the preceding three months. KDHE administers the aspects of the Program relating to selection of projects and the making of loans to eligible municipalities. The Department of Administration administers the accounting and reporting aspects of the Program relating to the receipt and disbursement of monies within the Fund including disbursement of loans to municipalities and billing and collecting of loan repayments. The Kansas Development Finance Authority (KDFFA) issues revenue bonds for the State matching funds needed and for leveraged borrowing for the Fund. Monies in the Fund are deposited with the Treasurer of the State (the Treasurer) and UMB (the Trustee).

*Basis of Presentation and Accounting* – The Fund is an enterprise fund of the State. The financial statements of the Fund have been prepared in conformity with accounting principles generally accepted in the United States of America as applied to government units. The Governmental Accounting Standards Board (GASB) is the accepted standard-setting body for establishing governmental accounting and financial reporting principles.

Basis of accounting refers to when revenues, expenses and the related assets, deferred outflows, liabilities and deferred inflows are recognized in the accounts and reported in the financial statements. Measurement focus refers to what is being measured. The financial statements are prepared on the accrual basis of accounting and on an economic resources measurement focus in accordance with accounting principles generally accepted in the United States of America. With this measurement focus, all assets, deferred outflows, liabilities and deferred inflows are included on the statement of net position. The statement of revenues, expenses, and changes in net position presents increases (revenues) and decreases (expenses) in net total position. The statement of cash flows provides information about how the Fund meets the cash flow needs of its activities.

*Cash* – Cash includes balances on deposit with the Treasurer and the Trustee.

# KANSAS PUBLIC WATER SUPPLY LOAN FUND (AN ENTERPRISE FUND OF THE STATE OF KANSAS)

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## NOTES TO FINANCIAL STATEMENTS

**Investments** – The Fund generally invests in investment agreements and repurchase agreements. These investments are stated at cost as they are not negotiable or transferable and are not affected by market fluctuations. The Fund also invests in State or municipal debt obligations and commercial paper. These investments are stated at their market value.

**Loans and Other Receivables** – Receivables include interest earnings, current loan repayments due and loan principal balances outstanding. All receivables are considered collectable; therefore, no allowance account has been established.

**Loan Reserve Deposits** – The loan reserve deposits have been established as required under certain provisions of certain loan agreements and consist of cash. Such loan reserve deposits may only be used to prevent an event of default in the repayment of principal or interest on certain loans.

**Debt Service Reserve Account** – The debt service reserve account was established as required under certain bond resolutions and consists of an investment agreement and cash. The investments are stated at cost as they are not negotiable or transferable and are not affected by market fluctuations. No trustee is required by the bond resolutions. Contractually, such reserve accounts may only be used to prevent a default in the payment of principal or interest on bonds payable.

**Premiums and Discounts** – The interest method is being used to calculate amortization of premium and discount.

**Revenues** – The Fund revenues consist of operating and nonoperating revenues. Operating revenues include: 1) interest earned on loans, 2) federal grant dollars earned for loans and administrative costs, also known as program set-asides (program administration, technical assistance, state program management, and other authorized activities such as the authorization by section 1452 of the Safe Drinking Water Act (42 U.S.C. 300j-12) (1452K) (1)b), and 3) loan service fees. Nonoperating revenues include the investment income on bond reserves and other invested program monies and any other revenues not classified as operating revenues.

**Expenses** – The Fund expenses consist of operating and nonoperating expenses. Operating expenses include: 1) federal grant monies for administrative costs, also known as program set-asides (program administration, technical assistance, state program management, and other authorized activities under 1452K (1)b) and 2) principal forgiveness which is recognized upon completion of the project. Nonoperating expenses include: 1) revenue bond interest and 2) revenue bond premium and discount amortized.

**Net Position** – Net position represents the difference between assets, deferred outflows, liabilities and deferred inflows. Net investment in capital assets consists of capital assets, net of accumulated depreciation, reduced by the outstanding balances of any borrowings used for the acquisition, construction or improvement of those assets. Currently the fund has no net investment in capital assets. Net position is reported as restricted when there are external limitations imposed on their use. All assets of the program have been determined to be restricted assets in accordance with the conditions of the Drinking Water State Revolving Fund EPA capitalization grants and bond covenants. Restricted net position includes EPA capitalization grants restricted for the 1) bond reserve fund, 2) loans to municipalities, 3) program administration expenses, 4) technical assistance, 5) state program management, 6) and other authorized activities 1452K (1)b. The amount of capitalization grants restricted for program administration, technical assistance, state program management, and other authorized activities 1452K (1)b is being recognized as revenue when earned. Also included in

**KANSAS PUBLIC WATER SUPPLY LOAN FUND  
(AN ENTERPRISE FUND OF THE STATE OF KANSAS)**

**NOTES TO FINANCIAL STATEMENTS**

restricted net position is an account titled "Contributed Capital – State of Kansas". The account consists of monies contributed to the Fund per K.S.A. 74-8203, as amended and supplemented.

***Deferred Outflows/Inflows of Resources*** – In addition to assets, the statement of net position will sometimes report a separate section for deferred outflows of resources. This separate financial statement element, deferred outflows of resources, represents a consumption of net position that applies to a future period(s) and so will not be recognized as an outflow of resources (expense) until then. The Fund only has one item that qualifies for reporting in this category. It is the deferred amounts on refunding reported in the statement of net position. A deferred amount on refunding results from the difference in the carrying value of refunded debt and its reacquisition price. This amount is deferred and amortized over the shorter of the remaining life of the old bonds or the life of the new bonds.

In addition to liabilities, the statement of net position will sometimes report a separate section for deferred inflows of resources. This separate financial statement element, deferred inflows of resources, represents an acquisition of net position that applies to a future period(s) and so will not be recognized as an inflow of resources (revenue) until that time. The Fund has no items that qualify for reporting in this category.

***Uses of Estimates*** – The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosures of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

***Current Year GASB Statement Implementation*** – For the year ended June 30, 2016, the Public Water Supply Loan Fund adopted the provisions of GASB Statement No. 72, *Fair Value Measurement and Application*, which is effective for financial statement periods beginning after June 15, 2015. GASB Statement No. 72 requires disclosures to be made about fair value measurements, the level of fair value hierarchy, and the valuation techniques. See Note 2 for fair value measurement of investments.

## **2. INVESTMENTS AND DEBT SERVICE RESERVE ACCOUNTS**

The Fund's investment policies are governed by Article IX of the Master Bond Resolution and Article VIII of the Master Financing Indenture. Allowable investments for the Fund cash balances held in the State Treasury and invested through the State Pooled Money Investment Board are as follows:

- Direct obligations of, or obligations that are insured as to principal and interest by, the U.S. Government or any direct agency thereof, with maturities up to four years
- Repurchase agreements with Kansas banks or with primary government securities dealers
- Limited interest bearing loans to various State agencies as specifically provided by law
- Certain Kansas agency and IMPACT Act projects and bonds
- High grade commercial paper

**KANSAS PUBLIC WATER SUPPLY LOAN FUND  
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**NOTES TO FINANCIAL STATEMENTS**

Specific Fund Investments – cash balances not held in the State Treasury may be invested as permitted by bond documents and bond covenants. Allowable investments include:

- U.S. Government obligations
- Obligations of government-sponsored agencies
- Federal funds, unsecured certificates of deposit, time deposits and banker's acceptances
- Deposits fully insured by FDIC
- Commercial paper
- Investments in money market funds
- Repurchase agreements
- Stripped securities
- Investments in the Municipal Investment Pool Fund
- Investment agreements
- Guaranteed investment contracts

Monies held in the Funds and Accounts established under the Master Financing Indenture may be invested by the KDFA or by the Trustee to the fullest extent practicable in Investment Securities as defined in the Master Financing Indenture which include:

- Defeasance obligations
- Obligations of certain agencies not backed by the full faith and credit of the U.S. government
- Investments in money market funds
- Investment agreements
- Deposits fully insured by FDIC
- Commercial paper
- State or municipal debt obligations
- Investments in the Municipal Investment Pool Fund
- Repurchase agreements
- Guaranteed investment contracts

**KANSAS PUBLIC WATER SUPPLY LOAN FUND  
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As of June 30, 2016, the program's investment and debt service reserve account balances were as follows:

Investment Type	Carrying Value	Maturity			
		Less Than 1 Year	1 - 5 Years	6 - 10 Years	More Than 10 Years
Repurchase agreements	\$ 9,651,214	\$ -	\$ -	\$ 9,651,214	\$ -
Investment agreements	13,375,036	-	-	13,375,036	-
State or municipal debt obligations	69,166,278	56,410,141	12,756,137	-	-
Commercial paper	14,807,558	14,807,558	-	-	-
	\$ 107,000,086	\$ 71,217,699	\$ 12,756,137	\$ 23,026,250	\$ -

As of June 30, 2015, the program's investment and debt service reserve account balances were as follows:

Investment Type	Carrying Value	Maturity			
		Less Than 1 Year	1 - 5 Years	6 - 10 Years	More Than 10 Years
Repurchase agreements	\$ 9,651,214	\$ -	\$ -	\$ 9,651,214	\$ -
Investment agreements	13,375,036	-	-	13,375,036	-
State or municipal debt obligations	83,985,164	27,273,207	54,498,883	-	2,213,074
Commercial paper	9,016,346	9,016,346	-	-	-
	\$ 116,027,760	\$ 36,289,553	\$ 54,498,883	\$ 23,026,250	\$ 2,213,074

**Interest Rate Risk** – Due to the tax exempt status of the bonds it is generally the practice of Fund management to match reserve fund interest rates to the arbitrage yield on the bonds and the term of the investments to the maturity of the bonds. For invested loan funds, the Fund generally invests to maximize the interest rate and set a term of investment based on estimated expenditures which is generally less than three years.

**Credit Risk** –The Fund holds investments that may have credit risk since the underlying securities may include securities other than those that take the form of U.S. Treasuries or obligations explicitly guaranteed by the U.S. government. The investments are unrated. Certain investments have an underlying collateral agreement.

**KANSAS PUBLIC WATER SUPPLY LOAN FUND  
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**Concentration of Credit Risk** – The Fund places no limit on the amount that may be invested with any one provider. The tables below identify the percent of total investments held by each provider as of June 30, 2016 and 2015, respectively.

<b>Investment Provider</b>	<b>Fair Value</b>	<b>Percent of Total</b>
Citigroup	\$ 9,651,214	9.02%
AIG	13,375,036	12.50%
UMB Bank NA	83,973,836	78.48%
	\$ 107,000,086	100.00%

<b>Investment Provider</b>	<b>Fair Value</b>	<b>Percent of Total</b>
Citigroup	\$ 9,651,214	8.32%
AIG	13,375,036	11.53%
UMB Bank NA	93,001,510	80.15%
	\$ 116,027,760	100.00%

The Public Water Supply Loan Fund categorizes its fair value measurements within the fair value hierarchy established by generally accepted accounting principles. The hierarchy is based on the valuation inputs used to measure the fair value of the asset. Level 1 inputs are quoted prices in active markets for identical assets; Level 2 inputs are significant other observable inputs; Level 3 inputs are significant unobservable inputs.

The Fund has the following recurring fair value measurements as of June 30, 2016:

- Repurchase agreements of \$9.6 million are valued using quoted market prices (Level 1 inputs).
- Investment agreements of \$13.4 million are valued using quoted market prices (Level 1 inputs).
- State or municipal debt obligations of \$69.2 million are valued using quoted market prices (Level 1 inputs).
- Commercial paper of \$14.8 million is valued using quoted market prices (Level 1 inputs).

**KANSAS PUBLIC WATER SUPPLY LOAN FUND  
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**NOTES TO FINANCIAL STATEMENTS**

### 3. LOANS

The loans made by the Fund to the municipalities may include interest and service fees capitalized during project construction. Loans are to be repaid not later than 21 years after project completion and must start repayments not later than one year after project completion. Principal and interest payments are due semi-annually. Interest rates on the loans outstanding at June 30, 2016, excluding the .35% service fee rate, range from 1.65% to 4.42%.

Estimated future maturities of the loans receivable and interest payments, at June 30, 2016 are as follows:

<b>Year Ending June 30,</b>	<b>Principal</b>	<b>Interest</b>
2017	\$ 10,085,806	\$ 3,989,654
2018	10,940,923	3,725,617
2019	11,193,062	3,415,865
2020	10,783,128	3,099,954
2021	10,368,164	2,798,043
2022-2026	51,898,615	9,515,873
2027-2031	33,069,565	3,345,748
2032-2036	12,314,627	474,971
	<b>\$ 150,653,890</b>	<b>\$ 30,365,725</b>

Certain of the above loans are funded from the American Recovery and Reinvestment Act (ARRA) monies, and the 2010, 2011, 2012, 2013, 2014 and 2015 capitalization grants. Principal forgiveness awarded for ARRA loans totaled \$0 and \$2,357,440 as of June 30, 2016 and 2015, respectively, with a cumulative amount of ARRA principal forgiveness of \$9,837,409 on 23 loans. Principal forgiveness for 2010 capitalization grant loans totaled \$915,761 and \$1,618,467 as of June 30, 2016 and 2015, respectively, with a cumulative amount of principal forgiveness of \$4,852,067 on 23 loans. Principal forgiveness for 2011 capitalization grant loans had a cumulative amount of principal forgiveness of \$67,339 on one loan as of June 30, 2015. That principal forgiveness was corrected to 2010 grant principal forgiveness in FY 2016. As of June 30, 2016, no principal forgiveness has been awarded to the 2011 capitalization grant. Principal forgiveness for 2012 capitalization grant loans totaled \$1,229,017 and \$0 as of June 30, 2016 and 2015, respectively, with a cumulative amount of principal forgiveness of \$1,229,017 on one loan. Principal forgiveness has not been awarded for the 2011, 2013, 2014 and 2015 capitalization grants as of June 30, 2016.

Each of the municipalities has established a dedicated source of revenue for repayment of the loans. The dedicated sources of revenue are either an obligation of system revenues and ad valorem property taxes levied or a secured lien on the system revenues which requires debt service coverage of 125% with a 10% reserve account or debt service coverage of 140%.

**KANSAS PUBLIC WATER SUPPLY LOAN FUND  
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**NOTES TO FINANCIAL STATEMENTS**

*Loans to Major Participants* – The Fund has made loans to the following major participants. The aggregate outstanding loan balances for each of these participants exceeds five percent of total loans receivable. The outstanding loan balances at June 30, 2016 and 2015 of these major participants are as follows:

Participant	Loan Number	2016		2015
		Loan Agreement Amount	Outstanding Principal Balance	Outstanding Principal Balance
Atchison	2552	\$ 3,751,112	\$ 2,324,480	\$ 2,438,131
Atchison	2745	3,224,692	2,832,568	2,957,163
Atchison	2566	9,594,373	8,623,681	6,201,501
Atchison	2890	1,036,556	112,780	-
Kansas City BPU	2263	12,308,750	6,169,060	6,770,216
Kansas City BPU	2265	5,118,465	2,582,838	2,834,774
Kansas City BPU	2379	9,000,000	5,708,120	6,124,381
Kansas City BPU	2570	12,230,500	10,934,815	11,438,646
Kansas City BPU	2823	13,000,000	2,770,999	1,311,430
Manhattan	2462	17,975,861	15,297,461	15,992,076
Manhattan	2720	506,899	339,253	354,360
Manhattan	2743	3,091,960	2,273,350	1,953,507
Olathe	2470	16,876,745	13,006,657	13,825,862
Olathe	2681	230,875	-	127,301
Salina	2629	9,330,000	5,527,210	5,977,656
Salina	2841	4,250,000	2,133,625	-
Topeka	2371	7,823,725	4,669,646	5,028,507
Topeka	2372	2,055,933	1,252,889	1,349,634
Topeka	2417	3,127,353	2,008,372	2,147,771
Topeka	2418	1,545,025	1,047,315	1,109,838
Topeka	2419	1,806,628	1,289,971	1,366,980
Topeka	2420	2,027,074	1,193,814	1,272,484
Total		\$ 139,912,526	\$ 92,098,904	\$ 90,582,218

#### 4. LOAN RESERVE DEPOSITS

Loan reserve deposits for twenty-one Rural Water Districts and one city total \$3,364,638 and \$3,176,550 as of June 30, 2016 and 2015, respectively, with restricted cash earnings of \$7,170 and \$2,614, respectively. The balances are on deposit with the State Treasurer.

**KANSAS PUBLIC WATER SUPPLY LOAN FUND  
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**NOTES TO FINANCIAL STATEMENTS**

**5. BONDS PAYABLE**

Revenue bonds payable consisted of the following at June 30:

	<u>2016</u>	<u>2015</u>
2004 Series 1 Revenue Bonds (Old Resolution)	\$ -	\$ 937,000
2004 Series 2 Revenue Bonds (Old Resolution)	-	3,000
2009 Series DW-1 Revenue Bonds (Old Resolution)	3,640,000	4,670,000
2009 Series DW-2 Revenue Bonds (Old Resolution)	31,400,000	31,400,000
2010 Series SRF-1 DW Revenue Bonds (MFI)	46,200,000	50,160,000
2011 Series SRF Revenue Bonds (MFI)	1,395,000	2,775,000
2011 Series SRF Revenue Bonds (MFI)	49,880,000	49,880,000
2014 Series SRF-1 Revenue Bonds (MFI)	-	2,200,000
2015 Series SRF-1 Revenue Bonds (MFI)	2,000,000	-
Total bonds payable	134,515,000	142,025,000
Current maturities	(8,735,000)	(9,510,000)
Unamortized net original issue premium	3,094,224	3,876,138
Current unamortized net original issue premium	(719,944)	(781,914)
Long Term Revenue Bonds payable, net	\$ 128,154,280	\$ 135,609,224

Long-term liability activity for the years end June 30, 2016 and 2015, respectively, were as follows:

	<u>Beginning Balance</u>	<u>Additions</u>	<u>Reductions</u>	<u>Ending Balance</u>	<u>Due Within One Year</u>
Revenue Bonds Payable	\$ 142,025,000	\$ 2,000,000	\$ (9,510,000)	\$ 134,515,000	\$ 8,735,000

	<u>Beginning Balance</u>	<u>Additions</u>	<u>Reductions</u>	<u>Ending Balance</u>	<u>Due Within One Year</u>
Revenue Bonds Payable	\$ 148,280,000	\$ 2,200,000	\$ (8,455,000)	\$ 142,025,000	\$ 9,510,000

**KANSAS PUBLIC WATER SUPPLY LOAN FUND**  
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**NOTES TO FINANCIAL STATEMENTS**

The 2004 Revenue Bonds, in the original amount of \$176,010,000, consisted of serial bonds. The final payment was made April 1, 2016.

The 2009 Revenue Bonds were issued in the original amount of \$73,040,000 and consist of serial bonds, Series DW-1 and DW-2 totaling \$3,640,000 and \$29,980,000, respectively, and a Series DW-2 term bond totaling \$1,420,000, as of June 30, 2016. The Series DW-1 serial bonds are due in annual principal payments ranging from \$140,000 to \$1,110,000 with the final payment due on April 1, 2021 and bear interest at rates ranging from 2.5% to 3.125%, payable semi-annually. The Series DW-2 serial bonds are due in annual principal payments ranging from \$3,800,000 to \$7,195,000 with the final payment due on April 1, 2026, and bear interest at rates ranging from 4.8% to 5.2%, payable semi-annually. The DW-2 term bond has principal payments ranging from \$345,000 to \$655,000, due April 1, 2029 and bears interest at 5.6% payable semi-annually. The Series 2009 Bonds, or portions thereof, maturing on April 1, 2018 and thereafter may be called for redemption and payment prior to maturity on or after April 1, 2017, in whole or in part at any time (selection of maturities and the amount of Series 2009 Bonds of each maturity to be redeemed to be determined by the Authority in such manner as it may determine), at the redemption price of 100% (expressed as a percentage of the principal amount), plus accrued interest thereon to the date of redemption.

On December 15, 2010, the Series 2010SRF Revenue Bonds were issued in the original amount of \$213,950,000 for the Public Water Supply (PWS) and Water Pollution Control (WPC) programs. The bonds consist of Series 2010SRF-1, which include both PWS and WPC, and Series 2010SRF-2 and 2010SRF-3, for WPC. The Public Water Supply portions of Series 2010SRF-1 were issued in the original amount of \$61,510,000, and consist of serial bonds totaling \$46,200,000, as of June 30, 2016. The Series 2010SRF-1 serial bonds are due in annual principal payments ranging from \$4,240,000 to \$10,700,000 with the final payment due on March 1, 2022, and bear interest at rates ranging from 3% to 5%, payable semi-annually. The Series 2010SRF-1 Bonds, or portions thereof, maturing on March 1, 2021 and thereafter may be called for redemption and payment prior to maturity on or after March 1, 2020, in whole or in part at any time (selection of maturities and the amount of Series 2010SRF-1 Bonds of each maturity to be redeemed to be determined by the Authority in such manner as it may determine), at the redemption price of 100% (expressed as a percentage of the principal amount), plus accrued interest thereon to the date of redemption.

On May 24, 2011, the 2011SRF Revenue Bonds were issued in the original amount of \$53,380,000 and consist of serial bonds totaling \$51,275,000, as of June 30, 2016. The serial bonds are due in annual principal payments ranging from \$1,395,000 to \$7,805,000 with the final payment due on March 1, 2031 and bear interest at rates ranging from 2.00% to 4.20%, payable semi-annually. The Series 2011 Bonds, or portions thereof, maturing on March 1, 2023 and thereafter may be called for redemption and payment prior to maturity on or after March 1, 2019, in whole or in part at any time (selection of maturities and the amount of Series 2011 Bonds of each maturity to be redeemed to be determined by the Authority in such manner as it may determine), at the redemption price of 100% (expressed as a percentage of the principal amount), plus accrued interest thereon to the date of redemption.

On December 18, 2014, the Series 2014SRF-1 Bond was issued in an original amount of \$5,200,000 for the Public Water Supply (PWS) and Water Pollution Control (WPC) programs. The Public Water Supply portion of the bond was issued in the original amount of \$2,200,000. The interest rate on the bond is variable, with the initial rate of 0.5 percent that resets January 1<sup>st</sup> and July 1<sup>st</sup> and matures on December 21, 2015. The Bond matured on December 21, 2015. The proceeds provided \$2,200,000 of state match loan funds for the program.

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On December 21, 2015, the Series 2015SRF-1 Bond was issued in an original amount of \$4,500,000 for Public Water Supply (PWS) and Water Pollution Control (WPC). The Public Water Supply portion of the bond was issued in the original amount of \$2,000,000. The interest rate on the bond is variable, with the initial rate of .99% that resets each January 1 and July 1. The Bond matures on December 22, 2016, with principal and interest paid at maturity. The proceeds provided \$2,000,000 of state match loan funds for the program. The 2015-1 Bonds shall be subject to redemption and payment prior to their Stated Maturity, at the option of and upon instruction from the Authority, as a whole or in part at any time (selection of the amount of Series 2015-1 Bonds to be redeemed to be determined by the Authority in such manner as it may determine) at a Redemption Price of 100% (expressed as a percentage of the principal amount), plus accrued interest thereon to the Redemption Date.

The Master and Supplemental Bond Resolutions for the Old Resolution Bonds provide for the establishment of debt service reserve funds which are maintained and administered by K DFA. The Master Financing Indenture provides for the establishment of a debt service reserve fund in the custody of the trustee in the name of the K DFA. At June 30, 2016 and 2015, the Fund was not in default of significant provisions of the Master or Supplemental Bond Resolutions or the Master Financing Indenture or the Supplemental Indentures.

Aggregate revenue bond debt service requirements to maturity are as follows:

Year Ending June 30,	Principal Amount Due	Interest Amount Due	Total
2017	\$ 8,735,000	\$ 5,867,842	\$ 14,602,842
2018	6,100,000	5,645,473	11,745,473
2019	8,810,000	5,366,960	14,176,960
2020	9,525,000	5,013,435	14,538,435
2021	9,365,000	4,590,885	13,955,885
2022-2026	61,675,000	14,433,050	76,108,050
2027-2031	30,305,000	3,300,200	33,605,200
	<u>\$ 134,515,000</u>	<u>\$ 44,217,845</u>	<u>\$ 178,732,845</u>

Certain of the above bonds may be redeemed prior to maturity in accordance with related bond indentures.

The debt service payment in fiscal year 2016 was \$9,510,000 for principal with cumulative principal payments totaling \$145,875,000 and \$6,156,485 for interest with cumulative interest payments totaling \$158,413,878.

In prior years, the fund defeased certain State Revolving Fund revenue bonds by placing the cash and proceeds for refunding bonds in an irrevocable escrow account with the Treasurer and UMB Bank to provide for all future debt service payments on the old bonds. Accordingly, the escrow account assets and the liability for the defeased bonds are not included in the Fund's financial statements. Defeased debt outstanding at June 30, 2016 was \$28,955,000.

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Defeased bonds outstanding consisted of the following at June 30:

	Issue Date	Call Date	2016	2015
Series 2004	September 29, 2004	April 1, 2016	\$ -	\$ 13,580,000
Series 2009	September 29, 2009	April 1, 2016	-	560,000
Series 2009	September 29, 2009	April 1, 2017	28,955,000	28,955,000
Total defeased bonds outstanding:			<u>\$ 28,955,000</u>	<u>\$ 43,095,000</u>

## 6. ARBITRAGE REBATE

In accordance with Internal Revenue Code Section 148(f) relating to arbitrage restrictions on tax-exempt bonds, there is currently no rebate liability for the Series 2004, 2009, 2010 and 2011 bonds as of June 30, 2016 and 2015. The 2014 and 2015 bonds are taxable bonds and are not subject to arbitrage.

As of June 30, 2016 and June 30, 2015, Public Water Supply has a restricted cash balance of \$60 for future arbitrage liabilities.

## 7. FEDERAL CAPITALIZATION GRANTS

As of June 30, 2016, the federal capitalization grants for 1997 through 2014 and the 2009 ARRA grant have been drawn down.

The 2013 capitalization grant of \$10,302,000 was awarded on July 29, 2013 with four percent, \$412,080, allocated for administration, \$300,000 allocated for technical assistance, \$300,000 allocated for state program management and \$600,000 allocated for other authorized activities as evidenced by the budget page of the grant award. As program administration funds are earned, grant revenue is recognized. An amount of \$8,689,920 is reserved for direct loans.

As of June 30, 2016, the entire 2013 capitalization grant has been drawn down. The entire 2013 capitalization grant has met the state matching requirements and was available based on the grant payment schedule.

The 2014 capitalization grant of \$10,080,000 was awarded on July 7, 2014 with two percent, \$201,600 allocated for administration and \$201,600 allocated for technical assistance, \$302,400 allocated for state program management and \$201,600 allocated for other authorized activities as evidenced by the budget page of the grant award. As program administration funds are earned, grant revenue is recognized. An amount of \$9,172,800 is reserved for loans.

On July 17, 2014, an amendment was processed that revised programmatic condition 3, Intended Use Plan and Operating Agreement, and condition 10, Set-Aside Reporting. It also corrected the total project period cost.

As of June 30, 2016, the entire 2014 capitalization grant has been drawn down. The entire 2014 capitalization grant has met the state matching requirements and was available based on the grant payment schedule.

**KANSAS PUBLIC WATER SUPPLY LOAN FUND  
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**NOTES TO FINANCIAL STATEMENTS**

The 2015 capitalization grant of \$10,080,000 was awarded on April 14, 2015 with four percent, \$403,200, allocated for administration, \$201,600 allocated for technical assistance, \$302,400 allocated for state program management and \$302,400 allocated for other authorized activities as evidenced by the budget page of the grant award. As program administration funds are earned, grant revenue is recognized. An amount of \$8,870,400 is reserved for loans.

On July 28, 2015, an amendment was processed to reduce the amount allocated for administration by \$2,640, to reduce the amount allocated for technical assistance by \$1,320, and to reduce the amount reserved for direct loans by \$62,040.

On August 3, 2015, an amendment was processed to reallocate \$200,000 from direct loans to other authorized activities.

On September 9, 2015, an amendment was processed to reduce the amount allocated for other authorized activities by \$155,066.

As of June 30, 2016, \$727,444 of the 2015 capitalization grant had not been drawn down. The entire 2015 capitalization grant has met the state matching requirements and is available based on the grant payment schedule.

The 2016 capitalization grant of \$9,473,000 was awarded on June 9, 2016, with \$568,380 allocated for administration, \$189,460 allocated for technical assistance, \$284,190 allocated for state program management and \$568,380 allocated for other authorized activities as evidenced by the budget page of the grant award. As program administration funds are earned, grant revenue is recognized. An amount of \$7,862,590 is reserved for loans.

As of June 30, 2016, the full amount of \$9,473,000 of the 2016 capitalization grant had not been drawn down. The entire 2016 capitalization grant has met the state matching requirements and is available based on the grant payment schedule.

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**NOTES TO FINANCIAL STATEMENTS**

Federal capitalization grants awarded by the EPA through June 30, 2016 are as follows:

Federal Fiscal Year	Loans	Reserve	Program Administration	Other Set-Asides	Total Grant
1997 Grant Award	\$ -	\$ 11,776,871	\$ 563,800	\$ 1,754,329	\$ 14,095,000
1998 Grant Award	-	9,407,614	400,324	200,162	10,008,100
1999 Grant Award	-	9,860,036	419,576	209,788	10,489,400
2000 Grant Award	-	10,393,127	436,060	72,313	10,901,500
2001 Grant Award	-	9,969,176	436,190	541,234	10,946,600
2002 Grant Award	-	9,001,632	-	233,068	9,234,700
2003 Grant Award	-	8,812,032	367,168	-	9,179,200
2004 Grant Award	-	9,141,216	380,884	-	9,522,100
2005 Grant Award	-	7,934,148	751,138	816,614	9,501,900
2006 Grant Award	-	7,310,128	329,172	590,000	8,229,300
2007 Grant Award	-	7,094,840	329,160	805,000	8,229,000
2008 Grant Award	-	7,595,160	325,840	225,000	8,146,000
2009 Grant Award	-	7,172,740	325,840	647,420	8,146,000
2009 Grant-ARRA	19,500,000	-	-	-	19,500,000
2010 Grant Award	14,758,700	-	664,200	1,182,100	16,605,000
2011 Grant Award	11,076,286	-	500,000	295,036	11,871,322
2012 Grant Award	10,251,760	-	239,240	490,000	10,981,000
2013 Grant Award	8,689,920	-	412,080	1,200,000	10,302,000
2014 Grant Award	9,172,800	-	201,600	705,600	10,080,000
2015 Grant Award	8,608,360	-	400,560	850,014	9,858,934
	82,057,826	115,468,720	7,482,832	10,817,678	215,827,056
Less cumulative amounts drawn down	(76,885,595)	(115,468,720)	(6,847,646)	(9,308,491)	(208,510,452)
	5,172,231	-	635,186	1,509,187	7,316,604
2016 Grant Award	7,862,590	-	568,380	1,042,030	9,473,000
Less amounts drawn down during Fiscal Year 2016	(5,172,231)	-	(471,259)	(945,669)	(6,589,159)
	\$ 7,862,590	\$ -	\$ 732,307	\$ 1,605,548	\$ 10,200,445

As of June 30, 2016 and 2015, \$10,200,445 and \$7,537,670, respectively, of the capitalization grants had not been drawn down. As of June 30, 2016 and 2015, \$100,518 and \$40,014, respectively, of grant revenue had been recorded as accruals but had not been drawn down from the grant. At fiscal year end grant revenue and the corresponding grant expense are recorded.



**INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING  
AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL  
STATEMENTS PERFORMED IN ACCORDANCE WITH GOVERNMENT AUDITING STANDARDS**

Dr. Susan Mosier  
Secretary of the Kansas Department  
Of Health and Environment  
Topeka, Kansas

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the State of Kansas Public Water Supply Loan Fund (the Fund), an enterprise fund of the State of Kansas, as of and for the year ended June 30, 2016, and the related notes to the financial statements, which collectively comprise the Fund's basic financial statements, and have issued our report thereon dated September 15, 2016.

**Internal Control over Financial Reporting**

In planning and performing our audit of the financial statements, we considered the Fund's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Fund's internal control. Accordingly, we do not express an opinion on the effectiveness of the Fund's internal control.

*A deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses or significant deficiencies. However, material weaknesses or significant deficiencies may exist that have not been identified.

Secretary of the Kansas Department of Health and Environment  
State of Kansas Public Water Supply Loan Fund

### **Compliance and Other Matters**

As part of obtaining reasonable assurance about whether the Fund's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

### **Purpose of this Report**

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the result of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

A handwritten signature in cursive script that reads "CliftonLarsonAllen LLP".

### **CliftonLarsonAllen LLP**

Greenwood Village, Colorado  
September 15, 2016