
CMS Manual System

Pub. 100-07 State Operations Provider Certification

Department of Health &
Human Services (DHHS)
Centers for Medicare &
Medicaid Services (CMS)

Transmittal 56

Date: December 30, 2009

SUBJECT: Revised Appendix L, “Interpretive Guidelines for Ambulatory Surgical Centers”

I. SUMMARY OF CHANGES: The Ambulatory Surgical Center Conditions for Coverage (CfCs) were revised effective May 18, 2009. New CfCs were added and more detailed guidance is provided for those CfCs that were not revised.

NEW/REVISED MATERIAL - EFFECTIVE DATE*: December 30, 2009

IMPLEMENTATION DATE: December 30, 2009

The revision date and transmittal number apply to the red italicized material only. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS:

(R = REVISED, N = NEW, D = DELETED)

R/N/D	CHAPTER/SECTION/SUBSECTION/TITLE
R	Appendix L/Table of Contents/Ambulatory Surgical Centers
R	Appendix L/Part I/Ambulatory Surgical Center Survey Protocol
R	Appendix L/Part II/General Provisions and Definitions; General Conditions and Requirements
R	Appendix L/§416.2/Definition/Tag Q0002
N	Appendix L/§416.25/Basic Requirements/Tag Q0001
R	Appendix L/§416.40/Condition: Compliance with State Licensure Law/Tag Q0020
R	Appendix L/§416.41/Condition: Governing Body and Management/Tag Q0040
N	Appendix L/§416.41(a)/Standard: Contract Services/Tag Q0041
R	Appendix L/§416.41(b)/Standard: Hospitalization/Tag Q0042
N	Appendix L/§416.41(c)/Standard: Disaster Preparedness Plan/ Tag Q0043
R	Appendix L/§416.42/Condition: Surgical Services/Tag Q0060
R	Appendix L/§416.42(a)/Standard: Anesthetic Risk and Evaluation/ Tags Q0061-Q0062
R	Appendix L/§416.42(b)/Standard: Administration of Anesthesia/ Tag Q0063
R	Appendix L/§416.42(c)/Standard: State Exemption/ Tag Q0063

R	Appendix L/§416.43/Condition: Quality Assessment and Performance Improvement/Tag Q0080
N	Appendix L/§416.43(a)/Standard: Program Scope/Tag Q0081
N	Appendix L/§416.43(b)/Standard: Program Data/Tag Q0082
N	Appendix L/§416.43(c)/Standard: Program Activities/Tag Q0081
N	Appendix L/§416.43(d)/Standard: Performance Improvement Projects/Tag Q0083
N	Appendix L/§416.43(e)/Standard: Governing Body Responsibilities/Tag Q0084
R	Appendix L/§416.44/Condition: Environment/Tag Q0100
R	Appendix L/§416.44(a)/Standard: Physical Environment/Tags Q0101 - Q0103
R	Appendix L/§416.44(b)/Standard: Safety From Fire/Tag Q0104
R	Appendix L/§416.44(c)/Standard: Emergency Equipment/Tag Q0105
R	Appendix L/§416.44(d)/Standard: Emergency Personnel/Tag Q0106
R	Appendix L/§416.45/Condition: Medical Staff/Tag Q0120
R	Appendix L/§416.45(a)/Standard: Membership and Clinical Privileges/Tag Q0121
R	Appendix L/§416.45(b)/Standard: Reappraisals/Tag Q0122
R	Appendix L/§416.45(c)/Standard: Other Practitioners/Tag Q0123
R	Appendix L/§416.46/Condition: Nursing Service/Tag Q0140
R	Appendix L/§416.46(a)/Standard: Organization and Staffing/Tag Q0141
R	Appendix L/§416.47/Condition: Medical Records/Tag Q0160
R	Appendix L/§416.47(a)/Standard: Organization/Tag Q0161
R	Appendix L/§416.47(b)/Standard: Form and Content of Record/Tag Q0162
R	Appendix L/§416.48/Condition: Pharmaceutical Services/Tag Q0180
R	Appendix L/§416.48(a)/Standard: Administration of Drugs/Tags Q0181 - Q0184
R	Appendix L/§416.49/Condition: Laboratory and Radiologic Services/Tag Q0200
N	Appendix L/§416.49(a)/Standard: Laboratory Services/Tag Q0201
N	Appendix L/§416.49(b)/Standard: Radiologic Services/Tag Q0202
N	Appendix L/§416.50/Condition: Patient Rights/Tag Q0220
N	Appendix L/§416.50(a)(1)/Standard: Notice of Rights/Tags Q0221-Q0223
N	Appendix L/§416.50(a)(2)/Standard: Advance Directives/Tag Q0224
N	Appendix L/§416.50(a)(3)/Standard: Submission and investigation of grievances/Tags Q0225-Q0226
N	Appendix L/§416.50(b)/Standard: Exercise of rights and respect for property and person/Tag Q0227-Q0230
N	Appendix L/§416.50(c)/Standard: Privacy and Safety/Tags Q0231-Q0233
N	Appendix L/§416.50(d)/Standard: Confidentiality of Clinical Records/Tag Q0234
N	Appendix L/§416.51/Condition: Infection Control/Tag Q0240
N	Appendix L/§416.51(a)/Standard: Sanitary Environment/Tag Q0241
N	Appendix L/§416.51(b)/Standard: Infection Control Program/Tags Q0242-Q0245

N	Appendix L/§416.52/Condition: Patient Admission, Assessment and Discharge/Tag Q0260
N	Appendix L/§416.52(a)/Standard: Admission and Pre-surgical Assessment/Tags Q0261-Q0263
N	Appendix L/§416.52(b)/Standard: Post-surgical Assessment/Tag Q0264
N	Appendix L/§416.52(c)/Standard: Discharge/Tag Q0265-Q0267
N	Exhibit 351/Infection Control Surveyor Worksheet

III. FUNDING: No additional funding will be provided by CMS; contractor activities are to be carried out within their FY 2009 operating budgets.

IV. ATTACHMENTS:

	Business Requirements
X	Manual Instruction
	Confidential Requirements
	One-Time Notification
	Recurring Update Notification